#### Edgar Filing: TD AMERITRADE HOLDING CORP - Form 4

#### TD AMERITRADE HOLDING CORP

Form 4

September 16, 2009

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* RICKETTS J PETER

2. Issuer Name and Ticker or Trading

Symbol

TD AMERITRADE HOLDING

5. Relationship of Reporting Person(s) to

Issuer

CORP [AMTD]

(Check all applicable)

(Last) (First) (Middle)

(Street)

4211 SOUTH 102ND STREET

3. Date of Earliest Transaction (Month/Day/Year)

09/14/2009

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title below)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**OMAHA, NE 68127** 

(City)	(State) (Z	Zip) Table	e I - Non-D	erivative (	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)		Securities Form: Direct		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	09/14/2009		<u>J(1)</u>	1,000	D	\$0	471,759	D	
Common Stock	09/14/2009		<u>J(1)</u>	775	D	\$ 0	470,984	D	
Common Stock	09/14/2009		J <u>(1)</u>	525	D	\$0	470,459	D	
Common Stock	09/14/2009		<u>J(1)</u>	275	D	\$ 0	470,184	D	
Common Stock	09/14/2009		<u>J(1)</u>	275	D	\$ 0	469,909	D	

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Common Stock	09/15/2009	<u>J(1)</u>	275	D	\$ 0	469,634	D	
Common Stock						236,787	I	By annuity trust (2)
Common Stock						1,104,650	I	By annuity trust (3)
Common Stock						52,851	I	By his children (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

RICKETTS J PETER
4211 SOUTH 102ND STREET X
OMAHA, NE 68127

# **Signatures**

/s/ J. Peter

Ricketts 09/16/2009

\*\*Signature of Date Reporting Person

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer of shares, for no consideration, as a charitable donation.
- (2) Share are held by annuity trusts, for which Mr. Ricketts is the grantor and a beneficiary.
- (3) Shares are held by the Marlene M. Ricketts 2004-2 Qualified Annuity Trust, for which Mr. Ricketts is co-trustee and his mother is the grantor and a beneficiary.
- (4) Shares are held by trusts created for Mr. Ricketts' children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.