Edgar Filing: MARLIN BUSINESS SERVICES CORP - Form 4

MARLIN BUSINESS SERVICES CORP

Form 4 July 01, 2013

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or Expires: January 31, 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person <u>DEANGELO LAWRENCE J</u>

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

06/27/2013

MARLIN BUSINESS SERVICES CORP [MRLN]

(Check all applicable)

(Last) (First) (Middle) 3. Date of

3. Date of Earliest Transaction (Month/Day/Year)

X Director _____ 10% Owner ____ Officer (give title _____ Other (specify

C/O MARLIN BUSINESS SERVICES CORP, 300 FELLOWSHIP ROAD

(Street) 4. If Amendment, Date Original

 Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

Person

MOUNT LAUREL, NJ 08054

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if any (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

D

(A) or Code V Amount (D) Price

Transaction(s) (Instr. 3 and 4)

Common Stock 06/27/2013

M 1,500 A \$ 16.01

32,791 <u>(1)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 7.17						10/28/2010(2)	10/28/2016	Common Stock	2,041
Option to Purchase Common Stock	\$ 7.66						06/02/2009(2)	06/02/2015	Common Stock	3,704
Option to Purchase Common Stock	\$ 20.35						05/25/2008(2)	05/25/2014	Common Stock	1,284
Option to Purchase Common Stock	\$ 21.5						05/25/2007(2)	05/25/2013	Common Stock	1,208
Option to Purchase Common Stock	\$ 14						11/11/2007(3)	11/10/2013	Common Stock	5,000
Option to Purchase Common Stock	\$ 16.01	06/27/2013		M		1,500	05/26/2005(4)	05/25/2014	Common Stock	1,500

Reporting Owners

Reporting Owner Name / Address	Relationships					
. 6	Director	10% Owner	Officer	Other		
DEANGELO LAWRENCE J C/O MARLIN BUSINESS SERVICES CORP 300 FELLOWSHIP ROAD MOUNT LAUREL, NJ 08054	X					

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Signatures

/s/ George D. Pelose Attorney in Fact 07/01/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes a total of 19,939 shares of restricted stock where, as of the date of this filing, the restrictions have not yet lapsed.
- (2) Grant of options made pursuant to the Company's Director compensation plan. Date listed is the date of full vesting (which is one year from the date of grant). The options cliff vest on the first anniversary of the grant date.
- (3) Date listed is the date of full vesting. Vests 25% per year beginning on the first anniversary of the date of grant.
- (4) Grant made pursuant to the Company's Director compensation plan. Date listed is the date of full vesting (which is one year from the date of grant). The grant vests in equal quarterly installments over the one year vesting period.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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