

Chemtura CORP
Form 4
December 29, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ORTON LAURENCE MICHAEL

2. Issuer Name and Ticker or Trading Symbol
Chemtura CORP [CHMT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

199 BENSON ROAD

12/23/2014

VP, Corporate Controller

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

MIDDLEBURY, CT 06749

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------|
| | | | Code | V Amount or (D) Price | | | |
| Common Stock | 12/23/2014 | | S | 1,266 (1) D \$ 24 | 16,722 | D | |
| Common Stock | 12/23/2014 | | M | 4,000 (1) A \$ 16.03 | 20,722 | D | |
| Common Stock | 12/23/2014 | | S | 4,000 (1) D \$ 24 | 16,722 | D | |
| Common Stock | 12/26/2014 | | S | 1,400 (1) D \$ 25 | 15,322 | D | |
| Common Stock | 12/26/2014 | | M | 503 (1) A \$ 16.03 | 15,825 | D | |

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| | | | | | | | |
|--------------|------------|---|----------------------|---|----------|--------|---|
| Common Stock | 12/26/2014 | S | 503 ⁽¹⁾ | D | \$ 25 | 15,322 | D |
| Common Stock | 12/26/2014 | M | 2,497 ⁽¹⁾ | A | \$ 15.38 | 17,819 | D |
| Common Stock | 12/26/2014 | S | 2,497 ⁽¹⁾ | D | \$ 25 | 15,322 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares | |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|-------------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 16.03 | 12/23/2014 | | M | 4,000 | ⁽²⁾ 03/10/2021 | Common Stock | 4,000 | |
| Employee Stock Option (right to buy) | \$ 16.03 | 12/26/2014 | | M | 503 | ⁽²⁾ 03/10/2021 | Common Stock | 503 | |
| Employee Stock Option (right to buy) | \$ 15.38 | 12/26/2014 | | M | 2,497 | ⁽³⁾ 03/01/2022 | Common Stock | 2,497 | |

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer Other

ORTON LAURENCE MICHAEL
199 BENSON ROAD
MIDDLEBURY, CT 06749

VP, Corporate Controller

Signatures

/s/ Alan Schutzman by Power of
Attorney

12/29/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option exercises and sales reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) This option, which initially represented a right to purchase a total of 6,659 shares, became exercisable in three equal installments on March 10, 2012, March 31, 2013 and March 31, 2014.

This option, representing a right to purchase a total of 7,163 shares, becomes exercisable in three equal installments: the right to purchase
- (3) 2,387 and 2,388 shares became exercisable on March 1, 2013 and March 1, 2014 and the right to purchase 2,388 shares becomes exercisable on March 1, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.