Invuity, Inc. Form 3 June 11, 2015

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Gandy Michael

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

06/11/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

10% Owner

Other

Invuity, Inc. [IVTY]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Chief Financial Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O INVUITY, INC., 444 DE

**HARO STREET** 

(Street)

Director \_X\_\_ Officer (give title below) (specify below) 6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

### SAN FRANCISCO. CAÂ 94107

(City) (State) (Zip)

1. Title of Security

(Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

or Indirect (I) (Instr. 5)

Ownership

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Title

Amount or Number of Shares

Derivative Security Direct (D) or Indirect

(I)

1

						(Instr. 5)	
Employee Stock Option (right to buy)	(1)	01/31/2023	Common Stock	20,790	\$ 3.15	D	Â
Employee Stock Option (right to buy)	(1)	01/31/2023	Common Stock	29,927	\$ 3.15	D	Â
Employee Stock Option (right to buy)	(2)	03/05/2024	Common Stock	12,840	\$ 3.15	D	Â
Employee Stock Option (right to buy)	(3)	04/16/2025	Common Stock	17,104	\$ 11.1	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
topo mag o mac i mac, i mac oss	Director	10% Owner	Officer	Other		
Gandy Michael C/O INVUITY, INC. 444 DE HARO STREET SAN FRANCISCO, CA 94107	Â	Â	Chief Financial Officer	Â		
Signatures						
/s/ Nancy Hargreaves, by power of attorney	0	6/11/2015				

## **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- The option is subject to an early exercise provision and is immediately exercisable. One-fourth of the shares subject to the option vested on January 7, 2014 and one forty-eighth of the shares subject to the option vest each month thereafter, subject to the Reporting Person continuing as a service provider through each such date.
- The option is subject to an early exercise provision and is immediately exercisable. One forty-eighth of the shares subject to the option vested on March 28, 2014 and one forty-eighth of the shares subject to the option vest each month thereafter, subject to the Reporting Person continuing as a service provider through each such date
- The option is subject to an early exercise provision and is immediately exercisable. One sixtieth of the shares subject to the option vested on March 13, 2015 and one sixtieth of the shares subject to the option vest each month thereafter, subject to the Reporting Person continuing as a service provider through each such date.

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#### **Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2