

MANNKIND CORP
Form 3
October 15, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Joseph Kocinsky
(Last) (First) (Middle)

28903 NORTH AVENUE
PAINE

(Street)

VALENCIA, CA 91355

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
10/14/2015

3. Issuer Name and Ticker or Trading Symbol
MANNKIND CORP [MNKD]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
CTO

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock, \$.01 par value	82,736	D	^
Common Stock, \$.01 par value	5,375 ⁽¹⁾	D	^
Common Stock, \$.01 par value	6,700 ⁽²⁾	D	^
Common Stock, \$.01 par value	9,300 ⁽³⁾	D	^
Common Stock, \$.01 par value	20,700 ⁽⁴⁾	D	^

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (right to buy)	11/16/2006	11/16/2015	Common Stock	8,000 ⁽⁵⁾	\$ 11	D	Â
Employee Stock Option (right to buy)	08/15/2008	08/15/2017	Common Stock	8,400 ⁽⁵⁾	\$ 9.22	D	Â
Employee Stock Option (right to buy)	08/19/2010	08/19/2019	Common Stock	14,900 ⁽⁵⁾	\$ 7.48	D	Â
Employee Stock Option (right to buy)	06/10/2011	06/10/2020	Common Stock	1,800 ⁽⁵⁾	\$ 6.25	D	Â
Employee Stock Option (right to buy)	08/19/2011	08/19/2020	Common Stock	25,000 ⁽⁵⁾	\$ 5.93	D	Â
Employee Stock Option (right to buy)	08/11/2012	08/17/2021	Common Stock	17,438 ⁽⁵⁾	\$ 2.41	D	Â
Employee Stock Option (right to buy)	08/16/2013	08/16/2022	Common Stock	31,000 ⁽⁶⁾	\$ 2.32	D	Â
Employee Stock Option (right to buy)	08/19/2014	08/19/2020	Common Stock	13,000 ⁽⁵⁾	\$ 5.93	D	Â
Employee Stock Option (right to buy)	08/22/2014	08/22/2023	Common Stock	21,400 ⁽⁷⁾	\$ 5.89	D	Â
Employee Stock Option (right to buy)	08/21/2015	08/20/2024	Common Stock	18,600 ⁽⁸⁾	\$ 7.09	D	Â
Employee Stock Option (right to buy)	08/27/2016	08/26/2025	Common Stock	31,100 ⁽⁹⁾	\$ 3.91	D	Â
Employee Stock Option (right to buy)	05/23/2014	05/23/2023	Common Stock	141,250 ⁽⁵⁾	\$ 6.85	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kocinsky Joseph 28903 NORTH AVENUE PAINE VALENCIA, CA 91355	Â	Â	Â CTO	Â

Signatures

/s/ Joseph
Kocinsky

10/14/2015

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired pursuant to a Restricted Stock Unit Award vesting on 8/16/16.
 - (2) Acquired pursuant to a Restricted Stock Unit Award; 3350 shares vesting on 8/22/16 and 3350 shares vesting on 8/22/17.
 - (3) Acquired pursuant to a Restricted Stock Unit Award; 3100 shares vesting on 8/21/16, 3100 shares vesting on 8/21/17 and 3100 shares vesting on 8/21/18.
 - (4) 4-year vesting schedule with 1/4th of shares vesting on the first anniversary or 5/27/16 and thereafter 1/4th annually.
 - (5) Fully vested as of filing.
 - (6) 25833 shares fully vested at time of filing; 5167 shares vesting on 8/16/16.
 - (7) 12483 shares fully vested at time of filing; 5350 shares vesting on 8/22/16 and 3567 shares vesting on 8/22/17.
 - (8) 6199 shares fully vested at time of filing; 4650 shares vesting by 8/21/16, 4650 shares by 8/21/17 and 3101 shares vesting by 8/21/18.
 - (9) 4-year vesting schedule with 1/4th of shares vesting on the first anniversary of the vesting determination date and thereafter 1/48th monthly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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