**IMPERVA INC** Form 4

November 12, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

obligations

may continue.

Form 5

(Print or Type Responses)

KRAMER SHLOMO

(Middle) (Last) (First)

C/O IMPERVA, INC., 3400

REDWOOD SHORES, CA 94065

**BRIDGE PARKWAY, SUITE 200** 

(Street)

2. Issuer Name and Ticker or Trading Symbol

IMPERVA INC [IMPV]

3. Date of Earliest Transaction

(Month/Day/Year) 11/09/2015

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response...

0.5

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director \_X\_\_ 10% Owner \_\_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secur	ities Acqu	ired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	on Date 2A. Deemed y/Year) Execution Date, if any (Month/Day/Year)		4. Securities Acqui on(A) or Disposed of (Instr. 3, 4 and 5)  (A) or		of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock			Code V	Amount	(D)	Price	1,259,521	D	
Common Stock	11/09/2015		S <u>(1)</u>	4,650	D	\$ 71.77 (2)	2,325,786	I	See footnote (3)
Common Stock	11/09/2015		S <u>(1)</u>	16,854	D	\$ 72.9 (4)	2,308,932	I	See footnote (3)
Common Stock	11/09/2015		S <u>(1)</u>	3,496	D	\$ 73.51 (5)	2,305,436	I	See footnote (3)

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Common Stock	11/10/2015	S <u>(1)</u>	9,755	D	\$ 71.39 <u>(6)</u>	2,295,681	I	See footnote (3)
Common Stock	11/10/2015	S <u>(1)</u>	9,995	D	\$ 72.53 <u>(7)</u>	2,285,686	I	See footnote (3)
Common Stock	11/10/2015	S <u>(1)</u>	5,250	D	\$ 73.13 (8)	2,280,436	I	See footnote (3)
Common Stock	11/11/2015	S <u>(1)</u>	18,156	D	\$ 70.56 <u>(9)</u>	2,262,280	I	See footnote (3)
Common Stock	11/11/2015	S <u>(1)</u>	5,724	D	\$ 71.28 (10)	2,256,556	I	See footnote (3)
Common Stock	11/11/2015	S <u>(1)</u>	1,120	D	\$ 72.3 (11)	2,255,436	I	See footnote (3)
Common Stock	11/12/2015	S <u>(1)</u>	17,100	D	\$ 70.59 (12)	2,238,336	I	See footnote (3)
Common Stock	11/12/2015	S <u>(1)</u>	7,900	D	\$ 71.27 (13)	2,230,436	I	See footnote (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. SorNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title Amount or Number of	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Shares

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KRAMER SHLOMO C/O IMPERVA, INC. 3400 BRIDGE PARKWAY, SUITE 200 REDWOOD SHORES, CA 94065



# **Signatures**

/s/ Tram Phi, Attorney-in-Fact

11/12/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 plan adopted by the Reporting Person.
- Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$71.3107 to \$72.3090. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate price within the range.
- The shares are owned of record by HAPRI LIMITED, an investment holding company. The Reporting Person is one of two directors of HAPRI LIMITED. All of HAPRI LIMITED's shares are ultimately controlled by a trust of which the Reporting Person is the sole grantor and sole beneficiary during his life.
- (4) Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$72.32 to \$73.2694. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate price within the range.
- Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$73.32 to \$73.75. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate price within the range.
- Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$70.98 to \$71.96. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate price within the range.
- Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$71.983 to \$72.98. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate price within the range.
- Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$72.9961 to \$73.33. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate price within the range.
- (9) Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$70.01 to \$71.00. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate

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price within the range.

- Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$71.01 to \$71.99. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate price within the range.
- Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$72.04 to \$72.73. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate price within the range
- Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$70.01 to \$70.98. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate price within the range.
- Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$71.04 to \$71.44. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.