CANON INC Form SC 13G/A February 14, 2017

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

### WASHINGTON, D.C. 20549

### **SCHEDULE 13G**

#### **Under the Securities Exchange Act of 1934**

(Amendment No. 4)\*

Canon Inc.

(Name of Issuer)

**Common Stock** 

(Title of Class of Securities)

138006309

### (CUSIP Number)

### December 31, 2016

### (Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

: Rule 13d-1(b)

: Rule 13d-1(c)

: Rule 13d-1(d)

\* The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

#### 1 NAME OF REPORTING PERSON

- Mitsubishi UFJ Financial Group, Inc. 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
  - (a)
  - (b)
- 3 SEC USE ONLY

#### 4 CITIZENSHIP OR PLACE OF ORGANIZATION

### Tokyo, Japan

**5** SOLE VOTING POWER

### NUMBER OF

65,539,457 **SHARES 6** SHARED VOTING POWER

### BENEFICIALLY

- - **OWNED BY** 
    - -0-7 SOLE DISPOSITIVE POWER EACH

## REPORTING

65,539,457 PERSON 8 SHARED DISPOSITIVE POWER WITH

### -0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

65,539,457

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## 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

6.0% TYPE OF REPORTING PERSON

FI

12

#### 1 NAME OF REPORTING PERSON

- The Bank of Tokyo-Mitsubishi UFJ, Ltd. 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
  - (a)
  - (b)
- 3 SEC USE ONLY

#### 4 CITIZENSHIP OR PLACE OF ORGANIZATION

### Tokyo, Japan

## **5** SOLE VOTING POWER

#### NUMBER OF

6,000,634 **SHARES 6** SHARED VOTING POWER

### BENEFICIALLY

- **OWNED BY** -0-
  - 7 SOLE DISPOSITIVE POWER EACH

## REPORTING

6,000,634 PERSON 8 SHARED DISPOSITIVE POWER WITH

## -0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

## 6,000,634

## Edgar Filing: CANON INC - Form SC 13G/A

## 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.5% 12 TYPE OF REPORTING PERSON

FI

- 1 NAME OF REPORTING PERSON
- HighMark Capital Management, Inc.CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
  - (a)
  - (b)
- 3 SEC USE ONLY
- 4 CITIZENSHIP OR PLACE OF ORGANIZATION
  - California, United States 5 SOLE VOTING POWER
- NUMBER OF
- SHARES6 $^{70}$ <br/>SHARED VOTING POWERBENEFICIALLY $^{70}$ <br/>SHARED VOTING POWEROWNED BY<br/>EACH $^{70}$ <br/>SOLE DISPOSITIVE POWERREPORTING $^{10}$ 
  - PERSON 70 8 SHARED DISPOSITIVE POWER WITH
    - -0-
- 9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

70

## 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.0% 12 TYPE OF REPORTING PERSON

IA

-0-9

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

WITH

50,164,933 PERSON 8 SHARED DISPOSITIVE POWER

REPORTING

-0-7 SOLE DISPOSITIVE POWER EACH

BENEFICIALLY

**OWNED BY** 

**SHARES 6** SHARED VOTING POWER

50,164,933

**5** SOLE VOTING POWER NUMBER OF

Tokyo, Japan

- 4 CITIZENSHIP OR PLACE OF ORGANIZATION

- 3 SEC USE ONLY

NAME OF REPORTING PERSON

Mitsubishi UFJ Trust and Banking Corporation

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

CUSIP NO. 138006309

(a)

(b)

1

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## 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

4.6%12 TYPE OF REPORTING PERSON

FI

## 1 NAME OF REPORTING PERSON

- Mitsubishi UFJ Kokusai Asset Management Co., Ltd.
  CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
  - (a)
  - (b)
- **3** SEC USE ONLY
- 4 CITIZENSHIP OR PLACE OF ORGANIZATION

## Tokyo, Japan

**5** SOLE VOTING POWER

### NUMBER OF

SHARES7,148,7006SHARED VOTING POWER

## BENEFICIALLY

- OWNED BY -0-
  - EACH 7 SOLE DISPOSITIVE POWER

## REPORTING

- PERSON 7,148,700 8 SHARED DISPOSITIVE POWER WITH
  - -0-
- 9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
  - 7,148,700

## 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.7% 12 TYPE OF REPORTING PERSON

FI

- 1 NAME OF REPORTING PERSON
  - MU Investments Co., Ltd. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
    - (a)

2

- (b)
- 3 SEC USE ONLY
- 4 CITIZENSHIP OR PLACE OF ORGANIZATION
  - Tokyo, Japan
    - 5 SOLE VOTING POWER
- NUMBER OF
- 141,800 **SHARES** 6 SHARED VOTING POWER
- BENEFICIALLY

  - **OWNED BY** -0-
    - 7 SOLE DISPOSITIVE POWER EACH
  - REPORTING
    - 141,800 PERSON SHARED DISPOSITIVE POWER 8 WITH
      - -0-
- 9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
  - 141,800

## 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.0% 12 TYPE OF REPORTING PERSON

FI

## 1 NAME OF REPORTING PERSON

- Mitsubishi UFJ Asset Management (UK) Ltd.CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
  - (a)
  - (b)
- 3 SEC USE ONLY
- 4 CITIZENSHIP OR PLACE OF ORGANIZATION

London, United Kingdom 5 SOLE VOTING POWER

- NUMBER OF
- SHARES430,3506SHARED VOTING POWER
- BENEFICIALLY
  - OWNED BY -0-FACH 7 SOLE DISPOSITIVE POWER
  - REPORTING
    - PERSON **8** 430,350 **8** SHARED DISPOSITIVE POWER WITH

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

430,350

## 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.0% 12 TYPE OF REPORTING PERSON

FI

#### 1 NAME OF REPORTING PERSON

- Mitsubishi UFJ Securities Holdings Co., Ltd. 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
  - (a)
  - (b)
- 3 SEC USE ONLY

#### 4 CITIZENSHIP OR PLACE OF ORGANIZATION

Tokyo, Japan

5 SOLE VOTING POWER

NUMBER OF

9,373,890 **SHARES** 6 SHARED VOTING POWER

BENEFICIALLY

- OWNED BY
  - -0-7 SOLE DISPOSITIVE POWER
  - EACH

REPORTING

9,373,890 PERSON 8 SHARED DISPOSITIVE POWER WITH

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9,373,890

## 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.9% 12 TYPE OF REPORTING PERSON

FI

## 1 NAME OF REPORTING PERSON

- Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.
  CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
  - (a)
  - (b)
- 3 SEC USE ONLY

## 4 CITIZENSHIP OR PLACE OF ORGANIZATION

- Tokyo, Japan
  - **5** SOLE VOTING POWER

#### NUMBER OF

SHARES9,138,7736SHARED VOTING POWER

### BENEFICIALLY

- OWNED BY -0-
  - EACH 7 SOLE DISPOSITIVE POWER

### REPORTING

- PERSON 9,138,773 8 SHARED DISPOSITIVE POWER WITH
  - -0-
- 9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
  - 9,138,773

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## 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.8% 12 TYPE OF REPORTING PERSON

FI

## 1 NAME OF REPORTING PERSON

- kabu.com Securities Co., Ltd.CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
  - (a)
  - (b)
- 3 SEC USE ONLY

## 4 CITIZENSHIP OR PLACE OF ORGANIZATION

Tokyo, Japan

5 SOLE VOTING POWER

### NUMBER OF

SHARES235,1176SHARED VOTING POWER

BENEFICIALLY

- NEFICIALL I
- OWNED BY EACH -0-7 SOLE DISPOSITIVE POWER
- REPORTING
- PERSON 8 235,117 8 SHARED DISPOSITIVE POWER WITH

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

235,117

## 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.0% 12 TYPE OF REPORTING PERSON

FI

## ITEM 1

	(a)	Name of Issuer	
		Canon Inc.	
	(b)	Address of Issuer s Principal Executive Offices	
		30-2 Shimomaruko 3-chome, Ota-ku, Tokyo, 146-8501 Japan	
ITEM 2			
	(a)	Names of Persons Filing	
		Mitsubishi UFJ Financial Group, Inc. ( MUFG )	
		The Bank of Tokyo-Mitsubishi UFJ, Ltd. ( BTMU )	
		HighMark Capital Management, Inc. ( HCM )	
		Mitsubishi UFJ Trust and Banking Corporation ( MUTB )	
		Mitsubishi UFJ Kokusai Asset Management Co., Ltd. ( MUKAM )	
		MU Investments Co., Ltd. ( MUI )	
		Mitsubishi UFJ Asset Management (UK) Ltd. ( MUAMUK )	
		Mitsubishi UFJ Securities Holdings Co., Ltd. ( MUSHD )	
		Mitsubishi UFJ Morgan Stanley Securities Co., Ltd. ( MUMSS )	
		kabu.com Securities Co., Ltd. ( KC )	
	( <b>b</b> )	Address of Principal Business Office or, if none, Residence	
		MUFG : 7-1 Marunouchi 2-chome, Chiyoda-ku Tokyo 100-8330, Japan	
		BTMU : 7-1 Marunouchi 2-chome, Chiyoda-ku Tokyo 100-8388, Japan	
		HCM :	

350 California Street, San Francisco, California 94104, USA MUTB : 4-5 Marunouchi 1-chome, Chiyoda-ku Tokyo 100-8212, Japan

MUKAM : 12-1 Yurakucho 1-chome, Chiyoda-ku Tokyo 100-0006, Japan

MUI : 3-11 Kandasurugadai 2-chome, Chiyoda-ku Tokyo 101-0062, Japan

MUAMUK : 24 Lombard Street, London, EC3V 9AJ, United Kingdom

MUSHD : 5-2, Marunouchi 2-chome, Chiyoda-ku Tokyo 100-0005 , Japan

MUMSS : 5-2, Marunouchi 2-chome, Chiyoda-ku Tokyo 100-0005 , Japan

KC : 3-2 Otemachi 1-chome, Chiyoda-ku Tokyo 100-0004, Japan

(c) Citizenship

Not applicable.

(d) Title of Class of Securities

Common Stock

(e) CUSIP Number

138006309

# ITEM 3 If this statement is filed pursuant to §§ 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

- MUFG: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b)[ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c)[ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f)[ ]An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g) [ A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h) [ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
  - (j)[Ö]A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
  - (k)[ Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Parent holding company

- BTMU: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b)[ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c)[ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f)[ ]An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g)[ A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i)[]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
  - (j)[Ö]A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
  - (k) [Group, in accordance with 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Bank

- HCM: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b)[ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c)[ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e)[ÖAn investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f) []An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g) [A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i)[]

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A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);

(j)[ ]A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);

(k)[ Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Not applicable

- MUTB: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b)[ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c)[ Jnsurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f)[ ]An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g) [ A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h) [ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
  - (j)[Ö]A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
  - (k) [Group, in accordance with 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Bank

- MUKAM: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b)[ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c)[ Jnsurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f)[ ]An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g) [A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i)[]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
  - (j)[Ö]A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
  - (k) [Group, in accordance with 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Investment adviser

- MUI: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b)[ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c)[ Jnsurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f) []An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g) [A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h) [ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i)[]

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A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);

(j)[Ö]A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);

(k) [Group, in accordance with 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Investment adviser

MUAMUK: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);

- (b) [ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c)[ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
- (f)[ ]An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
- (g) [A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j)[Ô]A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k) [Group, in accordance with 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Investment adviser

- MUSHD: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c)[ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Jnvestment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e) [An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f)[ ]An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g) [A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h) [ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);

- (i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j)[ÖA non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k) [Group, in accordance with 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Broker-dealer

- MUMSS: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b) [ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c)[ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
  - (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
  - (f)[ ]An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
  - (g)[ A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h)[ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act

(12 U.S.C. 1813);

- (i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j)[OA non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k)[ Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Broker-dealer

- KC: (a)[ Broker or dealer registered under section 15 of the Act (15 U.S.C. 780);
  - (b) [Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
  - (c)[ Jnsurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
  - (d)[ Investment company registered under section 8 of the Investment Company Act of 1940

(15 U.S.C. 80a-8);

- (e)[ An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E);
- (f)[ ]An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
- (g) [A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h) [ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act

(12 U.S.C. 1813);

(i)[ ]A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);

(j)[Ö]A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);

(k)[ Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: Broker-dealer

## ITEM 4 Ownership

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

For MUFG

(a)	Amount beneficially owned:	65,539,457		
(b)	Percent of class:	6.00%		
(c)	) Number of shares as to which the person has:			
	(i) Sole power to vote or to direct the vote:	65,539,457		
	(ii) Shared power to vote or to direct the vote:	-0-		
	(iii) Sole power to dispose or to direct the disposition of:	65,539,457		
	(iv) Shared power to dispose or to direct the disposition of:	-0-		
For BTMU				
(a)	Amount beneficially owned:	6,000,634		
(b)	Percent of class:	0.55%		
(c)	Number of shares as to which the person has:			
	(i) Sole power to vote or to direct the vote:	6,000,634		
	(ii) Shared power to vote or to direct the vote:	-0-		
	(iii) Sole power to dispose or to direct the disposition of:	6,000,634		
	(iv) Shared power to dispose or to direct the disposition of:	-0-		

## For HCM

(a)	Amount beneficially owned:	70
(b)	Percent of class:	0.00%
(c)	Number of shares as to which the person has:	
	(i) Sole power to vote or to direct the vote:	70
	(ii) Shared power to vote or to direct the vote:	-0-
	(iii) Sole power to dispose or to direct the disposition of:	70
	(iv) Shared power to dispose or to direct the disposition of:	-0-
For	MUTB	
(a)	Amount beneficially owned:	50,164,933