Bank of New York Mellon Corp Form 4

December 13, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * HASSELL GERALD L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Bank of New York Mellon Corp

[BK]

(Check all applicable)

3. Date of Earliest Transaction

X_ Officer (give title

10% Owner Other (specify

(Middle)

(Month/Day/Year) 12/09/2016

below) Chairman & CEO

THE BANK OF NEW YORK MELLON CORPORATION, 225 LIBERTY STREET

(First)

(Street) 4. If Amendment, Date Original

Applicable Line)

_X__ Director

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

NEW YORK, NY 10286

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative	Secur	ities Acquir	ed, Disposed of, or	Beneficially (Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitie orDisposed o (Instr. 3, 4	f (D)	uired (A) or Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/09/2016		M	86,180	A	\$ 42.83	1,089,675.391	D	
Common Stock	12/09/2016		S	86,180	D	\$ 48.4525 (1)	1,003,495.391	D	
Common Stock	12/09/2016		M	471,700	A	\$ 43.93	1,475,195.391	D	
Common Stock	12/09/2016		S	471,700	D	\$ 48.4573 (2)	1,003,495.391	D	

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Common Stock	12/09/2016	M	35,896	A	\$ 44.59	1,039,391.391	D	
Common Stock	12/09/2016	S	35,896	D	\$ 48.408 (3)	1,003,495.391	D	
Common Stock						56,604	I	By Wife
Common Stock						22,140	I	By Family Trust
Common Stock						22,140	I	By Family Trust #2

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) o Disposed of (D (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An Nu Sha
04/02/2007 Stock Options	\$ 42.83	12/09/2016		M	86,180	04/02/2008(5)	04/02/2017	Common Stock	8
06/29/2007 Stock Options	\$ 43.93	12/09/2016		M	471,700	06/29/2008(5)	06/29/2017	Common Stock	47
07/23/2007 Stock Options	\$ 44.59	12/09/2016		M	35,896	07/23/2008(5)	07/23/2017	Common Stock	3

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Reporting Owners 2

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HASSELL GERALD L THE BANK OF NEW YORK MELLON CORPORATION 225 LIBERTY STREET NEW YORK, NY 10286

X

Chairman & CEO

Signatures

/s/Bennett E. Josselsohn, Attorney-in-Fact

12/13/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Represents the weighted average price of shares sold with actual prices ranging from \$48.35 to \$48.52. Upon request by the SEC staff,
- (1) the issuer, or any security holder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price within this range.
 - Represents the weighted average price of shares sold with actual prices ranging from \$48.35 to \$48.595. Upon request by the SEC staff,
- (2) the issuer, or any security holder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price within this range.
 - Represents the weighted average price of shares sold with actual prices ranging from \$48.335 to \$48.515. Upon request by the SEC staff,
- (3) the issuer, or any security holder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price within this range.
- (4) I disclaim beneficial ownership of these shares.
- (5) Reflects the exercise by the Reporting Person of Stock Options granted as part of the Company's employee compensation program in 2007, which if not exercised were scheduled to expire on the 10 year anniversary of the grant in 2017.
- (6) Not Applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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