McGuire David Francis Form 4

# November 15, 2018

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

Form filed by More than One Reporting

Person

January 31, 2005

0.5

response...

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading McGuire David Francis Issuer Symbol EZCORP INC [EZPW] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title \_ Other (specify 2500 BEE CAVE ROAD, BLDG. 1, 11/13/2018 below) **SUITE 200** Deputy CFO; CAO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

#### ROLLINGWOOD, TX 78746

	(City)	(State) (Zij	Table I	- Non-Der	ivative Sec	curitie	s Acqui	red, Disposed of,	or Beneficiall	y Owned
S	Title of ecurity nstr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	Transaction(A) or Di Code (Instr. 3,		of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
N C	Class A  Jon-Voting  Common  tock	11/13/2018		M <u>(1)</u>	27,229	, í		27,229	D	
N C	Class A  Jon-Voting Common tock	11/13/2018		M(1)	6,725	A	\$ 9.12	33,954	D	
N C	Class A Ion-Voting Common tock	11/13/2018		F(2)	8,809	D	\$ 9.12	25,145	D	

#### Edgar Filing: McGuire David Francis - Form 4

Class A Non-Voting Common

Stock

11/13/2018

 $F^{(2)}$ 

2,176 D

22,969

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 9.12	11/13/2018		M <u>(1)</u>	27,229	(3)	(3)	Class A Non-Voting Common Stock	27,229
Restricted Stock Units	\$ 9.12	11/13/2018		M <u>(1)</u>	6,725	(3)	(3)	Class A Non-Voting Common Stock	6,725
Restricted Stock Units	\$ 9.12	11/13/2018		J <u>(4)</u>	6,807	(3)	(3)	Class A Non-Voting Common Stock	6,807

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner

Officer

Other

McGuire David Francis 2500 BEE CAVE ROAD BLDG. 1, SUITE 200 ROLLINGWOOD, TX 78746

Deputy CFO; CAO

2 Reporting Owners

### **Signatures**

/s/ Carrie Putnam, attorney in fact 11/15/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
- (2) Represents shares withheld to cover tax liability associated with the vesting of restricted stock units.
- (3) The units vested on November 13, 2018 after specified performance goals were achieved.
- (4) These units were forfeited on November 13, 2018 due to failure to achieve performance goals.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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