Edgar Filing: THAXTON GREGORY A - Form 4

| THAXTON Form 4 January 07, | GREGORY A | | | | | | | | | | |
|--|--|-------------------------|--|---|--------------------|---|--------------|---|---|--------------------------|--|
| OMB APPROVAL | | | | | | | | | | | |
| | UNITEDS | FATES S | | | ND EX , D.C. 20 | | NGE C | OMMISSION | OMB Number: | 3235-0287 | |
| Check tl if no lon subject t Section Form 4 Form 5 obligatio may con | section 17(a) | ant to Sec of the Pu | F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Sectio of the Investment Company Act of 1940 | | | | | | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | |
| <i>See</i> Instr 1(b). | ruction | 50(11) 01 | | csunem | Compa | IY AC | 10117-0 |) | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and A THAXTON | 2. Issuer Name and Ticker or Trading Symbol NORDSON CORP [NDSN] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
| (Last) | (First) (Mic | ddle) 3. | . Date of | Earliest T | ransaction | | | (Check | | | |
| | | | | Month/Day/Year))1/03/2019 | | | | Director 10% Owner X Officer (give title Other (specify below) below) Executive Vice President, CFO | | | |
| | | | | If Amendment, Date Original ed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person | | | |
| WESTLAK | XE, OH 44145 | | | | | | | Form filed by M Person | ore than One Rep | porting | |
| (City) | (State) (Z | iip) | Table | e I - Non-I | Derivative | Secur | ities Acqu | uired, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year) | | | | Code (Instr. 3, 4 and 5) | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. 7. Natur Ownership Indirect Form: Direct Benefic (D) or Owners | 7. Nature of Indirect | |
| Common | | | | Code V | Amount 8,894 | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | (1150. 7) | | |
| Stock | 01/03/2019 | | | А | 8,894 (1) | А | \$0 | 61,661 | D | | |
| Common Stock | 01/03/2019 | | | F | 3,945 (2) | D | \$ 112.76 | 57,716 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pri Deriv Secu (Instr |
|---|---|---|---|--|---|--|--------------------|---|-------------------------------------|-----------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Employee Stock Options (right to buy) | \$ 43.32 | | | | | (3) | 12/07/2020 | Common Stock | 11,400 | |
| Employee Stock Options (right to buy) | \$ 43.73 | | | | | <u>(3)</u> | 11/28/2021 | Common Stock | 13,000 | |
| Employee Stock Options (right to buy) | \$ 61.59 | | | | | <u>(3)</u> | 11/28/2022 | Common Stock | 11,000 | |
| Employee Stock Options (right to buy) | \$ 71.75 | | | | | (3) | 11/25/2023 | Common Stock | 11,000 | |
| Employee Stock Options (right to buy) | \$ 79.66 | | | | | <u>(3)</u> | 11/24/2024 | Common Stock | 12,200 | |
| Employee Stock Options (right to buy) | \$ 70.91 | | | | | (4) | 11/23/2025 | Common Stock | 17,200 | |
| Employee Stock | \$ 107.65 | | | | | (5) | 11/21/2026 | Common Stock | 14,000 | |

| Options (right to buy) | | | | | |
|---|-----------|------------|------------|-----------------|--------|
| Employee Stock Options (right to buy) | \$ 127.67 | <u>(6)</u> | 11/20/2027 | Common Stock | 12,500 |
| Employee Stock Options (right to buy) | \$ 124.9 | <u>(7)</u> | 11/26/2028 | Common Stock | 11,800 |

Reporting Owners

| Reporting Owner Name / Addre | SS | Relationships | | | | | | |
|---|------------|---------------|-------------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| THAXTON GREGORY A 28601 CLEMENS ROAD WESTLAKE, OH 44145 | | | Executive Vice President, CFO | | | | | |
| Signatures | | | | | | | | |
| Gina A. Beredo | 01/07/2019 | | | | | | | |

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued in connection with settlement of performance award based upon achievement of performance measures for period 2016-2018.
- (2) Shares withheld to cover withholding taxes due upon settlement of the performance award for period 2016-2018.
- (3) All such options have fully vested.
- (4) The options vest in 4 equal annual installments beginning on November 23, 2016.
- (5) The options vest in 4 equal annual installments beginning on November 21, 2017.
- (6) The options vest in 4 equal annual installments beginning on November 20, 2018.
- (7) The options vest in 4 equal annual installments beginning on November 26, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.