#### **GORMAN JEFFREY S**

Form 5

February 08, 2019

#### **OMB APPROVAL** FORM 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

3235-0362 Number: January 31, Expires: 2005

**OMB** 

no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response...

1.0

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported 30(h) of the Investment Company Act of 1940 Form 4

Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer **GORMAN JEFFREY S** Symbol GORMAN RUPP CO [GRC] (Check all applicable) (Last) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) \_X\_ Director 10% Owner 12/31/2018 \_X\_ Officer (give title Other (specify below) below) THE GORMAN-RUPP President & CEO COMPANY, 600 SOUTH AIRPORT ROAD

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

### MANSFIELD, OHÂ 44903

(State)

(Zin)

(City)

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

| (City)                               | (State)                              | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |                                |        |             |  |  |   |  |
|--------------------------------------|--------------------------------------|--|---|--------------------------------|--------|-------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                      | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi (A) or Di (Instr. 3, | ispose | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned at<br>end of<br>Issuer's<br>Fiscal Year<br>(Instr. 3 and<br>4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
| Common<br>Stock<br>(401-K<br>Plan)   | 03/31/2018                           | Â  | <u>J(1)</u>                             | 251                            | A      | \$<br>29.25 | 59,192   | I  | By 401-K<br>Trust                                     |  |
| Common<br>Stock<br>(401-K            | 06/30/2018                           | Â  | J <u>(1)</u>                            | 126                            | A      | \$ 35       | 59,318   | I  | By 401-K<br>Trust                                     |  |

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| Plan)                              |            |   |              |       |   |             |         |   |                                       |
|------------------------------------|------------|---|--------------|-------|---|-------------|---------|---|---------------------------------------|
| Common<br>Stock<br>(401-K<br>Plan) | 09/30/2018 | Â | J <u>(1)</u> | 170   | A | \$ 36.5     | 59,488  | I | By 401-K<br>Trust                     |
| Common<br>Stock<br>(401-K<br>Plan) | 12/31/2018 | Â | <u>J(1)</u>  | 3,921 | A | \$<br>32.41 | 63,409  | I | By 401-K<br>Trust                     |
| Common<br>Stock                    | 01/09/2018 | Â | G            | 1,395 | A | \$ 0        | 754,701 | I | By family (2)                         |
| Common<br>Stock                    | 08/16/2018 | Â | G            | 400   | A | \$ 0        | 755,101 | I | By family (3)                         |
| Common<br>Stock                    | 08/22/2018 | Â | G            | 800   | A | \$ 0        | 755,901 | I | By family (4)                         |
| Common<br>Stock                    | 12/12/2018 | Â | J <u>(5)</u> | 75    | A | \$ 34.2     | 755,976 | I | By family (6)                         |
| Common<br>Stock                    | 01/09/2018 | Â | G            | 465   | A | \$ 0        | 641,671 | I | By Jeffrey S.<br>Gorman<br>Trust (BR) |
| Common<br>Stock                    | 01/09/2018 | Â | G            | 465   | A | \$ 0        | 123,731 | I | By Michele<br>S. Gorman<br>Trust (BR) |
| Common<br>Stock                    | 01/09/2018 | Â | G            | 465   | D | \$ 0        | 10,626  | I | By Jeffrey S.<br>Gorman<br>Trust (ML) |
| Common<br>Stock                    | 08/22/2018 | Â | G            | 400   | D | \$ 0        | 10,226  | I | By Jeffrey S.<br>Gorman<br>Trust (ML) |
| Common<br>Stock                    | 01/09/2018 | Â | G            | 465   | D | \$ 0        | 10,506  | I | By Michele S. Gorman Trust (ML)       |
| Common<br>Stock                    | 08/22/2018 | Â | G            | 400   | D | \$ 0        | 10,106  | I | By Michele S. Gorman Trust (ML)       |
| Common<br>Stock                    | Â          | Â | Â            | Â     | Â | Â           | 125,000 | I | By 2011<br>Jeffrey S.<br>Gorman       |

Trust (Mechanics) (7)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | ınt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|---|---|---|---------------------|--------------------|-------|--|---|
|   |   |   |   | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |           |      |  |  |  |  |
|--------------------------------|---------------|-----------|-----------|------|--|--|--|--|
|                                | Director      | 10% Owner | Officer   | Othe |  |  |  |  |
| GORMAN JEFFREY S               |               |           |           |      |  |  |  |  |
| THE GORMAN-RUPP COMPANY        | ÂΧ            | â         | President | â    |  |  |  |  |
| 600 SOUTH AIRPORT ROAD         | АЛ            | А         | & CEO     | A    |  |  |  |  |
| MANSFIELD. OH 44903            |               |           |           |      |  |  |  |  |

# **Signatures**

Jeffrey S. Gorman BY: /s/Brigette A. Burnell
Attorney-in-Fact

02/08/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired under GRC 401(k) Plan.
- (2) Includes 637,880 shares owned by his children and 116,821 shares held in trust in which Mr. Gorman has a beneficial interest. Mr. Gorman disclaims beneficial ownership of all the shares referred to in this footnote.
- (3) Includes 638,280 shares owned by his children and 116,821 shares held in trust in which Mr. Gorman has a beneficial interest. Mr. Gorman disclaims beneficial ownership of all the shares referred to in this footnote.

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- (4) Includes 639,080 shares owned by his children and 116,821 shares held in trust in which Mr. Gorman has a beneficial interest. Mr. Gorman disclaims beneficial ownership of all the shares referred to in this footnote.
- (5) Shares acquired through dividend reinvestment.
- (6) Includes 639,155 shares owned by his children and 116,821 shares held in trust in which Mr. Gorman has a beneficial interest. Mr. Gorman disclaims beneficial ownership of all the shares referred to in this footnote.
- (7) Shares held by the Jeffrey S. Gorman Trust (a revocable trust of which Jeffrey S. Gorman is sole trustee) for estate planning purposes.
- (8) Shares held by the Michele S. Gorman Trust (a revocable trust of which Mr. Gorman's wife is sole trustee) for estate planning purposes. Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.