

Neikirk Kenneth English
Form 3
May 03, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Neikirk Kenneth English

(Last) (First) (Middle)

3505 W. SAM HOUSTON
PARKWAY N., SUITE 400

(Street)

HOUSTON, TX 77043

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

05/01/2019

3. Issuer Name and Ticker or Trading Symbol

HELIX ENERGY SOLUTIONS GROUP INC [HLX]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner

☒ Officer ____ Other

(give title below) (specify below)

SVP, Gen Counsel & Secretary

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person

____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

33,126 ⁽¹⁾

D

^

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

Edgar Filing: Neikirk Kenneth English - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Performance Share Unit	Â (2)	Â (3)	Common Stock	17,006 (4) \$ (2)		D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Neikirk Kenneth English 3505 W. SAM HOUSTON PARKWAY N. SUITE 400 HOUSTON, TX 77043	Â	Â	Â SVP, Gen Counsel & Secretary	Â

Signatures

Kenneth E. Neikirk by power of attorney
05/03/2019
____Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Of the shares owned, 2,835 are restricted shares granted in 2017 as part of a restricted stock award pursuant to the Helix Energy Solutions Group, Inc.'s ("Helix") 2005 Long Term Incentive Plan (as Amended and Restated effective January 1, 2017).
Each Performance Share Unit ("PSU") represents the contingent right to receive one share of Helix's common stock. Actual number of
- (2) shares upon vesting may range from 0% to 200% dependent on Helix's relative shareholder return as compared to its peer group over a three-year period beginning January 1, 2018 and ending December 31, 2020.
- (3) Upon payment of the PSUs, which shall occur no later than March 15, 2021.
- (4) Amount reported is 200% of the number of PSUs granted and the maximum number that may be earned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.