## Edgar Filing: ALLIANCEBERNSTEIN HOLDING L.P. - Form 4

ALLIANCEBERNSTEIN HOLDING L.P. Form 4 February 04, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Cranch Laurence E Issuer Symbol ALLIANCEBERNSTEIN (Check all applicable) HOLDING L.P. [AB] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) C/O ALLIANCEBERNSTEIN 01/31/2014 General Counsel L.P., 1345 AVENUE OF THE **AMERICAS** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting NEW YORK, NY 10105 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 3. 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 7. Nature of 6. Transaction(A) or Disposed of (D) Ownership Indirect Security (Month/Day/Year) Execution Date, if Securities (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price by rabbi trust units rep. under assignments employee \$ 22.34 813 <u>(2)</u> D 162,197 (2) F<sup>(2)</sup> of beneficial 01/31/2014 Ι incentive owner. of lp compensation interests (1)award program AB Holding 14.927 (2) D Units (1)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative | 2.<br>Conversion | 3. Transaction Date<br>(Month/Day/Year) |                  | 4.<br>Transacti | 5.<br>orNumber | 6. Date Exerce<br>Expiration D |                    | 7. Titl<br>Amou |            | 8. Price of<br>Derivative | 9. Nu<br>Deriv |
|---------------------------|------------------|---|------------------|-----------------|----------------|--------------------------------|--------------------|-----------------|------------|---------------------------|----------------|
| Security                  | or Exercise      | •                                       | any              | Code            | of             | (Month/Day/                    | Year)              | Under           | rlying     | Security                  | Secu           |
| (Instr. 3)                | Price of         |   | (Month/Day/Year) | (Instr. 8)      | Derivativ      | · ·                            |                    | Secur           |            | (Instr. 5)                | Bene           |
| ,                         | Derivative       |   | •                |                 | Securities     | 3                              |                    | (Instr.         | . 3 and 4) |                           | Owne           |
|                           | Security         |   |                  |                 | Acquired       |                                |                    |                 |            |                           | Follo          |
|                           |                  |   |                  |                 | (A) or         |                                |                    |                 |            |                           | Repo           |
|                           |                  |   |                  |                 | Disposed       |                                |                    |                 |            |                           | Trans          |
|                           |                  |   |                  |                 | of (D)         |                                |                    |                 |            |                           | (Instr         |
|                           |                  |   |                  |                 | (Instr. 3,     |                                |                    |                 |            |                           |                |
|                           |                  |   |                  |                 | 4, and 5)      |                                |                    |                 |            |                           |                |
|                           |                  |   |                  |                 |                |                                |                    |                 | Amount     |                           |                |
|                           |                  |   |                  |                 |                |                                |                    |                 | or         |                           |                |
|                           |                  |   |                  |                 |                | Date<br>Exercisable            | Expiration<br>Date | Title           | Number     |                           |                |
|                           |                  |   |                  |                 |                |                                |                    | 1.110           | of         |                           |                |
|                           |                  |   |                  | Code V          | (A) (D)        |                                |                    |                 | Shares     |                           |                |

## **Reporting Owners**

| Reporting Owner Name / Address   |            | Relationships |                 |       |  |  |  |  |
|--|------------|---------------|-----------------|-------|--|--|--|--|
|  | Director   | 10% Owner     | Officer         | Other |  |  |  |  |
| Cranch Laurence E<br>C/O ALLIANCEBERNSTEIN L.P.<br>1345 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10105 | 5          |               | General Counsel |       |  |  |  |  |
| Signatures   |            |               |                 |       |  |  |  |  |
| /s/ Mona Bhalla,<br>attorney-in-fact   | 02/04/2014 |               |                 |       |  |  |  |  |

Date

\*\*Signature of Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units representing assignments of beneficial ownership of limited partnership interests in AllianceBernstein Holding L.P. ("AB Holding Units").
- On January 31, 2014, 2,091 AB Holding Units previously owned by Reporting Person through a rabbi trust under an employee incentive
   (2) compensation award program were distributed to him. Of these AB Holding Units, 813 AB Holding Units were withheld for tax purposes and 1,278 AB Holding Units were delivered to him.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.