Edgar Filing: LaRue R Scott - Form 4

LaRue R Scott Form 4 February 07, 2013 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).						NERSHIP OF te Act of 1934, f 1935 or Sectio	N OMB Number: Expires: Estimated burden her response	•			
(Print or Type	Responses)										
LaRue R Scott Syr PII			2. Issuer Name and Ticker or Trading Symbol PIPER JAFFRAY COMPANIES [PJC]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Month			(Month/E	Date of Earliest Transaction onth/Day/Year) /06/2013				Director 10% Owner X Officer (give title Other (specify below) below) Co-Head Global IB			
			nendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
MINNEAPOLIS, MN 55402				Form filed by Person				More than One Reporting			
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	e Secu	rities Aco	quired, Disposed o	of, or Benefic	ially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Day	Date, if	3. Transactio Code (Instr. 8) Code V	(Instr. 3,	ispose	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/06/2013			S	3,800	D	\$ 40.94	45,219	D		
Common Stock	02/06/2013			S	1,200	D	\$ 40.95	44,019	D		
Common Stock								423 <u>(1)</u>	Ι	By PJC Retirement Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
LaRue R Scott 800 NICOLLET MALL MINNEAPOLIS, MN 55402			Co-Head Global IB				
Signatures							
/s/ John W. Geelan for R. Scott LaRue		02/06/20					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Based on a plan report dated February 5, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.