Edgar Filing: EDDLEMAN ROY T - Form 4

EDDLEMAN RO Form 4	ΥT										
July 16, 2018											
FORM 4	UNITED	STATES	SECU	DITIES				ΝT	APPROVAL		
CUNIVI 4 UNITED STATES SECURITIES A Washington								Number:	3235-0287		
Check this box if no longer subject to Section 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: Estimated burden ho	urs per		
Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	-	(a) of the F	Public U	Jtility Hol	ding Cor		nge Act of 1934, of 1935 or Section 940		0.5		
(Print or Type Respon	ses)										
			Symbol Issuer				of Reporting Pe	Reporting Person(s) to			
(Last) (I	First) (Middle)	REPLIGEN CORP [RPGN] 3. Date of Earliest Transaction				(Check all applicable)				
C/O TROYGOUI CENTURY PAR FLOOR	LD PC, 180)1		Day/Year)	ransaction		Director Officer (giv below))% Owner her (specify		
(S LOS ANGELES,	Street) CA 90067			endment, D onth/Day/Yea	-	ıl	6. Individual or . Applicable Line) _X_ Form filed by Form filed by Person		Person		
(City) (S	State)	(Zip)	Tab	ole I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	ally Owned		
	nsaction Date h/Day/Year)		Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Report on	a separate line	e for each cla	uss of sec	urities bene	ficially ow	ned directly	or indirectly.				
					inforn requir	nation cont red to resp ays a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tab					posed of, or convertible	Beneficially Owned securities)	1			
1. Title of 2.	3. Tı	ransaction Da	ate 3A.	Deemed	4.	5.	6. Date Exercisal	ble and	7. Title and Amour		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	nNumber	Expiration Date	Underlying Securities

Edgar Filing: EDDLEMAN ROY T - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any Code (Month/Day/Year) (Instr. 8)		of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Call option (obligation to sell)	\$ 54.9298	07/12/2018		S	1 (1)	01/13/2020	01/13/2020	Common Stock	250,000
Put option (right to sell) (1)	\$ 43.4992	07/12/2018		Р	1	01/13/2020	01/13/2020	Common Stock	250,000

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
EDDLEMAN ROY T C/O TROYGOULD PC 1801 CENTURY PARK E., 16TH FLOOR LOS ANGELES, CA 90067		Х				
Signatures						
Istvan Benko, as Attorney-in-Fact for Roy T. Eddleman	07/16/2018					
**Signature of Reporting Person		D	ate			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person entered into a so-called zero-cost collar arrangement pursuant to which he wrote a covered call option and purchased a put option. Only one of the options can be "in the money" on the expiration date, at which time the in-the-money option will

- (1) purchased a put option. Only one of the options can be in the money on the expiration date, at which this the in-the-money option will be exercised (and settled in cash) and the other option will expire. If neither option is in the money on the expiration date, both will expire.
- (2) The securities shown are held of record by the Roy T. Eddleman Living Trust UAD 8-7-2000, of which the reporting person is the sole trustee, and as such, has investment and voting control over such securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.