Broad Matthew R Form 3 April 25, 2011

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Broad Matthew R

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/13/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

OFFICEMAX INC [OMX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O OFFICEMAX INCORPORATED, Â 263 SHUMAN BLVD.

(Street)

10% Owner Director \_X\_\_ Officer

(Check all applicable)

Other (give title below) (specify below) EVP and General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

NAPERVILLE, ÂILÂ 60653

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

Beneficially Owned

2. Amount of Securities

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

Common Stock

124,034

Â D

Series D Convertible Preferred Stock

1.800

Ι By ESOP Trust (1)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying

Ownership Conversion

6. Nature of Indirect Beneficial Ownership

**Derivative Security** or Exercise Form of (Instr. 5)

### Edgar Filing: Broad Matthew R - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(2)	02/12/2016	Common Stock	49,000	\$ 4.8	D	Â
Employee Stock Option (Right to Buy)	(3)	02/11/2017	Common Stock	32,264	\$ 14.52	D	Â
Employee Stock Option (Right to Buy)	(4)	02/09/2018	Common Stock	25,070	\$ 16.86	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>F</b>	Director	10% Owner	Officer	Other		
Broad Matthew R C/O OFFICEMAX INCORPORATED 263 SHUMAN BLVD. NAPERVILLE  II. 60653	Â	Â	EVP and General Counsel	Â		

## **Signatures**

Susan Wagner-Fleming, by POA from Matt Broad 04/25/2011

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Represents number of shares beneficially owned as of 4/13/2011 based on information from the plan administrator
- (2) The option vests in three equal annual installments beginning on February 12, 2010
- (3) The option vests in three equal annual installments beginning on February 11, 2011
- (4) The option vests in three equal annual installments beginning on February 9, 2012

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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