#### RHOADES CHARLES S

Form 4

February 02, 2005

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Expires:

3235-0287

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* RHOADES CHARLES S

2. Issuer Name and Ticker or Trading

Issuer

Symbol

ADVANCED ENERGY **INDUSTRIES INC [AEIS]** 

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First) (Middle) 3. Date of Earliest Transaction

4. If Amendment, Date Original

Director X\_ Officer (give title

below)

10% Owner Other (specify

1625 SHARP POINT DRIVE

(Street)

(Month/Day/Year) 01/31/2005

below) **EVP Products and Operations** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

FORT COLLINS, CO US

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Indirect (I) Ownership Owned Following (Instr. 4) (Instr. 4)

Reported (A) or

<u>(1)</u>

Transaction(s)

Code Amount (D) Price

(Instr. 3 and 4)

Common Stock

(Instr. 3)

01/31/2005

A 10,000 Α

(e.g., puts, calls, warrants, options, convertible securities)

 $10,376 \frac{(2)}{}$ 

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(9-02)

1

### Edgar Filing: RHOADES CHARLES S - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	OrDer Sector Acq or I (D)	urities quired Dispos	(A) ed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitic (Instr. 3 and 4)	
				Code V	(	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Employee Stock Option (right to buy)	\$ 7.7							10/17/2003(3)	10/17/2012	Common Stock	30,0
Employee Stock Option (right to buy)	\$ 14.5							12/11/2003(3)	12/11/2012	Common Stock	10,0
Employee Stock Option (right to buy)	\$ 9.12							02/12/2004(3)	02/12/2013	Common Stock	5,00
Employee Stock Option (right to buy)	\$ 7.61							04/16/2004(3)	04/16/2013	Common Stock	5,00
Employee Stock Option (right to buy)	\$ 19.24							07/23/2004(3)	07/23/2013	Common Stock	5,00
Employee Stock Option (right to buy)	\$ 22.52							10/15/2004(3)	10/15/2013	Common Stock	5,00
Employee Stock Option (right to buy)	\$ 22.3							02/11/2005(3)	02/11/2014	Common Stock	3,75
	\$ 20.81							04/14/2005(3)	04/14/2014		3,75

#### Edgar Filing: RHOADES CHARLES S - Form 4

Employee Stock Option (right to buy)							Common Stock	
Employee Stock Option (right to buy)	\$ 12.8				07/20/2005(3)	07/20/2014	Common Stock	3,75
Employee Stock Option (right to buy)	\$ 9.97				08/26/2005(3)	08/26/2014	Common Stock	50,0
Employee Stock Option (right to buy)	\$ 10.37				10/19/2005 <u>(3)</u>	10/19/2014	Common Stock	3,75
Employee Stock Option (right to buy)	\$ 7.15	01/31/2005	A	35,650	01/31/2006(4)	01/31/2015	Common Stock	35,6

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
RHOADES CHARLES S						
1625 SHARP POINT DRIVE			<b>EVP Products and Operations</b>			

FORT COLLINS, CO US

## **Signatures**

Michael El-Hillow -02/02/2005 Attorney-in-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock units.
- (2) Includes 10,000 shares of restricted stock units.
- (3) Stock options dated 10/17/2002, 12/11/2002, 02/12/2003, 04/16/2003, 07/23/2003, 10/15/2003, 02/11/2004, 04/14/2004, 07/20/2004, 08/26/2004, and 10/19/2004 are such that 25% of the shares become exercisable on the first anniversary following the date of grant, and

Reporting Owners 3

### Edgar Filing: RHOADES CHARLES S - Form 4

the remaining shares become exercisable in equal increments each quarter for 3 years thereafter.

- (4) Stock option dated 01/31/05 is such that 25% of the shares become exercisable on the first anniversary following the date of grant and the remaining shares become exercisable in equal increments each year for 3 years thereafter.
- (5) Mr. Rhoades additional Employee Stock Options (right to buy) for a total of 160,650 shares of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.