

AMAZON COM INC

Form 4

November 05, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BEZOS JEFFREY P

(Last) (First) (Middle)

P.O. BOX 81226

(Street)

SEATTLE, WA 98108-1226

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AMAZON COM INC [AMZN]

3. Date of Earliest Transaction
(Month/Day/Year)
11/01/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman, CEO and President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.01 per share	11/01/2013		S ⁽¹⁾	57,202 D	\$ 356.576 (2)	85,912,818 D	
Common Stock, par value \$.01 per share	11/01/2013		S ⁽¹⁾	25,093 D	\$ 357.525 (3)	85,887,725 D	
Common Stock, par	11/01/2013		S ⁽¹⁾	51,975 D	\$ 358.5674	85,835,750 D	

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value					<u>(4)</u>		
\$.01 per share							
Common Stock, par value	11/01/2013	<u>S(1)</u>	54,942	D	\$ 359.5128	85,780,808	D
\$.01 per share					<u>(5)</u>		
Common Stock, par value	11/01/2013	<u>S(1)</u>	70,863	D	\$ 360.619	85,709,945	D
\$.01 per share					<u>(6)</u>		
Common Stock, par value	11/01/2013	<u>S(1)</u>	39,419	D	\$ 361.8928	85,670,526	D
\$.01 per share					<u>(7)</u>		
Common Stock, par value	11/01/2013	<u>S(1)</u>	16,009	D	\$ 362.5523	85,654,517	D
\$.01 per share					<u>(8)</u>		
Common Stock, par value	11/01/2013	<u>S(1)</u>	14,596	D	\$ 363.6798	85,639,921	D
\$.01 per share					<u>(9)</u>		
Common Stock, par value	11/01/2013	<u>S(1)</u>	19,293	D	\$ 364.7777	85,620,628	D
\$.01 per share					<u>(10)</u>		
Common Stock, par value	11/01/2013	<u>S(1)</u>	873	D	\$ 365.3602	85,619,755	D
\$.01 per share					<u>(11)</u>		
Common Stock, par value	11/04/2013	<u>S(1)</u>	18,738	D	\$ 355.1303	85,601,017	D
\$.01 per share					<u>(12)</u>		
Common Stock, par value	11/04/2013	<u>S(1)</u>	119,264	D	\$ 356.0392	85,481,753	D
value					<u>(13)</u>		

\$.01 per
share

Common
Stock, par

value 11/04/2013

S⁽¹⁾

113,964

D

\$

356.9988

85,367,789

D

(14)

\$.01 per

share

Common
Stock, par

value 11/04/2013

S⁽¹⁾

88,980

D

\$

357.8918

85,278,809

D

(15)

\$.01 per

share

Common
Stock, par

value 11/04/2013

S⁽¹⁾

2,385

D

\$

358.9131

85,276,424

D

(16)

\$.01 per

share

Common
Stock, par

value 11/04/2013

S⁽¹⁾

6,934

D

\$

360.5026

85,269,490

D

(17)

\$.01 per

share

Common
Stock, par

value 11/05/2013

S⁽¹⁾

29,367

D

\$

355.2183

85,240,123

D

(18)

\$.01 per

share

Common
Stock, par

value 11/05/2013

S⁽¹⁾

49,892

D

\$

356.3796

85,190,231

D

(19)

\$.01 per

share

Common
Stock, par

value 11/05/2013

S⁽¹⁾

102,665

D

\$ 357.287

(20)

85,087,566

D

\$.01 per

share

Common
Stock, par

value 11/05/2013

S⁽¹⁾

56,316

D

\$

358.4258

85,031,250

D

(21)

\$.01 per

share

Common 11/05/2013

S⁽¹⁾

49,050

D

\$

359.4704

84,982,200

D

(22)

value

\$.01 per

share

Common

Stock, par

value 11/05/2013

\$0.01 per

share

S⁽¹⁾

12,180

D

\$

360.0021

84,970,020

D

(23)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Director	10% Owner	Officer	Other
BEZOS JEFFREY P P.O. BOX 81226 SEATTLE, WA 98108-1226	X	X	Chairman, CEO and President	

Signatures

/s/ PAUL DAUBER, attorney-in-fact for Jeffrey P. Bezos, Chairman of the Board, Chief
Executive Officer and President

11/05/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$357.10 and the lowest price at which shares were sold was \$356.11.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$358.10 and the lowest price at which shares were sold was \$357.11.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$359.10 and the lowest price at which shares were sold was \$358.11.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$360.10 and the lowest price at which shares were sold was \$359.11.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$361.24 and the lowest price at which shares were sold was \$360.25.
- (7) Represents the weighted average sale price. The highest price at which shares were sold was \$362.24 and the lowest price at which shares were sold was \$361.25.
- (8) Represents the weighted average sale price. The highest price at which shares were sold was \$363.24 and the lowest price at which shares were sold was \$362.25.
- (9) Represents the weighted average sale price. The highest price at which shares were sold was \$364.22 and the lowest price at which shares were sold was \$363.30.
- (10) Represents the weighted average sale price. The highest price at which shares were sold was \$365.22 and the lowest price at which shares were sold was \$364.30.
- (11) Represents the weighted average sale price. The highest price at which shares were sold was \$365.72 and the lowest price at which shares were sold was \$365.31.
- (12) Represents the weighted average sale price. The highest price at which shares were sold was \$355.48 and the lowest price at which shares were sold was \$354.49.
- (13) Represents the weighted average sale price. The highest price at which shares were sold was \$356.49 and the lowest price at which shares were sold was \$355.50.
- (14) Represents the weighted average sale price. The highest price at which shares were sold was \$357.49 and the lowest price at which shares were sold was \$356.50.
- (15) Represents the weighted average sale price. The highest price at which shares were sold was \$358.49 and the lowest price at which shares were sold was \$357.50.
- (16) Represents the weighted average sale price. The highest price at which shares were sold was \$359.19 and the lowest price at which shares were sold was \$358.50.
- (17) Represents the weighted average sale price. The highest price at which shares were sold was \$360.90 and the lowest price at which shares were sold was \$360.46.
- (18) Represents the weighted average sale price. The highest price at which shares were sold was \$355.81 and the lowest price at which shares were sold was \$354.84.
- (19) Represents the weighted average sale price. The highest price at which shares were sold was \$356.83 and the lowest price at which shares were sold was \$355.84.
- (20) Represents the weighted average sale price. The highest price at which shares were sold was \$357.83 and the lowest price at which shares were sold was \$356.84.
- (21) Represents the weighted average sale price. The highest price at which shares were sold was \$358.82 and the lowest price at which shares were sold was \$357.84.
- (22) Represents the weighted average sale price. The highest price at which shares were sold was \$359.85 and the lowest price at which shares were sold was \$358.86.
- (23) Represents the weighted average sale price. The highest price at which shares were sold was \$360.13 and the lowest price at which shares were sold was \$359.86.

Remarks:

REMARKS:

Explanation of Responses:

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The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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