

AMAZON COM INC
Form 4
November 15, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SZKUTAK THOMAS J

(Last) (First) (Middle)

P.O. BOX 81226

(Street)

SEATTLE, WA 98108-1226

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AMAZON COM INC [AMZN]

3. Date of Earliest Transaction
(Month/Day/Year)
11/15/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Senior Vice President and CFO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|--|--------------------------------------|--|--------------------------------|---|---|--|---|---|
| | | | Code | V | Amount (D) or Price (A) | | | |
| Common Stock, par value \$0.01 per share | 11/15/2013 | | M | | 7,500 | A | \$ 0 54,914 | D |
| Common Stock, par value \$0.01 per share | 11/15/2013 | | M | | 611 | A | \$ 0 55,525 | D |
| Common Stock, par | 11/15/2013 | | S ⁽¹⁾ | | 203 | D | \$ 366.2998 | D |

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| | | | | | | | |
|-------------------------|------------|------------------|-------|---|-------------|---------|--|
| value | | | | | (2) | | |
| \$.01 per share | | | | | | | |
| Common Stock, par value | 11/15/2013 | S ⁽¹⁾ | 1,100 | D | \$ 367.8427 | 54,222 | D |
| \$.01 per share | | | | | (3) | | |
| Common Stock, par value | 11/15/2013 | S ⁽¹⁾ | 300 | D | \$ 368.8753 | 53,922 | D |
| \$.01 per share | | | | | (4) | | |
| Common Stock, par value | 11/15/2013 | S ⁽¹⁾ | 1,001 | D | \$ 370.0328 | 52,921 | D |
| \$.01 per share | | | | | (5) | | |
| Common Stock, par value | 11/15/2013 | S ⁽¹⁾ | 500 | D | \$ 371.03 | 52,421 | D |
| \$.01 per share | | | | | (6) | | |
| Common Stock, par value | 11/15/2013 | S ⁽¹⁾ | 300 | D | \$ 372.1333 | 52,121 | D |
| \$.01 per share | | | | | (7) | | |
| Common Stock, par value | | | | | | 473.999 | I |
| \$.01 per share | | | | | | | Held by the reporting person's Amazon.com 401(k) account |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|------------------------------------|--------------------------------------|--|--------------------------------|---|--|---|
|--|------------------------------------|--------------------------------------|--|--------------------------------|---|--|---|

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| Derivative Security | | | (A) or Disposed of (D) (Instr. 3, 4, and 5) | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|-----------------------------|---------------------|------------|---|------|---|-------|-----|----------------------------|-----------------|--|----------------------------|
| Restricted Stock Unit Award | \$ 0 ⁽⁸⁾ | 11/15/2013 | | M | | 7,500 | | 05/15/2011 ⁽⁹⁾ | 02/15/2014 | Common Stock, par value \$0.01 per share | 7,500 |
| Restricted Stock Unit Award | \$ 0 ⁽⁸⁾ | 11/15/2013 | | M | | 611 | | 05/15/2013 ⁽¹⁰⁾ | 02/15/2018 | Common Stock, par value \$0.01 per share | 611 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| SZKUTAK THOMAS J P.O. BOX 81226 SEATTLE, WA 98108-1226 | | | Senior Vice President and CFO | |

Signatures

/s/ THOMAS J. SZKUTAK, Senior Vice President and CFO
 11/15/2013
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$366.62 and the lowest price at which shares were sold was \$366.13.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$368.20 and the lowest price at which shares were sold was \$367.26.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$369.106 and the lowest price at which shares were sold was \$368.43.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$370.53 and the lowest price at which shares were sold was \$369.58.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$371.35 and the lowest price at which shares were sold was \$370.68.

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- (7) Represents the weighted average sale price. The highest price at which shares were sold was \$372.36 and the lowest price at which shares were sold was \$372.00.
- (8) Converts into Common Stock on a one-for-one basis.
- (9) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 10,000 shares on each of May 15, 2011, August 15, 2011, November 15, 2011 and February 15, 2012 and 7,500 shares on each of May 15, 2012, August 15, 2012, November 15, 2012, February 15, 2013, May 15, 2013, August 15, 2013, November 15, 2013 and February 15, 2014.
- (10) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 611 shares on each of May 15, 2013, August 15, 2013 and November 15, 2013, 610 shares on February 15, 2014, 1,303 shares on each of May 15, 2014, August 15, 2014, November 15, 2014 and February 15, 2015, 383 shares on each of May 15, 2015, August 15, 2015, November 15, 2015 and February 15, 2016, 4,788 shares on May 15, 2016, 4,787 shares on each of August 15, 2016, November 15, 2016 and February 15, 2017, 3,689 shares on May 15, 2017 and 3,688 shares on each of August 15, 2017, November 15, 2017 and February 15, 2018.

Remarks:

REMARKS:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

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