Edgar Filing: McFeely Scott - Form 4

McFeely Sco	ott										
Form 4											
October 17, 2	_										
FORM	14 UNITED	STATES	SECUD		ND FY	സ്പ	NCEC	OMMISSION		PROVAL	
-	UNITED	SIAILS					INGE C	OMINIISSION	OMB Number:	3235-0287	
Check th if no long	ger STATEN	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWN							Expires:	January 31, 2005	
subject to STATEMENT OF CHAIN Section 16. Form 4 or				SECURITIES					Estimated average burden hours per		
Form 5		rsuant to Se	ection 1	6(a) of th	e Securi	ies F	Exchange	e Act of 1934,	response	0.5	
obligatio	ns Section 170						-	1935 or Section	ı		
may cont <i>See</i> Instru 1(b).	inue.			vestment	•	· ·	•				
(Print or Type I	Responses)										
1. Name and Address of Reporting Person *2. Issuer Name and Ticker or TradingMcFeely ScottSymbol				ng	5. Relationship of Reporting Person(s) to Issuer						
-			CIENA CORP [CIEN]					(Check all applicable)			
(Last)	(First) (rst) (Middle) 3. Date of Earliest Transaction						(Check an applicable)			
			(Month/D	ay/Year)				Director		Owner	
C/O CIENA RIDGE RD	CORPORATIC	N, 7035	10/16/20	017				X Officer (give below) SVP, Net	title Othe below) working Platfo	r (specify rms	
	(Street)	4	4. If Ame	ndment, Da	ate Origina	1		6. Individual or Joi	int/Group Filin	g(Check	
]	Filed(Mor	nth/Day/Year	.)			Applicable Line)			
HANOVER	, MD 21076-142	26						_X_ Form filed by O Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-E	Derivative	Secur	rities Acqu	uired, Disposed of,	or Beneficial	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		ed Date, if	3. Transactio Code (Instr. 8)	4. Securit	ties Ad spose 4 and (A) or	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
				Code V	Amount	(D)	Price	(msu. 5 and 4)			
Common Stock	10/16/2017			S	1,000 (1)	D	\$ 21.509	37,939 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

(2)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: McFeely Scott - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
McFeely Scott C/O CIENA CORPORATION 7035 RIDGE RD. HANOVER, MD 21076-1426			SVP, Networking Platforms					
Signatures								
By: Michelle Griswold For: Scott Mcfeely		10/17/2017						
** Signature of Reporting Person		Date						
Explanation of Responses:								

TICOP

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales were effected pursuant to Rule 10b5-1 trading plan dated 03/27/2017.
- Reflects the weighted average sales price with transactions in a range of sales from \$21.50 to \$21.51. Upon request by the SEC, full (2) information regarding the number of shares sold at each separate sales price will be provided.
- (3) Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.