Edgar Filing: TRIMBLE NAVIGATION LTD /CA/ - Form 4

TRIMBLE NAVIGATION LTD /CA/

Form 4

December 09, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Number: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287

Expires:

OMB

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Kliem Jurgen

2. Issuer Name and Ticker or Trading Symbol

Issuer

TRIMBLE NAVIGATION LTD

/CA/ [TRMB]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X_ Officer (give title Other (specify below)

5. Relationship of Reporting Person(s) to

12/05/2013

Vice President

C/O TRIMBLE NAVIGATION LIMITED, 935 STEWART DRIVE

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

SUNNYVALE, CA 94085

| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | |
|--------------------------------------|---|--|---|--------|------------------|--|---|-------------------|--|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | 5. Amount of Securities Beneficially Owned Following Reported | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | (I) (Instr. 4) | | |
| Common Stock | 12/05/2013 | | S(1) | 12,018 | D | \$ 32 (1) | 350.7624 | D | | |
| Common Stock | 12/05/2013 | | M | 3,298 | A | \$ 20.295 | 3,648.7624 | D | | |
| Common Stock | 12/05/2013 | | S | 3,298 | D | \$ 32 | 350.7624 | D | | |
| Common Stock | 12/05/2013 | | M | 600 | A | \$ 14 | 950.7624 | D | | |
| Common Stock | 12/05/2013 | | S | 600 | D | \$ 32 | 350.7624 | D | | |

Edgar Filing: TRIMBLE NAVIGATION LTD /CA/ - Form 4

| Common Stock | 12/05/2013 | M | 18,702 | A | \$ 20.295 | 19,052.7624 | D |
|-----------------|------------|---|--------|---|--------------|-------------|---|
| Common Stock | 12/05/2013 | S | 18,702 | D | \$ 32 | 350.7624 | D |
| Common Stock | 12/05/2013 | M | 1,400 | A | \$ 14 | 1,750.7624 | D |
| Common Stock | 12/05/2013 | S | 1,400 | D | \$ 32 | 350.7624 | D |
| Common Stock | 12/05/2013 | M | 13,000 | A | \$ 10.84 | 13,350.7624 | D |
| Common Stock | 12/05/2013 | S | 13,000 | D | \$ 32 | 350.7624 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|---|--------|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option | \$ 20.295 | 12/05/2013 | | M | | 3,298 | (2) | 10/20/2014 | Common Stock | 3,298 |
| Employee Stock Option | \$ 14 | 12/05/2013 | | M | | 600 | (3) | 04/21/2015 | Common Stock | 600 |
| Employee Stock Option | \$ 20.295 | 12/05/2013 | | M | | 18,702 | (2) | 10/20/2014 | Common Stock | 18,702 |
| Employee Stock Option | \$ 14 | 12/05/2013 | | M | | 1,400 | (3) | 04/21/2015 | Common Stock | 1,400 |
| | \$ 10.84 | 12/05/2013 | | M | | 13,000 | <u>(4)</u> | 10/23/2016 | | 13,000 |

Employee Common Stock Stock

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kliem Jurgen C/O TRIMBLE NAVIGATION LIMITED 935 STEWART DRIVE SUNNYVALE, CA 94085

Vice President

Signatures

James Kirkland, as Attorney-in-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed in multiple trades at a price of \$32.00.
- (2) 40% of these options became exercisable on 10/20/2009 and an additional 1.67% of these options become exercisable monthly thereafter.
- (3) 40% of these options became exercisable on 04/21/2010 and an additional 1.67% of these options become exercisable monthly thereafter.
- (4) 40% of these options became exercisable on 10/23/2011 and an additional 1.67% of these options become exercisable monthly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3