**ESCALADE INC** Form 4 October 29, 2009

#### FORM 4

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** Washington, D.C. 20549

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Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

obligations may continue. See Instruction

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* **GRIFFIN ROBERT E** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

ESCALADE INC [ESCA]

(Check all applicable)

(First) (Last)

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director X\_\_ 10% Owner

817 MAXWELL AVENUE

(Month/Day/Year) 10/23/2009

X\_ Officer (give title Other (specify below)

Chairman

6. Individual or Joint/Group Filing(Check

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**EVANSVILLE, IN 47717** 

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Securitie	s Acquired, Dis	posed of, or Benefic	ially Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ties Acqui	red 5. Amount	of 6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Di	sposed of	Securities	Ownership	Indirect
(Instr. 3)		any	Code	(D)		Beneficiall	y Form: Direct	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and 5)	Owned	(D) or	Ownership
						Following	Indirect (I)	(Instr. 4)
					(4)	Reported	(Instr. 4)	
					(A)	Transaction	n(s)	
			Code V	Amount	or (D) Pr	rice (Instr. 3 an	d 4)	
Common	10/22/2000		٨	3,906	, \$	262 914	D	

10/23/2009 Α 363,814 D (1) Stock 2.88

Adult Common 206,462 (2) I Stock Children

Family Common 959,796 I Limited Stock

Partnership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

#### Edgar Filing: ESCALADE INC - Form 4

## displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Title		8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amour Underl Securit (Instr.	lying	Derivative Security (Instr. 5)	Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

	Relationships						
or 10% Owner	Officer	Other					
X	Chairman						

# **Signatures**

/s/ Robert E.
Griffin

\*\*Signature of Person

Date

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The grant of common stock is in lieu of cash compensation earned for services performed as a Director. The common stock is awarded pursuant to the Escalade, Incorporated 2007 Incentive Plan.
- (2) Mr. Robert Griffin disclaims beneficial interest in shares held by his adult children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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