

FULTON FINANCIAL CORP
Form 4
April 04, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Roda Craig A

2. Issuer Name and Ticker or Trading Symbol
FULTON FINANCIAL CORP
[FULT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
04/02/2017

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)
Sr. Executive Vice President

C/O FULTON FINANCIAL CORPORATION,, P.O. BOX 4887, ONE PENN SQUARE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

LANCASTER, PA 17604

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| \$2.50 par value common stock | 04/02/2017 | | A | | 28,329.1488 | A | \$ 0 |
| | | | | | | | 116,078.0637 (1) (2) |
| \$2.50 par value Common Stock | 04/02/2017 | | F | | 8,258.1488 | D | \$ 17.85 |
| | | | | | | | 107,779.1455 (2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|-------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount Number of Shares |
| Performance Based Restricted Stock Units | (3) | 04/02/2017 | | M | 28,329.1488 | (4) | (4) | \$2.50 par value Common Stock | 28,329.1488 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Roda Craig A C/O FULTON FINANCIAL CORPORATION, P.O. BOX 4887, ONE PENN SQUARE LANCASTER, PA 17604 | | | Sr. Executive Vice President | |

Signatures

Mark A. Crowe,
Attorney-in-Fact

04/04/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 100.2599 shares acquired on January 20, 2017 and 375.942534 shares acquired on January 24, 2017 pursuant to dividend reinvestment.
- (2) Includes 19,074.4989 shares held jointly with spouse.
- (3) Each performance-based restricted stock unit represents a contingent right to receive one share of Fulton Financial Corporation's common stock.
- (4) This reflects the earning and vesting of certain performance-based restricted stock units, including accrued dividends, on April 2, 2017 when the HR Committee certified as to the achievement of the performance goals. These performance-based restricted stock units were originally granted on April 1, 2014, and were earned and vested based upon the achievement of return on assets, relative total shareholder return and net income goals during the performance periods specified at the time of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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