Edgar Filing: Patel Bhavesh V. - Form 4

| Patel Bhaves Form 4 | | | | | | | | | | | | |
|---|-------------------|---|---|---|--|--|---|---|--|--------------------------|--|--|
| January 15, 2 | 2019 | | | | | | | | | | | |
| FORM | 4 | | | | | ~~~ | | | | PPROVAL | | |
| | UNITED | STATES | | ITIES A hington, | | | NGE (| COMMISSION | OMB Number: | 3235-0287 January 31, | | |
| Check thi if no long subject to Section 10 Form 4 or | 6. | STATEMENT OF CHANGE SE | | | | | ES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | |
| Form 5 obligation may conti <i>See</i> Instru 1(b). | inue. Section 17(| | | | | | | | | | | |
| (Print or Type R | Responses) | | | | | | | | | | | |
| Patel Bhavesh V. Syr Ly | | | Symbol | 2. Issuer Name and Ticker or Trading Symbol LyondellBasell Industries N.V. | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | [LYB] | | | | | (Check all applicable) | | | | |
| (Last) (First) (Middle) 3. Date or (Month/E) 4TH FLOOR, ONE VINE STREET 01/12/2 | | | | - | | | | Director 10% Owner Officer (give title Other (specify below) below) Chief Executive Officer | | | | |
| | | | endment, Date Original nth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| LONDON, 2 | X0 W1J 0AH | | | | | | | Form filed by I Person | More than One R | eporting | | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | Securi | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | | |
| 1.Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | | | |
| Class A | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | | |
| Ordinary Shares | 01/12/2019 | | | F | 7,525 (1) | D | \$ 86 | 136,242 <u>(2)</u> | D | | | |
| Class A ordinary shares | | | | | | | | 130,618 <u>(3)</u> | I | By Family Trust | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | le and unt of rlying tities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|-----------------------|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|------------|-------------------------|-------|--|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | | |
| Patel Bhavesh V. 4TH FLOOR ONE VINE STREET LONDON, X0 W1J 0AH | | | Chief Executive Officer | | | | | |
| Signatures | | | | | | | | |
| /s/ Lara A. Mason, Attorney-in-Fact | | 01/14/2019 | | | | | | |

Date

**Signature of Reporting Person Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares withheld by the issuer to satisfy tax withholding obligations in connection with the vesting 23,638 shares of restricted (1)stock units granted to the reporting person on January 12, 2015.
- Includes 107,118 restricted stock units ("RSUs") granted pursuant to the issuer's long-term incentive plan: 23,637 granted on January 12, (2) 2015 that vest on January 12, 2020; 26,066 granted on February 16, 2016 that vest on February 16, 2019; 30,344 granted on February 16, 2017 that vest on February 16, 2020 and 27,071 granted on February 21, 2018 that vest on February 21, 2021.
- Represents shares held by the reporting person's family trust including an additional 68,808 shares transferred to the trust on May 16, (3) 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.