

CURLEY KEVIN M

Form 4

August 28, 2018

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CURLEY KEVIN M

2. Issuer Name **and** Ticker or Trading  
Symbol  
TRUSTCO BANK CORP N Y  
[TRST]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

C/O TRUSTCO BANK CORP NY, 5  
SARNOWSKI DRIVE

08/24/2018

SENIOR VICE PRESIDENT

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

GLENVILLE, NY 12302

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	08/24/2018		M	4,500 A	\$ 5.17 84,254	D	
COMMON STOCK	08/24/2018		M	8,800 A	\$ 7.05 93,054	D	
COMMON STOCK	08/24/2018		M	2,800 A	\$ 7.22 95,854	D	
COMMON STOCK	08/24/2018		F	11,226 D	\$ 9.4 84,628	D	
COMMON STOCK					764	I	BY WIFE

# Edgar Filing: CURLEY KEVIN M - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
OPTION: RT-TO-BUY	\$ 5.17	08/24/2018		M	4,500	<u>(1)</u> 11/20/2022	COMMON STOCK	4,500
OPTION: RT-TO-BUY	\$ 7.05	08/24/2018		M	8,800	<u>(2)</u> 11/19/2023	COMMON STOCK	8,800
OPTION: RT-TO-BUY	\$ 7.22	08/24/2018		M	2,800	<u>(3)</u> 11/18/2024	COMMON STOCK	2,800

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
CURLEY KEVIN M C/O TRUSTCO BANK CORP NY 5 SARNOWSKI DRIVE GLENNVILLE, NY 12302	SENIOR VICE PRESIDENT

## Signatures

/S/ MICHAEL M. OZIMEK, BY POWER OF ATTORNEY

08/28/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) AWARD VESTS IN EQUAL INSTALLMENTS FROM THE FIRST THROUGH FIFTH YEAR FOLLOWING THE AWARD DATE, BEGINNING NOVEMBER 20, 2013.

Edgar Filing: CURLEY KEVIN M - Form 4

- (2) AWARD VESTS IN EQUAL INSTALLMENTS FROM THE FIRST THROUGH FIFTH YEAR FOLLOWING THE AWARD DATE, BEGINNING NOVEMBER 19, 2014.
- (3) AWARD VESTS IN EQUAL INSTALLMENTS FROM THE FIRST THROUGH FIFTH YEAR FOLLOWING THE AWARD DATE, BEGINNING NOVEMBER 18, 2015.

**Remarks:**

**ADDITIONAL SHARES ACQUIRED BY REPORTING PERSON'S PARTICIPATION IN DIVIDEND REINVESTMENT**

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.