Edgar Filing: La Barge Joseph - Form 4

La Barge J	oseph								
Form 4									
January 17	, 2019								
FOR	M 4						-	PPROVAL	
-	UNITED	STATES		RITIES A Ashington	NOMB Number:	3235-0287			
	this box		Expires:	January 31,					
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Section 16. SECURITIES							burden ho		
	Form 4 or							. 0.5	
Form 5 obligat	i incu pui				ne Securities Exch	•			
may co				•	lding Company Ac		on		
	truction	30(h)	of the I	nvestmen	t Company Act of	1940			
1(b).									
(Duint an Tana	D								
(Print or Type	e Responses)								
1 Name and	Address of Reporting	Person *	2.1	N	arr: 1 rr 1.	5 Relationship	of Reporting Per	rson(s) to	
La Barge J		2. Issuer Name and Ticker or Trading			5. Relationship of Reporting Person(s) to Issuer				
Lu Duige i	oo c pii		Symbol	Theropout	tics, Inc. [ONCE]				
			•	•		(Check all applicable)			
(Last) (First) (Middle) 3737 MARKET STREET, SUITE				of Earliest T	ransaction				
			(Month/ 01/15/2	Day/Year)		Director 10% Owner X Officer (give title Other (specify below) below)			
1300	01/13/2	2019							
1500						Chi	ef Legal Office	r	
(Street)			4. If Am	endment, D	ate Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person			
							More than One R		
PHILADE	CLPHIA, PA 19104	ł				Person			
(City)	(State)	(Zip)	Tal	ole I - Non-	Derivative Securities	Acquired, Disposed	of, or Beneficia	lly Owned	
1.Title of	2. Transaction Date	2A. Deem	ed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if			onAcquired (A) or		Form: Direct	Indirect	
(Instr. 3)		any (Month/Da	Code		Disposed of (D) (Instr. 3, 4 and 5)	5	(D) or Indirect		
		(wonu)/Da	ay/1 car)	(Instr. 8)	(msu. 5, 4 and 5)		(I) (Instr. 4)	Ownership (Instr. 4)	

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(A)

or

Reported

Transaction(s)

(Instr. 3 and 4)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	((Acquired or Dispose (D) (Instr. 3, 4 and 5)	ed of				
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 42.73	01/15/2019		А		35,000		<u>(1)</u>	01/15/2029	Common Stock	35,000
Restricted Stock Units	(2)	01/15/2019		А		10,000		(3)	(3)	Common Stock	10,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
La Barge Joseph 3737 MARKET STREET SUITE 1300 PHILADELPHIA, PA 19104			Chief Legal Officer				
Signatures							
/s/ Joseph W. La							

/s/ Joseph W. La Barge <u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% vests January 15, 2020 and the remainder vests in equal annual installments over the following three years.
- (2) Each restricted stock unit represents a contingent right to receive one share of Spark Therapeutics, Inc. common stock.
- (3) The restricted stock units vest in four equal annual installments beginning January 15, 2020. Vested shares will be delivered to the reporting person annually on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.