

APPLE INC  
Form 4  
April 04, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SCHILLER PHILIP W

(Last) (First) (Middle)

1 INFINITE LOOP

(Street)

CUPERTINO, CA 95014

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
APPLE INC [AAPL]

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/01/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Senior Vice President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/01/2017		M	94,010 A	\$ 94,010	D	
Common Stock <sup>(2)</sup>	04/01/2017		F	46,214 D	\$ 143.66	D	
Common Stock <sup>(3)</sup>	04/01/2017		G	47,796 D	\$ 0 0	D	
Common Stock <sup>(3)</sup>	04/01/2017		G	47,796 A	\$ 0 97,371	I	By Trust <sup>(4)</sup>
Common Stock <sup>(5)</sup>	04/04/2017		S	47,796 D	\$ 143.32 49,575	I	By Trust <sup>(4)</sup>

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	<u>(1)</u>	04/01/2017		M		53,055		<u>(6)</u>	<u>(6)</u>	Common Stock	53,055
Restricted Stock Unit	<u>(1)</u>	04/01/2017		M		40,955		<u>(7)</u>	<u>(7)</u>	Common Stock	40,955

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
SCHILLER PHILIP W 1 INFINITE LOOP CUPERTINO, CA 95014	Senior Vice President

## Signatures

/s/ Gene Levoff, Attorney-in-Fact for Philip W.  
Schiller

04/04/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock. This transaction represents the settlement of restricted stock units in shares of common stock on their scheduled vesting date.

(2) Shares withheld by Apple to satisfy the minimum statutory tax withholding requirements on vesting of restricted stock units.

(3) These entries reflect the transfer of securities by the reporting person to a trust.

(4) Shares held by a family trust of which the reporting person is a trustee. The reporting person disclaims beneficial ownership in these shares except as to the reporting person's pecuniary interest in the trust.

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- (5) This transaction was made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 12, 2016.

This award was granted on March 3, 2014. 53,056 restricted stock units subject to the award vested on April 1, 2016, 53,055 restricted stock units vested on April 1, 2017, and 53,055 restricted stock units are scheduled to vest on April 1, 2018, assuming continued employment through the applicable vesting date.

- (6) This award was granted on March 3, 2014. 53,056 restricted stock units subject to the award vested on April 1, 2016, 53,055 restricted stock units vested on April 1, 2017, and 53,055 restricted stock units are scheduled to vest on April 1, 2018, assuming continued employment through the applicable vesting date.
- (7) This award was granted on October 17, 2014. 40,955 restricted stock units subject to the award vested on April 1, 2017, and 40,954 restricted stock units are scheduled to vest on each of April 1, 2018 and April 1, 2019, assuming continued employment through the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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