Edgar Filing: Broadcom Ltd - Form 4

| Broadcom I Form 4 | _td | | | | | | | | | | |
|---|--|--|--|-------------------|--|-----------|--|---|--|---|--|
| March 29, 2 | 2017 | | | | | | | | | | |
| FORM | ΛΔ | | | | | | | | OMB AF | PROVAL | |
| | | RITIES A shington | | | NGE CO | OMMISSION | OMB Number: | 3235-0287 | | | |
| Check the if no lor subject Section Form 4 Form 5 | F CHANGES IN BENEFICIAL OWNE SECURITIES Section 16(a) of the Securities Exchange A | | | | | | Expires: Estimated a burden hour response | | | | |
| obligation may cor <i>See</i> Inst 1(b). | ons Section 17(| (a) of the H | Public U | | lding Coi | npan | y Act of | 1935 or Section | l | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> MCCALL PATRICIA H | | | 2. Issuer Name and Ticker or Trading Symbol Broadcom Ltd [AVGO] | | | | 0 | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) (| Middle) |) 3. Date of Earliest Transaction | | | | (Cheek | an applicable |) | | |
| | GO TECHNOLOO RIDDER PARK | | (Month/ 03/28/2 | Day/Year) 2017 | | | | Director X Officer (give below) VP & C | | Owner r (specify el | |
| | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person | | | |
| SAN JOSE | , CA 95131 | | | | | | : | Form filed by M Person | ore than One Re | porting | |
| (City) | (State) | (Zip) | Tab | ole I - Non- | Derivative | Secu | rities Acqu | ired, Disposed of, | or Beneficial | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deeme Execution any (Month/Da | on Date, if Tran Code Day/Year) (Inst | | Transactiom Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | | Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Ordinary | | | | Code V | Amount | (D) | Price | | | | |
| - | 03/28/2017 | | | М | 10,000 | А | \$ 10 | 55,605 <u>(1)</u> | D | | |
| Ordinary | | | | | | | \$ | | | | |
| Shares, no par value | 03/28/2017 | | | S | 10,000 | D | 221.503 (2) | 45,605 <u>(1)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed or (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. D So (I |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|---------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (Right to Buy) | \$ 10 | 03/28/2017 | | М | 10,000 | (3) | 03/02/2019 | Ordinary Shares, no par value | 10,000 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|----------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| MCCALL PATRICIA H C/O AVAGO TECHNOLOGIES US INC. 1320 RIDDER PARK DRIVE SAN JOSE, CA 95131 | | | VP & General Counsel | | | |
| Signatures | | | | | | |

/s/ Patricia 03/29/2017 McCall 03/29/2017 <u>**</u>Signature of Date Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 19,250 Restricted Share Units.
- (2) Transaction executed in multiple trades at prices ranging from \$221.50 to \$221.55 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range
- set forth in this footnote.(3) The option is fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.