VICOR CORP Form 3 October 16, 2015 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB APPROVAL** FORM 3 Washington, D.C. 20549 OMB 3235-0104 Number: January 31, **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF** Expires: 2005 **SECURITIES** Estimated average burden hours per Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... 0.5 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement VICOR CORP [vicr] Grava Nancy L (Month/Day/Year) 06/19/2015

25 FRONTAGE RD.

(Last)

(Street)

(First)

ANDOVER, MAÂ 01810

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

(Middle)

2. Amount of Securities Beneficially Owned (Instr. 4)

3 Ownership Form: Direct (D)

4. Relationship of Reporting

(Check all applicable)

(give title below) (specify below)

VP-Human Resources

10% Owner

X Other

Table I - Non-Derivative Securities Beneficially Owned

or Indirect **(I)** (Instr. 5)

Person(s) to Issuer

Director

Officer

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Reporting Person

Person

5. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting

Form filed by More than One

Filed(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)

Edgar Filing: VICOR CORP - Form 3

Non Qualified Stock Option	06/17/2015	06/17/2023	Common Stock	2,500	\$ 7.34	D	Â
Non Qualified Stock Option	06/17/2016	06/17/2023	Common Stock	2,500	\$ 8.38	D	Â
Non Qualified Stock Option	06/17/2017	06/17/2023	Common Stock	2,500	\$ 9.43	D	Â
Non Qualified Stock Option	06/17/2018	06/17/2023	Common Stock	2,500	\$ 10.48	D	Â
Non Qualified Stock Option	(1)	06/17/2023	Common Stock	300	\$ 6.29	D	Â
Non Qualified Stock Option	(2)	07/01/2025	Common Stock	10,000	\$ 11.89	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Grava Nancy L 25 FRONTAGE RD. ANDOVER, MA 01810	Â	Â	Â	VP-Human Resources	
Signatures					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted under the Company's Amended and Restated 2000 Stock Option and Incentive Plan on 6/17/2013 and vest over a five year period.
- (2) Granted under the Company's Amended and Restated 2000 Stock Option and Incentive Plan on 7/1/2015 and vest over a five year period.

Â

Remarks:

Due to an inadvertent administrative error, this Form 3 was not filed timely.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.