Edgar Filing: ADAMS DIVERSIFIED EQUITY FUND, INC. - Form 4/A

ADAMS DIVERSIFIED EQUITY FUND, INC.

Form 4/A January 11, 2017

FORM 4

OMB APPROVAL

| UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | |
|--|--|--|--|--|--|--|
| Washington, D.C. 20549 | | | | | | |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

SECURITIES Form 4 or

(Middle)

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Stoeckle Mark E.

Symbol

(Check all applicable)

ADAMS DIVERSIFIED EQUITY

FUND, INC. [ADX]

3. Date of Earliest Transaction

_X__ Director 10% Owner

(Month/Day/Year)

X_ Officer (give title below)

Other (specify

500 EAST PRATT STREET, SUITE 01/09/2017

(Street)

(First)

CEO & President

1300

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year) 01/11/2017

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

BALTIMORE, MD 21202

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4)

Reported (A)

Transaction(s) or (Instr. 3 and 4) Price

Common 01/09/2017 Stock

Code V Amount (D)

Common Stock

J 0 \$0 23,089.745 (1) D A

> By Issuer's Ι Thrift Plan 9,762.733 Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Title | e and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|------------|-----------------|-------------|----------|----------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orNumber | Expiration D | ate | Amou | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Underl | lying | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivativ | e | | Securit | ties | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | Own |
| | Security | | | | Acquired | | | | | | Follo |
| | · | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | A | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date Expiration | | or | | | |
| | | | | | | Exercisable | · Date | Number | | | |
| | | | | C 1 W | (1) | | | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

| Reporting Owner Name / Address | iciationismps | | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |

Stoeckle Mark E. 500 EAST PRATT STREET **SUITE 1300**

BALTIMORE, MD 21202

X

CEO & President

Relationshins

Signatures

Gail L. Valenti as Attorney-in-Fact for Mark E. Stoeckle

01/11/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This amendment to Form 4 is being filed to correct an inadvertent disclosure on the original filing that was made this date. The original (1) filing reported the surrender of 3,307 shares by the undersigned to satisfy withholding taxes on shares that vested on January 9, 2017. No such surrender of shares was made and such withholding taxes are being paid in cash by the undersigned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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