#### Edgar Filing: NEWELL RUBBERMAID INC - Form 4/A

NEWELL RUBBERMAID INC Form 4/A December 03, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Stipancich John K Issuer Symbol NEWELL RUBBERMAID INC (Check all applicable) [NWL] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner Other (specify X\_Officer (give title (Month/Day/Year) below) below) C/O NEWELL RUBBERMAID 12/01/2015 EVP, Chief Financial Officer **INC., 3 GLENLAKE PARKWAY** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person 02/09/2015 Form filed by More than One Reporting ATLANTA, GA 30328 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned Ownership (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V (D) Price Amount Common 39,726 \$ 02/09/2015 Μ A 54,411 D (1) (2) 37.65 Stock Common 17,319 \$ 02/09/2015 F D 37,092 D Stock (1) 37.65 Common 14,713 02/09/2015 Μ А 51,805 D (1) (3) 37.65 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

Common

Stock

02/09/2015

4,991

(1)

D

37.65

D

46,814

3235-0287

2005

0.5

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of tiorDerivative Securities ) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of 8 Underlying Securities (Instr. 3 and 4) 5	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(4)</u>	02/09/2015		М		14,713	02/09/2015	(5)	Common Stock	14,713

# **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
Stipancich John K C/O NEWELL RUBBERMAID INC. 3 GLENLAKE PARKWAY ATLANTA, GA 30328			EVP, Chief Financial Officer	
Signatures				
/s/ Michael R. Peterson, Attorney-in-fa	12/03/2015			

\*Signature of Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Amended Form 4 is being filed solely to reflect a tax adjustment to the number of shares withheld. Due to an administrative error, 3,332 and 4,928 shares of Newell Rubbermaid Inc. common stock were overwithheld, respectively, in the reported transactions. Upon

Date

- (1) discovery of the error, these overwithheld shares were credited to the reporting person's account and reflected in the reporting person's total direct ownership.
- (2) Acquired upon vesting of performance-based restricted stock units previously granted on February 8, 2012.
- (3) Acquired upon vesting of time-based restricted stock units previously granted on February 8, 2012.
- (4) Each restricted stock unit was the economic equivalent of one share of Newell Rubbermaid Inc. common stock.

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#### (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.