

Freeseemann Nicole M  
Form 3  
January 30, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Freeseemann Nicole M

(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/27/2019

3. Issuer Name and Ticker or Trading Symbol  
RAVEN INDUSTRIES INC [RAVN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

P.O. BOX 5107

(Street)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
Vice President HR

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

SIOUX  
FALLS, SD 57117-5107

(City) (State) (Zip)

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

1,308

D

As

Common Stock

601

I

By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

## Edgar Filing: Freeseemann Nicole M - Form 3

|                                 | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Security          | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |           |
|---------------------------------|---------------------|--------------------|-----------------|----------------------------------|-------------------|--|-----------|
| Stock Option <sup>(1)</sup>     | 03/17/2016          | 03/17/2020         | Common<br>Stock | 275                              | \$ 20.1           | D  | Â         |
| Restricted Stock Unit -<br>2016 | Â <sup>(2)</sup>    | Â <sup>(2)</sup>   | Common<br>Stock | 320                              | \$ <sup>(3)</sup> | D  | Â         |
| Restricted Stock Unit -<br>2017 | Â <sup>(4)</sup>    | Â <sup>(4)</sup>   | Common<br>Stock | 170                              | \$ <sup>(3)</sup> | D  | Â         |
| Restricted Stock Unit -<br>2018 | Â <sup>(5)</sup>    | Â <sup>(5)</sup>   | Common<br>Stock | 214                              | \$ <sup>(3)</sup> | D  | Â         |
| Restricted Stock Unit -<br>2017 | Â <sup>(4)</sup>    | Â <sup>(4)</sup>   | Common<br>Stock | 340                              | \$ <sup>(3)</sup> | I  | By Spouse |
| Restricted Stock Unit -<br>2018 | Â <sup>(5)</sup>    | Â <sup>(5)</sup>   | Common<br>Stock | 428                              | \$ <sup>(3)</sup> | I  | By Spouse |

## Reporting Owners

| Reporting Owner Name / Address                                      | Relationships |           |                           |       |
|---|---------------|-----------|---------------------------|-------|
|   | Director      | 10% Owner | Officer                   | Other |
| Freeseemann Nicole M<br>P.O. BOX 5107<br>SIOUX FALLS, SD 57117-5107 | Â             | Â         | Â Vice<br>President<br>HR | Â     |

## Signatures

/s/ Nicole M.  
Freeseemann

01/27/2019

        Signature of Reporting  
Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to Rule 16b-3(d). Right to buy. All options vest at the rate of 25% annually beginning on the date listed in Exercisable, column 2.
- (2) The Time-based Restricted Stock Units shall become vested on the third anniversary of the effective date 4/5/16, provided that the Employee remains continuously employed by the Company through the Vesting Date.
- (3) Each Time-based Restricted Stock Unit is the economic equivalent of one share of RAVN Common Stock.
- (4) The Time-based Restricted Stock Units shall become vested on the third anniversary of the effective date 4/5/17, provided that the Employee remains continuously employed by the Company through the Vesting Date.
- (5) The Time-based Restricted Stock Units shall become vested on the third anniversary of the effective date 4/5/18, provided that the Employee remains continuously employed by the Company through the Vesting Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.