EXPONENT INC

Form 5 February 13, 2017

FORM 5

**OMB APPROVAL** 

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Services: Services: 3235-0362

January 31,

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

# ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
Reported
Form 4 30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Kytomaa Harri Symbol **EXPONENT INC [EXPO]** (Check all applicable) (Last) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title Other (specify 12/30/2016 below) below) 149 COMMONWEATH DRIVE Group Vice President

(Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Reporting

Filed(Month/Day/Year)

(check applicable line)

#### MENLO PARK, CAÂ 94025

\_X\_Form Filed by One Reporting Person \_\_\_ Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi or Dispos (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	04/01/2016	Â	A	17 (1)	A	\$ 48.0605	17	D	Â		
Common Stock	07/01/2016	Â	A	18 (1)	A	\$ 55.0715	35	D	Â		
Common Stock	09/30/2016	Â	A	18 (1)	A	\$ 48.507	53	D	Â		
Common Stock	12/30/2016	Â	A	20 (1)	A	\$ 57.285	11,444	D	Â		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Nur of Deriva Securi Acqui (A) or Dispo of (D) (Instr. and 5)	ative ities red sed 3, 4,	6. Date Exerci Expiration Dat (Month/Day/Y	te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Dividend Equivalent Rights	Â	12/30/2016	Â	A(3)	115	Â	03/15/2017	03/15/2017	Common Stock	115
Dividend Equivalent Rights	Â	12/30/2016	Â	A(3)	96	Â	03/14/2018	03/14/2018	Common Stock	96
Dividend Equivalent Rights	Â	12/30/2016	Â	A(3)	69	Â	03/13/2019	03/13/2019	Common Stock	69
Dividend Equivalent Rights	Â	12/30/2016	Â	A(3)	53	Â	03/11/2020	03/11/2020	Common Stock	53

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Transfer of the second	Director	10% Owner	Officer	Other			
Kytomaa Harri 149 COMMONWEATH DRIVE MENLO PARK, CA 94025	Â	Â	Group Vice President	Â			

### **Signatures**

By: Wendy Whitehouse For: Harri Kytomaa 02/13/2017

\*\*Signature of Reporting Person Date

Reporting Owners 2

Edgar Filing: EXPONENT INC - Form 5

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquisition of stock pursuant to Exponent, Inc. Employee Stock Purchase Plan under the provisions of Section 423 of the Internal Revenue Code in a transaction exempt under SEC Rule 16b-3.
- (2) 1-for-1.
- (3) The dividend equivalent rights accrued with respect to RSUs, pursuant to dividends earned in 2016.
- (4) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.