SAFEGUARD SCIENTIFICS INC

Form S-8 POS October 28, 2016

As filed with the Securities and Exchange Commission on October 28, 2016

Registration No. 333-171225

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

POST-EFFECTIVE AMENDMENT NO. 1 TO FORM S-8 REGISTRATION STATEMENT UNDER THE SECURITIES ACT OF 1933

Safeguard Scientifics, Inc. (Exact Name of Registrant as Specified in Its Charter)

Pennsylvania

(State or Other Jurisdiction of 23-1609753 Incorporation or Organization) (I.R.S Employer Identification No.)

170 North Radnor-Chester Road, Suite 200 Radnor, PA 19087 (Address of Principal Executive Offices, including Zip Code)

Employment Inducement Awards Consisting of Non-Qualified Stock Options Granted to Stephen T. Zarrilli (Full Title of the Plan)

Brian J. Sisko
Chief Operating Officer, Executive Vice President and Managing
Director
Safeguard Scientifics, Inc.
170 North Radnor-Chester Road, Suite 200
Radnor, PA 19087
(610) 293-0600
(Name, Address and Telephone Number, including Area Code, of Agent for Service)

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, or a smaller reporting company. See the definition of "large accelerated filer," "accelerated filer" and "smaller reporting company" in Rule 12b-2 of the Exchange Act. (Check one):

Large accelerated filer "Accelerated filer b Non-accelerated filer "

Smaller reporting company

(Do not check if a smaller reporting company)

DEREGISTRATION OF SECURITIES

This Post-Effective Amendment No. 1 to the Form S-8 Registration Statement (Registration No. 333-171225) is being filed to deregister 135,834 shares of Common Stock that were subject to stock options issued as employment inducement grants to Stephen T. Zarrilli on June 30, 2008. These stock options have expired unexercised, and the shares have not been issued and are no longer subject to outstanding awards.

SIGNATURES

Pursuant to the requirements of the Securities Act of 1933, the Registrant certifies that it has reasonable grounds to believe that it meets all of the requirements for filing on Form S-8 and has duly caused this registration statement to be signed on its behalf by the undersigned, thereunto duly authorized, in Radnor, PA on October 28, 2016.

SAFEGUARD SCIENTIFICS, INC.

By: /s/ Stephen T. Zarrilli Stephen T. Zarrilli President and Chief Executive Officer

Pursuant to the requirements of the Securities Act of 1933, this Post-Effective Amendment No. 1 to the Registration Statement has been signed by the following persons in the capacities and on the dates indicated on October 28, 2016.

Signature Title

/s/ Stephen T. Zarrilli

Stephen T. Zarrilli President, Chief Executive Officer and Director (Principal Executive Officer)

/s/ Jeffrey B. McGroarty

Senior Vice President and Chief Financial Officer (Principal Financial and Accounting Jeffrey B. McGroarty

Officer)

/s/ Mara G. Aspinall

Mara G. Aspinall Director

/s/ Julie A. Dobson

Julie A. Dobson Director

/s/ Stephen Fisher

Stephen Fisher Director

/s/ George MacKenzie

George MacKenzie Director

/s/ John J. Roberts

John J. Roberts Director

/s/ Robert J. Rosenthal

Robert J. Rosenthal Chairman of the Board