#### Edgar Filing: MITEL NETWORKS CORP - Form 3

#### MITEL NETWORKS CORP

Form 3

December 21, 2016

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MITEL NETWORKS CORP [MITL] A Ramakrishna Sudhakar (Month/Day/Year) 12/19/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 350 LEGGET DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner \_X\_\_ Director \_X\_ Form filed by One Reporting Officer Other Person OTTAWA, A6Â K2K 2W7 (give title below) (specify below) Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Shares 7,166 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$ 

1. Title of Derivative Security (Instr. 4)  2. Date Exercise Expiration Date (Month/Day/Year)			Securities Un Derivative Se		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date	Expiration	Title	Amount or	Derivative Security	Security: Direct (D)	
	Exercisable Date	Date		Number of	Security	or Indirect	

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				Shares		(I) (Instr. 5)	
Options (Common Shares)	03/04/2017	03/04/2023	Common Shares	10,000	\$ 7.17	D	Â
Options (Common Shares)	12/31/2015	05/14/2022	Common Shares	7,500	\$ 8.94	D	Â
Options (Common Shares)	(1)	05/14/2022	Common Shares	45,000	\$ 8.94	D	Â
Restricted Stock Units	(2)	(2)	Common Shares	10,000	\$ (2)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
Ramakrishna Sudhakar 350 LEGGET DRIVE OTTAWA, A6 K2K 2W7	ÂX	Â	Â	Â		

### **Signatures**

/s/ Sudhakar
Ramakrishna

\*\*Signature of Reporting
Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - 45,000 stock options to purchase common shares ("Common Shares") of Mitel Networks Corporation (the "Company") were granted to
- (1) Mr. Ramakrishna on May 14, 2015. 15,000 options vested on May 14, 2016 and are scheduled to vest in equal installments annually thereafter until May 14, 2018.
- (2) Represents 10,000 Common Shares underlying 10,000 Restricted Stock Units ("RSUs") granted to Mr. Ramakrishna on March 4, 2016. These RSUs will vest on February 28, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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