WIPRO LTD

Form 3

February 09, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0104

**OMB APPROVAL** 

Number: Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Wipro LLC

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

01/30/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

HARTE HANKS INC [HHS]

(Check all applicable)

4. Relationship of Reporting

Person(s) to Issuer

Filed(Month/Day/Year)

2 TOWER CENTER BLVD, **SUITE 2200** 

(Street)

Director Officer

\_X\_\_ 10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting

5. If Amendment, Date Original

Person

\_X\_ Form filed by More than One

Reporting Person

**EAST** BRUNSWICK. NJÂ 08816

(State)

1. Title of Security (Instr. 4)

(City)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Date **Expiration Title** Exercisable Date

Amount or Number of Shares

Derivative Security Direct (D) or Indirect

(I)

1

(Instr. 5)

Series A Convertible Preferred Stock

(1)

 $\hat{A} \stackrel{(1)}{=} Stock$ 

10,016,586 \$ (1)

D (2) Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Othe
Wipro LLC 2 TOWER CENTER BLVD, SUITE 2200 EAST BRUNSWICK, NJ 08816	Â	ÂX	Â	Â
WIPRO LTD DODDAKANNELLI, SARJAPUR ROAD BANGALORE- 560 035. K7Â	Â	ÂX	Â	Â

### **Signatures**

WIPRO LLC, By: , Name: Ashish Chawla, Title: Manager

02/09/2018

\*\*Signature of Reporting Person

Date

WIPRO LIMITED, By:, Name: Jatin Pravinchandra Dalal, Title: Chief Financial

02/09/2018

Officer

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Series A Convertible Preferred Stock is convertible into Common Stock at the rate of approximately one share of Series A

  (1) Convertible Preferred Stock for 1,009.1261 shares of Common Stock. The actual number shares of Common Stock may differ by approximately 500 shares. As of the date hereof, Wipro LLC owns 9,926 shares of Series A Convertible Preferred Stock.
- This report on Form 3 is jointly filed by Wipro LLC and Wipro Limited. These shares are owned directly by Wipro LLC, a wholly-owned (2) subsidiary of Wipro Limited. Wipro Limited may be deemed for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, to be the indirect beneficial owner of all of the shares owned by Wipro LLC, and is therefore a ten percent owner of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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