

Beard Simon  
Form 4  
February 22, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

**OMB APPROVAL**

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Beard Simon

2. Issuer Name **and** Ticker or Trading  
Symbol  
ALIGN TECHNOLOGY INC  
[ALGN]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
C/O ALIGN TECHNOLOGY  
INC., 2820 ORCHARD PARKWAY

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/20/2019

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
SVP and Mngng Director, EMEA

(Street)  
SAN JOSE, CA 95131

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-----------------------------------------|
| Common<br>Stock                       | 02/20/2019                              |                                                             | M                                    | 16,825                                                                  | A \$ 0                                                                                                             | 21,552                                                                  | D                                       |
| Common<br>Stock                       | 02/20/2019                              |                                                             | F                                    | 7,909                                                                   | D \$<br>253.79                                                                                                     | 13,643                                                                  | D                                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not  
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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable Expiration Date                         | Title Amount or Number of Share                               |
| Restricted Stock Unit                      | \$ 0.0001<br>(1)                                       | 02/20/2019                           |                                                    | M                              |                                                                                         | 2,125 (2) (2)                                            | Common Stock 2,125                                            |
| Restricted Stock Unit                      | \$ 0.0001<br>(1)                                       | 02/20/2019                           |                                                    | M                              |                                                                                         | 1,500 (3) (3)                                            | Common Stock 1,500                                            |
| Restricted Stock Unit                      | \$ 0.0001<br>(1)                                       | 02/20/2019                           |                                                    | M                              |                                                                                         | 450 (4) (4)                                              | Common Stock 450                                              |
| Market Stock Unit                          | \$ 0.0001<br>(1)                                       | 02/20/2019                           |                                                    | M                              |                                                                                         | 12,750 (5) (5)                                           | Common Stock 12,750                                           |
| Restricted Stock Unit                      | \$ 0.0001<br>(1)                                       | 02/20/2019                           |                                                    | A                              | 2,232                                                                                   | (6) (6)                                                  | Common Stock 2,232                                            |
| Market Stock Unit                          | \$ 0.0001<br>(1)                                       | 02/20/2019                           |                                                    | A                              | 11,163                                                                                  | (7) (7)                                                  | Common Stock 11,163                                           |

## Reporting Owners

| Reporting Owner Name / Address                                                         | Relationships                    |
|----------------------------------------------------------------------------------------|----------------------------------|
|                                                                                        | Director 10% Owner Officer Other |
| Beard Simon<br>C/O ALIGN TECHNOLOGY INC.<br>2820 ORCHARD PARKWAY<br>SAN JOSE, CA 95131 | SVP and Mngng Director, EMEA     |

## Signatures

Roger E. George Atty-in-Fact for Simon  
Beard 02/22/2019

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents par value of ALGN common stock.

1/4th of the restricted stock unit granted on February 20, 2016 became vested on February 20, 2019 and shares were delivered to reporting person on such vest date. 1/4th of the restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

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- 1/4th of the restricted stock unit granted on February 20, 2017 became vested on February 20, 2019 and shares were delivered to
- (3) reporting person on such vest date. 1/4th of the restricted stock unit will vest annually and shares will be delivered to reporting person on each vest date.
- 1/4th of the restricted stock unit granted on February 20, 2018 became vested on February 20, 2019 and shares were delivered to
- (4) reporting person on such vest date. 1/4th of the restricted stock unit will vest annually and shares will be delivered to reporting person on each vest date.
- (5) The market stock unit granted on February 20, 2016 became vested on February 20, 2019 and shares will be delivered to reporting person on such vest date.
- 1/4th of the restricted stock unit granted on February 20, 2019 will become vested on February 20, 2020 and shares were delivered to
- (6) reporting person on such vest date. 1/4th of the restricted stock unit will vest annually and shares will be delivered to reporting person on each vest date.
- (7) Represents the maximum number of shares which may be issued under the market stock unit. All of the shares which may be issued under the market stock unit will vest on the last day of the third year of the Performance Period (as defined in the market stock unit agreement).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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