### ENANTA PHARMACEUTICALS INC

Form 4

March 12, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Luly Jay R. Issuer Symbol **ENANTA PHARMACEUTICALS** (Check all applicable) **INC** [ENTA] (First) 3. Date of Earliest Transaction (Last) (Middle) \_X\_ Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) C/O ENANTA 03/10/2014 President and CEO PHARMACEUTICALS, INC., 500 ARSENAL STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

WATERTOWN, MA 02472

| (City)                               | (State)                              | (Zip) Tab   | le I - Non-                            | Derivativo | e Secu                       | rities Acqui         | red, Disposed of,  | or Beneficiall   | y Owned   |
|--------------------------------------|--------------------------------------|---|--|------------|------------------------------|----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) |            | sed of<br>4 and<br>(A)<br>or | ` '                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 03/10/2014                           |   | S <u>(1)</u>                           | 3,700      | D                            | \$<br>37.6096<br>(2) | 565,638  | D  |   |
| Common<br>Stock                      | 03/10/2014                           |   | S <u>(1)</u>                           | 3,615      | D                            | \$ 38.7651 (3)       | 562,023  | D  |   |
| Common<br>Stock                      | 03/10/2014                           |   | S <u>(1)</u>                           | 2,685      | D                            | \$<br>39.3206<br>(4) | 559,338  | D  |   |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | isable and | 7. Titl | e and    | 8. Price of | - ! |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|------------|---------|----------|-------------|-----|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration Da | ite        | Amou    | nt of    | Derivative  |     |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/   | Year)      | Under   | lying    | Security    |     |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |            | Securi  | ties     | (Instr. 5)  | Ī   |
|             | Derivative  |                     |                    |             | Securities |               |            | (Instr. | 3 and 4) |             |     |
|             | Security    |                     |                    |             | Acquired   |               |            |         |          |             | 1   |
|             |             |                     |                    |             | (A) or     |               |            |         |          |             | 1   |
|             |             |                     |                    |             | Disposed   |               |            |         |          |             |     |
|             |             |                     |                    |             | of (D)     |               |            |         |          |             |     |
|             |             |                     |                    |             | (Instr. 3, |               |            |         |          |             |     |
|             |             |                     |                    |             | 4, and 5)  |               |            |         |          |             |     |
|             |             |                     |                    |             |            |               |            |         | A        |             |     |
|             |             |                     |                    |             |            |               |            |         | Amount   |             |     |
|             |             |                     |                    |             |            | Date          | Expiration | T:41    | or       |             |     |
|             |             |                     |                    |             |            | Exercisable   | Date       | Title   | Number   |             |     |
|             |             |                     |                    | C + V       | (A) (D)    |               |            |         | of       |             |     |
|             |             |                     |                    | Code V      | (A) (D)    |               |            |         | Shares   |             |     |

## **Reporting Owners**

|                                | Relationships |
|--------------------------------|---------------|
| Reporting Owner Name / Address | •             |

10% Owner Officer Director

Luly Jay R. C/O ENANTA PHARMACEUTICALS, INC. **500 ARSENAL STREET** WATERTOWN, MA 02472

X

President and CEO

## **Signatures**

/s/ Jay R. Luly 03/12/2014 \*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person in June 2013 to (1) provide liquidity for tax payments due on account of options exercised in 2013.
- The price reported in Column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from **(2)** \$37.0700 to \$38.0200, inclusive.
- The price reported in Column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from **(3)** \$38.1000 to \$39.0900, inclusive.

**(4)** 

Reporting Owners 2

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Other

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The price reported in Column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$39.1200 to \$39.5400, inclusive.

#### **Remarks:**

The reporting person undertakes to provide Enanta Pharmaceuticals, Inc., any security holder of Enanta Pharmaceuticals, Inc., Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.