SIMPSON MANUFACTURING CO INC /CA/

Form 4

February 07, 2017

| J | redition of, | 2017 | | | | | | | | | | | |
|---|--|---|--|---|--|-----|-------------|------------------|---|---|--|---------|------|
| | FORM | CITIED STATES SECURITES AND EXCHANGE COMMISSION | | | | | | | OMB APPROVAL OMB 3235-02 | | | | |
| | Check thi | s box | | Was | shingto | on, | D.C. 20 | 549 | | | Number: | Janua | |
| | if no long subject to Section 10 Form 4 or | er STATEM. | STATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES | | | | | | | NERSHIP OF | Expires: Estimated a burden hou | verage | 2005 |
| | Form 5 obligation may conti See Instru 1(b). | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | | | 0.5 | |
| (| Print or Type R | desponses) | | | | | | | | | | | |
| | | | | 2. Issuer Name and Ticker or Trading Symbol SIMPSON MANUFACTURING CO | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | | INC /CA | 4/ [SS] | D] | | | | (20000 000 044700000) | | | |
| (Last) (First) (Middle) 5956 W. LAS POSITAS | | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/04/2017 | | | | | | Director 10% OwnerX Officer (give title Other (specify below) President, Simpson Strong-Tie | | | |
| Filed(I | | | | | . If Amendment, Date Original iled(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| | PLEASANT | ON, CA 94588 | | | | | | | | Person | 010 111111 0110 110 | porung | |
| | (City) | (State) | (Zip) | Tabl | e I - No | n-D | erivative (| Secur | ities Acq | uired, Disposed of, | or Beneficial | ly Owne | d |
| | 1.Title of Security (Instr. 3) | Security (Month/Day/Year) Execution Date, if | | | | | | | | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| | Common | | | | Code | V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| | Stock (RSUs awarded February 4, 2017) | 02/04/2017 | | | A | | 4,423 | A | \$ 43.42 | 4,423 (1) | D | | |
| | Common Stock (PRSUs awarded February 4, 2017) | 02/04/2017 | | | A | | 8,859 | A | \$ 43.42 | 8,859 <u>(2)</u> | D | | |

| Common Stock (RSUs awarded February 1, 2016) | 4,974 <u>(3)</u> | D | |
|---|------------------|---|----------|
| Common Stock (PRSUs awarded February 1, 2016) | 6,126 <u>(4)</u> | D | |
| Common Stock (RSUs awarded February 2, 2015) | 2,164 (5) | D | |
| Common Stock (RSUs awarded February 3, 2014) | 776 <u>(6)</u> | D | |
| Common Stock (RSUs awarded February 6, 2013) | 776 <u>(7)</u> | D | |
| Common Stock (RSUs awarded January 30, 2012) | 782 | D | |
| Common Stock | 60 | D | |
| Common Stock | 2,013 (8) | I | By Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4. 5. TransactiorNumber | | 6. Date Exercisable and Expiration Date | | 7. Title and Amount of Underlying Securities | | |
|----------------------------------|------------------------------------|--------------------------------------|-------------------------------|-------------------------|---|---|--------------------|--|--|--|
| Security | or Exercise | (Wionan Day Tear) | any | Code of | | (Month/Day/Year) | | (Instr. 3 and 4) | | |
| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8) | Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | e | | | | |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Options on Common Stock | \$ 29.66 | | | | | 03/03/2011(9) | 02/03/2018 | Options on Common Stock | 4,000 | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Dankel Roger 5956 W. LAS POSITAS PLEASANTON, CA 94588

President, Simpson Strong-Tie

Signatures

/s/ROGER 02/07/2017 DANKEL

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Amount includes 4,423 shares of restricted stock units (RSUs) awarded on February 4, 2017. RSUs vest one fourth on February 15, 2017, (1) February 15, 2018, February 15, 2019, and February 15, 2020. The 4,423 shares are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- Amount includes 8,859 shares of restricted stock units (RSUs) awarded on February 4, 2017. RSUs vest fully on February 15, 2020, subject to the Company's performance on revenue growth (50%) and return on invested capital (50%) versus the Company's peer group median. The 8,859 shares assume that the number of shares that vest are based on targeted performance and are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- Amount includes 4,974 shares of restricted stock units (RSUs) awarded on February 1, 2016. RSUs vest one fourth on each of the award date and the first, second and third anniversaries of the award date. The 4,974 shares are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.

Reporting Owners 3

- Amount includes 6,126 shares of restricted stock units (RSUs) awarded on February 1, 2016. RSUs vest fully on the third anniversary of the award date subject to the Company's relative performance versus the S&P Smallcap 600 Index. The 6,126 shares assume that the maximum number of shares will vest, based on performance at the highest level, and are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- Amount includes 2,164 shares of restricted stock units (RSUs) awarded on February 2, 2015. RSUs vest one fourth on each of the award (5) date and the first, second and third anniversaries of the award date. The 2,164 shares are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- Amount includes 776 shares of restricted stock units (RSUs) awarded on February 3, 2014. RSUs vest one fourth on each of the award (6) date and the first, second and third anniversaries of the award date. The 776 shares are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- Amount includes 776 shares of restricted stock units (RSUs) awarded on February 6, 2013. RSUs vest one fourth on each of the award (7) date and the first, second and third anniversaries of the award date. The 776 shares are net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- (8) The shares are owned by the Simpson Manuafacturing Co., Inc. Profit Sharing Plan for Salaried Employees (the "Plan") of which the reporting person is a participant. The Plan qualifies under sections 401(a)(26) and 410 of the Internal Revenue Code.
- (9) This date represents the date of the first monthly vesting period. This option vests equally over 48 months beginning with the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.