### Edgar Filing: Haley Patrick J. - Form 4

Haley Patric Form 4	k J.										
March 02, 20	018										
FORM			GEGUD			<b></b>		OMMISSION	OMB AF	PROVAL	
	UNITED	STATES			ND EX( , D.C. 20:		NGE CO	OMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or									Expires:	January 31, 2005	
				GES IN SECUR		CIA	LUWN	EKSHIP OF	Estimated a burden hour response	rs per	
Form 5 obligatio may com <i>See</i> Instr 1(b).	ns Section 17(a	a) of the l	Public U	tility Hole		ipany	Act of	Act of 1934, 1935 or Sectior )			
(Print or Type l	Responses)										
Haley Patrick J. Symbo					Ticker or		-0	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	(Middle) 3. Date of Earliest Transaction					(Checl	c all applicable	)		
				ith/Day/Year) 8/2018				Director 10% Owner X_ Officer (give title Other (specify below) below) Sr. Vice President, Commercial			
				onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SOUTH SA FRANCISC	N CO, CA 94080							Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	02/28/2018			М	10,625	А	\$ 1.76	155,519 <u>(1)</u>	D		
Common Stock	02/28/2018			М	3,000	А	\$ 5.5	158,519	D		
Common Stock	02/28/2018			S	45,029	D	\$ 27.13 (2)	113,490	D		
Common Stock								23,539	Ι	By spouse	

By 401(k)

10,101 (3)

Ι

**Reporting Owners** 

### Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Option (right to buy)	\$ 1.76	02/28/2018		М	10,625	11/17/2015 <u>(4)</u>	11/16/2021	Common Stock	10,62:
Option (right to buy)	\$ 5.5	02/28/2018		М	3,000	09/28/2012 <u>(5)</u>	09/27/2018	Common Stock	3,000

## **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
Haley Patrick J. 210 EAST GRAND AVE. SOUTH SAN FRANCISCO, CA 94080			Sr. Vice President, Commercial	
Signatures				
/s/ Jennifer Drimmer Rokovich, Attorney in Fact		03/02/20	018	
**Signature of Reporting Person		Date		
<b>Explanation of Respons</b>	ses:			

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 67,602 shares of Exelixis, Inc. common stock that will be issued to the Reporting Person upon vesting of restricted stock units.

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Represents the weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$27.03 to \$27.25. Reporting Person undertakes to provide Exelixis, Inc., any security holder of Exelixis, Inc., or the staff of the Securities and Exchange

- (2) Reporting Person undertakes to provide Exercises, inc., any security holder of Exercises, inc., of the start of the Securities and Exercises
   Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote 2 to this Form 4.
- (3) Represents shares of Exelixis, Inc. common stock under the Exelixis, Inc. 401(k) Plan, pursuant to a plan statement dated as of February 28, 2018.

The option, representing the right to purchase a total of 50,000 shares of Exelixis, Inc. common stock, was exercisable as to 40,625 shares(4) as of the Transaction Date. The remainder of the option will vest as to 1/48th of the original number of shares subject to the option on each monthly anniversary of November 17, 2014 grant date.

(5) The option, representing the right to purchase a total of 3,000 shares of Exelixis, Inc. common stock, became fully exercisable on September 28, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.