

Edgar Filing: AGIC Equity & Convertible Income Fund - Form N-PX

AGIC Equity & Convertible Income Fund
Form N-PX
August 31, 2010

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number: 811-21989

Nicholas-Applegate Equity & Convertible Income Fund
(Exact name of registrant as specified in charter)

1345 Avenue of the Americas, New York, NY 10105
(Address of Principal Executive Office)

Allianz Global Investors Fund Management LLC
1345 Avenue of the Americas
New York, NY 10105
(Name and Address of Agent for Service)

Registrant's telephone number, including area code: 212-739-3000

Date of fiscal year end: January 31

Date of reporting period: July 1, 2009 through June 30, 2010

ITEM 1. PROXY VOTING RECORD

***** FORM N-Px REPORT *****

ICA File Number: 811-21989
Reporting Period: 07/01/2009 - 06/30/2010
Nicholas-Applegate Equity & Convertible Income Fund

===== NICHOLAS-APPLEGATE EQUITY & CONVERTIBLE INCOME FUND =====

ABBOTT LABORATORIES

Ticker: ABT Security ID: 002824100
Meeting Date: APR 23, 2010 Meeting Type: Annual
Record Date: FEB 24, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
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1.1	Elect Robert Alpern	For	For	Management
1.2	Elect Roxanne Austin	For	For	Management
1.3	Elect William Daley	For	For	Management
1.4	Elect W. James Farrell	For	For	Management
1.5	Elect H. Laurance Fuller	For	For	Management
1.6	Elect William Osborn	For	For	Management
1.7	Elect David Owen	For	For	Management
1.8	Elect Roy Roberts	For	For	Management
1.9	Elect Samuel Scott III	For	For	Management
1.10	Elect William Smithburg	For	For	Management
1.11	Elect Glenn Tilton	For	For	Management
1.12	Elect Miles White	For	For	Management
2	Ratification of Auditor	For	For	Management
3	Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay)	Against	For	Shareholder
4	Shareholder Proposal Regarding Right to Call a Special Meeting	Against	Against	Shareholder

AGCO CORPORATION

Ticker: AGCO Security ID: 001084102
 Meeting Date: APR 22, 2010 Meeting Type: Annual
 Record Date: MAR 12, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Francsico Roberto Andre Gros	For	Withhold	Management
1.2	Elect Gerald Johanneson	For	For	Management
1.3	Elect George Minnich	For	For	Management
1.4	Elect Curtis Moll	For	For	Management
2	Ratification of Auditor	For	For	Management

AMPHENOL CORPORATION

Ticker: APH Security ID: 032095101
 Meeting Date: MAY 26, 2010 Meeting Type: Annual
 Record Date: MAR 29, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Stanley Clark	For	For	Management
1.2	Elect Andrew Lietz	For	For	Management
1.3	Elect Martin Loeffler	For	For	Management
2	Ratification of Auditor	For	For	Management

APPLE INC.

Ticker: AAPL Security ID: 037833100
 Meeting Date: FEB 25, 2010 Meeting Type: Annual
 Record Date: DEC 28, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect William Campbell	For	For	Management
1.2	Elect Millard Drexler	For	For	Management
1.3	Elect Albert Gore, Jr.	For	For	Management

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1.4	Elect Steven Jobs	For	For	Management
1.5	Elect Andrea Jung	For	For	Management
1.6	Elect Arthur Levinson	For	For	Management
1.7	Elect Jerome York	For	For	Management
2	Amendment to the 2003 Employee Stock Plan	For	For	Management
3	Amendment to the 1997 Director Stock Option Plan	For	For	Management
4	Advisory Vote on Executive Compensation	For	For	Management
5	Ratification of Auditor	For	For	Management
6	Shareholder Proposal Regarding Sustainability Report	Against	Against	Shareholder
7	Shareholder Proposal Regarding Formation of Sustainability Committee	Against	Against	Shareholder

BAXTER INTERNATIONAL INC.

Ticker: BAX Security ID: 071813109
 Meeting Date: MAY 4, 2010 Meeting Type: Annual
 Record Date: MAR 8, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Blake Devitt	For	For	Management
2	Elect John Forsyth	For	For	Management
3	Elect Gail Fosler	For	For	Management
4	Elect Carole Shapazian	For	For	Management
5	Ratification of Auditor	For	For	Management
6	Shareholder Proposal Regarding Simple Majority Vote	Against	For	Shareholder

CELANESE CORPORATION

Ticker: CE Security ID: 150870103
 Meeting Date: APR 22, 2010 Meeting Type: Annual
 Record Date: FEB 24, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect David Weidman	For	For	Management
2	Elect Mark Rohr	For	For	Management
3	Elect Farah Walters	For	For	Management
4	Ratification of Auditor	For	For	Management

CISCO SYSTEMS, INC.

Ticker: CSCO Security ID: 17275R102
 Meeting Date: NOV 12, 2009 Meeting Type: Annual
 Record Date: SEP 14, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Carol Bartz	For	For	Management
2	Elect M. Michele Burns	For	For	Management
3	Elect Michael Capellas	For	For	Management
4	Elect Larry Carter	For	For	Management

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5	Elect John Chambers	For	For	Management
6	Elect Brian Halla	For	For	Management
7	Elect John Hennessy	For	Against	Management
8	Elect Richard Kovacevich	For	For	Management
9	Elect Roderick McGearry	For	For	Management
10	Elect Michael Powell	For	For	Management
11	Elect Arun Sarin	For	For	Management
12	Elect Steven West	For	For	Management
13	Elect Jerry Yang	For	For	Management
14	Amendment to the 2005 Stock Incentive Plan	For	For	Management
15	Amendment to the Employee Stock Purchase Plan	For	For	Management
16	Ratification of Auditor	For	For	Management
17	Shareholder Proposal Regarding Formation of a Board Committee on Human Rights	Against	Against	Shareholder
18	Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay)	Against	For	Shareholder
19	Shareholder Proposal Regarding Report on Internet Fragmentation	Against	Against	Shareholder

CONSTELLATION ENERGY GROUP, INC.

Ticker: CEG Security ID: 210371100
 Meeting Date: MAY 28, 2010 Meeting Type: Annual
 Record Date: MAR 19, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Yves de Balmann	For	For	Management
2	Elect Ann Berzin	For	For	Management
3	Elect James Brady	For	For	Management
4	Elect Daniel Camus	For	For	Management
5	Elect James Curtiss	For	For	Management
6	Elect Freeman Hrabowski III	For	For	Management
7	Elect Nancy Lampton	For	For	Management
8	Elect Robert Lawless	For	For	Management
9	Elect Mayo Shattuck III	For	For	Management
10	Elect John Skolds	For	For	Management
11	Elect Michael Sullivan	For	For	Management
12	Ratification of Auditor	For	For	Management
13	Amendment to the 2007 Long-Term Incentive Plan	For	Against	Management
14	Shareholder Proposal Regarding Independent Board Chairman	Against	For	Shareholder

DEERE & COMPANY

Ticker: DE Security ID: 244199105
 Meeting Date: FEB 24, 2010 Meeting Type: Annual
 Record Date: DEC 31, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Samuel Allen	For	For	Management
2	Elect Aulana Peters	For	For	Management
3	Elect David Speer	For	For	Management

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4	Repeal of Classified Board	For	For	Management
5	Amendment to the Omnibus Equity and Incentive Plan	For	For	Management
6	Short-Term Incentive Bonus Plan	For	For	Management
7	Ratification of Auditor	For	For	Management
8	Shareholder Proposal Regarding Internal Executive Compensation Equity	Against	Against	Shareholder
9	Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay)	Against	For	Shareholder
10	Shareholder Proposal Regarding an Independent Chairman	Against	For	Shareholder

DIAMOND OFFSHORE DRILLING, INC.

Ticker: DO Security ID: 25271C102
 Meeting Date: MAY 24, 2010 Meeting Type: Annual
 Record Date: MAR 29, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect James Tisch	For	For	Management
1.2	Elect Lawrence Dickerson	For	For	Management
1.3	Elect John Bolton	For	For	Management
1.4	Elect Charles Fabrikant	For	For	Management
1.5	Elect Paul Gaffney II	For	For	Management
1.6	Elect Edward Grebow	For	For	Management
1.7	Elect Herbert Hofmann	For	For	Management
1.8	Elect Arthur Rebell	For	For	Management
1.9	Elect Raymond Trough	For	For	Management
2	Ratification of Auditor	For	For	Management
3	Transaction of Other Business	For	Against	Management

EMC CORPORATION

Ticker: EMC Security ID: 268648102
 Meeting Date: APR 29, 2010 Meeting Type: Annual
 Record Date: FEB 23, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Michael Brown	For	For	Management
2	Elect Randolph Cowen	For	For	Management
3	Elect Michael Cronin	For	For	Management
4	Elect Gail Deegan	For	For	Management
5	Elect James DiStasio	For	For	Management
6	Elect John Egan	For	For	Management
7	Elect Edmund Kelly	For	For	Management
8	Elect Windle Priem	For	For	Management
9	Elect Paul Sagan	For	For	Management
10	Elect David Strohm	For	For	Management
11	Elect Joseph Tucci	For	For	Management
12	Ratification of Auditor	For	For	Management
13	Shareholder Proposal Regarding Right to Call a Special Meeting	Against	For	Shareholder
14	Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay)	Against	For	Shareholder

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ENTERGY CORPORATION

Ticker: ETR Security ID: 29364G103
 Meeting Date: MAY 7, 2010 Meeting Type: Annual
 Record Date: MAR 9, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Maureen Bateman	For	For	Management
2	Elect W. Frank Blount	For	For	Management
3	Elect Gary Edwards	For	For	Management
4	Elect Alexis Herman	For	For	Management
5	Elect Donald Hintz	For	For	Management
6	Elect J. Wayne Leonard	For	For	Management
7	Elect Stuart Levenick	For	For	Management
8	Elect Stewart Myers	For	For	Management
9	Elect James Nichols	For	For	Management
10	Elect William Percy, II	For	For	Management
11	Elect W.J. Tauzin	For	For	Management
12	Elect Steven Wilkinson	For	For	Management
13	Ratification of Auditor	For	For	Management
14	Approval of the Executive Annual Incentive Plan	For	For	Management

FIFTH THIRD BANCORP

Ticker: FITB Security ID: 316773100
 Meeting Date: APR 20, 2010 Meeting Type: Annual
 Record Date: MAR 1, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Adoption of Majority Vote for Election of Directors	For	For	Management
2	Elimination of Cumulative Voting	For	For	Management

FORD MOTOR COMPANY

Ticker: F Security ID: 345370860
 Meeting Date: MAY 13, 2010 Meeting Type: Annual
 Record Date: MAR 17, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Stephen Butler	For	For	Management
1.2	Elect Kimberly Casiano	For	For	Management
1.3	Elect Anthony Earley, Jr.	For	Withhold	Management
1.4	Elect Edsel Ford II	For	Withhold	Management
1.5	Elect William Ford, Jr.	For	For	Management
1.6	Elect Richard Gephardt	For	For	Management
1.7	Elect Irvine Hockaday, Jr.	For	For	Management
1.8	Elect Richard Manoogian	For	Withhold	Management
1.9	Elect Ellen Marram	For	For	Management
1.10	Elect Alan Mulally	For	For	Management
1.11	Elect Homer Neal	For	For	Management
1.12	Elect Gerald Shaheen	For	For	Management
1.13	Elect John Thornton	For	For	Management

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2	Ratification of Auditor	For	For	Management
3	Tax Benefit Preservation Plan	For	For	Management
4	Shareholder Proposal Regarding Disclosure of Prior Government Service	Against	Against	Shareholder
5	Shareholder Proposal Regarding Recapitalization Plan	Against	For	Shareholder
6	Shareholder Proposal Regarding Political Contributions and Expenditure Report	Against	For	Shareholder
7	Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay)	Against	For	Shareholder
8	Shareholder Proposal Regarding Carbon Dioxide Reduction	Against	Against	Shareholder

FREEMPORT-MCMORAN COPPER & GOLD INC.

Ticker: FCX Security ID: 35671D857
 Meeting Date: JUN 9, 2010 Meeting Type: Annual
 Record Date: APR 13, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Richard Adkerson	For	For	Management
1.2	Elect Robert Allison, Jr.	For	Withhold	Management
1.3	Elect Robert Day	For	For	Management
1.4	Elect Gerald Ford	For	For	Management
1.5	Elect H. Devon Graham, Jr.	For	For	Management
1.6	Elect Charles Krulak	For	For	Management
1.7	Elect Bobby Lackey	For	For	Management
1.8	Elect Jon Madonna	For	For	Management
1.9	Elect Dustan McCoy	For	For	Management
1.10	Elect James Moffett	For	For	Management
1.11	Elect B. M. Rankin, Jr.	For	Withhold	Management
1.12	Elect Stephen Siegele	For	For	Management
2	Ratification of Auditor	For	For	Management
3	Amendment to the 2006 Stock Incentive Plan	For	Against	Management
4	Shareholder Proposal Regarding Environmental Expertise on Board	Against	Against	Shareholder
5	Shareholder Proposal Regarding Retention of Shares After Retirement	Against	Against	Shareholder

GENERAL ELECTRIC COMPANY

Ticker: GE Security ID: 369604103
 Meeting Date: APR 28, 2010 Meeting Type: Annual
 Record Date: MAR 1, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect W. Geoffrey Beattie	For	For	Management
2	Elect James Cash, Jr.	For	For	Management
3	Elect Sir William Castell	For	For	Management
4	Elect Ann Fudge	For	For	Management
5	Elect Susan Hockfield	For	For	Management
6	Elect Jeffrey Immelt	For	For	Management
7	Elect Andrea Jung	For	For	Management
8	Elect Alan Lafley	For	For	Management

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9	Elect Robert Lane	For	For	Management
10	Elect Ralph Larsen	For	For	Management
11	Elect Rochelle Lazarus	For	For	Management
12	Elect James Mulva	For	For	Management
13	Elect Sam Nunn	For	For	Management
14	Elect Roger Penske	For	Against	Management
15	Elect Robert Swieringa	For	For	Management
16	Elect Douglas Warner III	For	For	Management
17	Ratification of Auditor	For	For	Management
18	Shareholder Proposal Regarding Cumulative Voting	Against	Against	Shareholder
19	Shareholder Proposal Regarding Right to Call a Special Meeting	Against	For	Shareholder
20	Shareholder Proposal Regarding Independent Board Chairman	Against	For	Shareholder
21	Shareholder Proposal Regarding Report on Ratio Between Executive and Employee Pay	Against	Against	Shareholder
22	Shareholder Proposal Regarding Requiring Key Committee Directors To Receive Less Than 20% Against Votes	Against	Against	Shareholder
23	Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay)	Against	For	Shareholder

GILEAD SCIENCES, INC.

Ticker: GILD Security ID: 375558103
 Meeting Date: MAY 11, 2010 Meeting Type: Annual
 Record Date: MAR 15, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Paul Berg	For	For	Management
1.2	Elect John Cogan	For	For	Management
1.3	Elect Etienne Davignon	For	For	Management
1.4	Elect James Denny	For	For	Management
1.5	Elect Carla Hills	For	For	Management
1.6	Elect Kevin Lofton	For	For	Management
1.7	Elect John Madigan	For	For	Management
1.8	Elect John Martin	For	For	Management
1.9	Elect Gordon Moore	For	For	Management
1.10	Elect Nicholas Moore	For	For	Management
1.11	Elect Richard Whitley	For	For	Management
1.12	Elect Gayle Wilson	For	For	Management
1.13	Elect Per Wold-Olsen	For	For	Management
2	Ratification of Auditor	For	For	Management
3	Shareholder Proposal Regarding Simple Majority Vote	Against	For	Shareholder

GOOGLE INC.

Ticker: GOOG Security ID: 38259P508
 Meeting Date: MAY 13, 2010 Meeting Type: Annual
 Record Date: MAR 15, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Eric Schmidt	For	For	Management

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1.2	Elect Sergey Brin	For	For	Management
1.3	Elect Larry Page	For	For	Management
1.4	Elect L. John Doerr	For	For	Management
1.5	Elect John Hennessy	For	Withhold	Management
1.6	Elect Ann Mather	For	For	Management
1.7	Elect Paul Otellini	For	For	Management
1.8	Elect K. Shriram	For	For	Management
1.9	Elect Shirley Tilghman	For	For	Management
2	Ratification of Auditor	For	For	Management
3	Amendment to the 2004 Stock Plan	For	Against	Management
4	Shareholder Proposal Regarding Sustainability Report	Against	Against	Shareholder
5	Shareholder Proposal Regarding Behavioral Advertising	Against	Against	Shareholder
6	Shareholder Proposal Regarding Human Rights in China	Against	Against	Shareholder

HARRIS CORPORATION

Ticker: HRS Security ID: 413875105
 Meeting Date: OCT 23, 2009 Meeting Type: Annual
 Record Date: AUG 28, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Terry Growcock	For	For	Management
2	Elect Leslie Kenne	For	For	Management
3	Elect David Rickard	For	For	Management
4	Elect Gregory Swienton	For	For	Management
5	Ratification of Auditor	For	For	Management
6	Shareholder Proposal Regarding Independent Board Chairman	Against	For	Shareholder

HARRIS STRATEX NETWORKS, INC.

Ticker: HSTX Security ID: 41457P106
 Meeting Date: NOV 19, 2009 Meeting Type: Annual
 Record Date: SEP 22, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Charles Kissner	For	Withhold	Management
1.2	Elect Harald Braun	For	Withhold	Management
1.3	Elect Eric Evans	For	Withhold	Management
1.4	Elect William Hasler	For	Withhold	Management
1.5	Elect Clifford Higginson	For	Withhold	Management
1.6	Elect Mohsen Sohi	For	Withhold	Management
1.7	Elect James Stoffel	For	Withhold	Management
1.8	Elect Edward Thompson	For	Withhold	Management
2	Ratification of Auditor	For	For	Management
3	2010 Employee Stock Purchase Plan	For	For	Management
4	Amendment to the 2007 Stock Equity Plan	For	For	Management
5	Amendment to the Certificate of Incorporation	For	For	Management

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INTEL CORPORATION

Ticker: INTC Security ID: 458140100
 Meeting Date: MAY 19, 2010 Meeting Type: Annual
 Record Date: MAR 22, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Charlene Barshefsky	For	Against	Management
2	Elect Susan Decker	For	For	Management
3	Elect John Donahoe	For	For	Management
4	Elect Reed Hundt	For	For	Management
5	Elect Paul Otellini	For	For	Management
6	Elect James Plummer	For	For	Management
7	Elect David Pottruck	For	For	Management
8	Elect Jane Shaw	For	For	Management
9	Elect Frank Yeary	For	For	Management
10	Elect David Yoffie	For	Against	Management
11	Ratification of Auditor	For	For	Management
12	ADVISORY VOTE ON EXECUTIVE COMPENSATION	For	For	Management

INTERNATIONAL BUSINESS MACHINES CORPORATION

Ticker: IBM Security ID: 459200101
 Meeting Date: APR 27, 2010 Meeting Type: Annual
 Record Date: FEB 26, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Alain Belda	For	Against	Management
2	Elect Cathleen Black	For	Against	Management
3	Elect William Brody	For	For	Management
4	Elect Kenneth Chenault	For	For	Management
5	Elect Michael Eskew	For	Against	Management
6	Elect Shirley Jackson	For	For	Management
7	Elect Andrew Liveris	For	Against	Management
8	Elect W. James McNerney, Jr.	For	For	Management
9	Elect Taizo Nishimuro	For	For	Management
10	Elect James Owens	For	For	Management
11	Elect Samuel Palmisano	For	For	Management
12	Elect Joan Spero	For	For	Management
13	Elect Sidney Taurel	For	For	Management
14	Elect Lorenzo Zambrano	For	Against	Management
15	Ratification of Auditor	For	For	Management
16	Shareholder Proposal Regarding Restricting Executive Compensation	Against	Against	Shareholder
17	Shareholder Proposal Regarding Cumulative Voting	Against	Against	Shareholder
18	Shareholder Proposal Regarding Right to Call a Special Meeting	Against	For	Shareholder
19	Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay)	Against	For	Shareholder

INTUITIVE SURGICAL, INC.

Ticker: ISRG Security ID: 46120E602
 Meeting Date: APR 21, 2010 Meeting Type: Annual
 Record Date: FEB 22, 2010

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#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Alan Levy	For	Withhold	Management
1.2	Elect Eric Halvorson	For	For	Management
1.3	Elect Amal Johnson	For	For	Management
2	2010 Incentive Award Plan	For	Against	Management

JOHNSON & JOHNSON

Ticker: JNJ Security ID: 478160104
 Meeting Date: APR 22, 2010 Meeting Type: Annual
 Record Date: FEB 23, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Mary Coleman	For	Against	Management
2	Elect James Cullen	For	For	Management
3	Elect Michael Johns	For	Against	Management
4	Elect Susan Lindquist	For	For	Management
5	Elect Anne Mulcahy	For	Against	Management
6	Elect Leo Mullin	For	For	Management
7	Elect William Perez	For	Against	Management
8	Elect Charles Prince	For	Against	Management
9	Elect David Satcher	For	For	Management
10	Elect William Weldon	For	For	Management
11	Ratification of Auditor	For	For	Management
12	Shareholder Proposal Regarding Advisory Vote on Executive Compensation (Say on Pay)	Against	For	Shareholder
13	Shareholder Proposal Regarding Right to Call a Special Meeting	Against	Against	Shareholder

JOHNSON CONTROLS, INC.

Ticker: JCI Security ID: 478366107
 Meeting Date: JAN 27, 2010 Meeting Type: Annual
 Record Date: NOV 19, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect David Abney	For	For	Management
1.2	Elect Robert Barnett	For	Withhold	Management
1.3	Elect Eugenio Clariond Reyes-Retana	For	For	Management
1.4	Elect Jeffrey Joerres	For	For	Management
2	Ratification of Auditor	For	For	Management
3	Shareholder Proposal Regarding Majority Vote for Election of Directors	Against	For	Shareholder

JOY GLOBAL INC.

Ticker: JOYG Security ID: 481165108
 Meeting Date: MAR 9, 2010 Meeting Type: Annual
 Record Date: JAN 8, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
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1.1	Elect Steven Gerard	For	For	Management
1.2	Elect John Hanson	For	For	Management
1.3	Elect Kenneth Johnsen	For	For	Management
1.4	Elect Gale Klappa	For	For	Management
1.5	Elect Richard Loynd	For	For	Management
1.6	Elect P. Eric Siegert	For	For	Management
1.7	Elect Michael Sutherlin	For	For	Management
1.8	Elect James Tate	For	For	Management
2	Ratification of Auditor	For	For	Management

JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100
 Meeting Date: MAY 18, 2010 Meeting Type: Annual
 Record Date: MAR 19, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Crandall Bowles	For	For	Management
2	Elect Stephen Burke	For	For	Management
3	Elect David Cote	For	For	Management
4	Elect James Crown	For	For	Management
5	Elect James Dimon	For	For	Management
6	Elect Ellen Futter	For	Against	Management
7	Elect William Gray, III	For	For	Management
8	Elect Laban Jackson, Jr.	For	For	Management
9	Elect David Novak	For	Against	Management
10	Elect Lee Raymond	For	For	Management
11	Elect William Weldon	For	For	Management
12	Ratification of Auditor	For	For	Management
13	ADVISORY VOTE ON EXECUTIVE COMPENSATION	For	For	Management
14	Shareholder Proposal Regarding Affirmation of Political Nonpartisanship	Against	Against	Shareholder
15	Shareholder Proposal Regarding Right to Call a Special Meeting	Against	For	Shareholder
16	Shareholder Proposal Regarding Report OTC Derivative Trading	Against	Against	Shareholder
17	Shareholder Proposal Regarding Right to Act by Written Consent	Against	For	Shareholder
18	Shareholder Proposal Regarding Independent Board Chairman	Against	For	Shareholder
19	Shareholder Proposal Regarding Report of Ratio Between CEO and Employee Pay	Against	Against	Shareholder
20	Shareholder Proposal Regarding Retention of Shares After Retirement	Against	Against	Shareholder

L-3 COMMUNICATIONS HOLDINGS, INC.

Ticker: LLL Security ID: 502424104
 Meeting Date: APR 27, 2010 Meeting Type: Annual
 Record Date: MAR 1, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Claude Canizares	For	For	Management
1.2	Elect Thomas Corcoran	For	For	Management
1.3	Elect Lewis Kramer	For	For	Management

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1.4	Elect Alan Washkowitz	For	For	Management
2	Amendment to the 2008 Long Term Performance Plan	For	For	Management
3	Ratification of Auditor	For	For	Management

MCDONALD'S CORPORATION

Ticker: MCD Security ID: 580135101
 Meeting Date: MAY 20, 2010 Meeting Type: Annual
 Record Date: MAR 23, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Walter Massey	For	For	Management
2	Elect John Rogers, Jr.	For	For	Management
3	Elect Roger Stone	For	For	Management
4	Elect Miles White	For	For	Management
5	Ratification of Auditor	For	For	Management
6	Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay)	Against	For	Shareholder
7	Shareholder Proposal Regarding Simple Majority Vote	Against	For	Shareholder
8	Shareholder Proposal Regarding Controlled Atmosphere Killing	Against	Against	Shareholder
9	Shareholder Proposal Regarding Cage-Free Eggs	Against	Against	Shareholder

MCKESSON CORPORATION

Ticker: MCK Security ID: 58155Q103
 Meeting Date: JUL 22, 2009 Meeting Type: Annual
 Record Date: MAY 29, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Andy Bryant	For	For	Management
2	Elect Wayne Budd	For	For	Management
3	Elect John Hammergren	For	For	Management
4	Elect Alton Irby, III	For	Against	Management
5	Elect M. Christine Jacobs	For	Against	Management
6	Elect Marie Knowles	For	For	Management
7	Elect David Lawrence	For	Against	Management
8	Elect Edward Mueller	For	For	Management
9	Elect Jane Shaw	For	For	Management
10	Amendment to the 2005 Stock Plan	For	Against	Management
11	Ratification of Auditor	For	For	Management
12	Shareholder Proposal Regarding Retention of Shares After Retirement	Against	Against	Shareholder
13	Shareholder Proposal Regarding Survivor Benefits (Golden Coffins)	Against	For	Shareholder

MEDCO HEALTH SOLUTIONS, INC.

Ticker: MHS Security ID: 58405U102
 Meeting Date: MAY 12, 2010 Meeting Type: Annual

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Record Date: MAR 15, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Howard Barker, Jr.	For	For	Management
2	Elect John Cassis	For	For	Management
3	Elect Michael Goldstein	For	Against	Management
4	Elect Charles Lillis	For	Against	Management
5	Elect Myrtle Potter	For	For	Management
6	Elect William Roper	For	For	Management
7	Elect David Snow, Jr.	For	For	Management
8	Elect David Stevens	For	Against	Management
9	Elect Blenda Wilson	For	For	Management
10	Ratification of Auditor	For	For	Management
11	Amendment to Certificate of Incorporation Regarding the Right to Call Special Meetings	For	For	Management

METLIFE, INC.

Ticker: MET Security ID: 59156R108
 Meeting Date: APR 27, 2010 Meeting Type: Annual
 Record Date: MAR 1, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect R. Glenn Hubbard	For	For	Management
1.2	Elect Alfred Kelly, Jr.	For	For	Management
1.3	Elect James Kilts	For	For	Management
1.4	Elect David Satcher	For	For	Management
2	Ratification of Auditor	For	For	Management
3	Shareholder Proposal Regarding Cumulative Voting	Against	Against	Shareholder

MICROSOFT CORPORATION

Ticker: MSFT Security ID: 594918104
 Meeting Date: NOV 19, 2009 Meeting Type: Annual
 Record Date: SEP 4, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect William Gates, III	For	For	Management
2	Elect Steven Ballmer	For	For	Management
3	Elect Dina Dublon	For	For	Management
4	Elect Raymond Gilmartin	For	For	Management
5	Elect Reed Hastings	For	For	Management
6	Elect Maria Klawe	For	For	Management
7	Elect David Marquardt	For	For	Management
8	Elect Charles Noski	For	For	Management
9	Elect Helmut Panke	For	For	Management
10	Ratification of Auditor	For	For	Management
11	Restoration of Right to Call a Special Meeting	For	For	Management
12	ADVISORY VOTE ON EXECUTIVE COMPENSATION	For	For	Management
13	Shareholder Proposal Regarding Adoption of Principles for Health Care Reform	Against	Against	Shareholder
14	Shareholder Proposal Regarding Charitable Contributions	Against	Against	Shareholder

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MOLSON COORS BREWING COMPANY

Ticker: TAP Security ID: 60871R209
 Meeting Date: JUN 2, 2010 Meeting Type: Annual
 Record Date: APR 5, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect John Cleghorn	For	For	Management
1.2	Elect Charles Herington	For	For	Management
1.3	Elect David O'Brien	For	Withhold	Management

NATIONAL OILWELL VARCO, INC.

Ticker: NOV Security ID: 637071101
 Meeting Date: MAY 12, 2010 Meeting Type: Annual
 Record Date: MAR 23, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Ben Guill	For	For	Management
2	Elect Roger Jarvis	For	For	Management
3	Elect Eric Mattson	For	For	Management
4	Ratification of Auditor	For	For	Management

NRG ENERGY, INC.

Ticker: NRG Security ID: 629377508
 Meeting Date: JUL 21, 2009 Meeting Type: Proxy Contest
 Record Date: JUN 15, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Betsy Atkins	N/A	TNA	Management
1.2	Elect Ralph Faison	N/A	TNA	Management
1.3	Elect Coleman Peterson	N/A	TNA	Management
1.4	Elect Thomas Wajnert	N/A	TNA	Management
2	Change in Board Size	N/A	TNA	Management
3	Elect Donald DeFosset, Jr.	N/A	TNA	Management
4	Elect Richard Koppes	N/A	TNA	Management
5	Elect John Albertine	N/A	TNA	Management
6	Elect Majorie Bowen	N/A	TNA	Management
7	Elect Ralph Wellington	N/A	TNA	Management
8	Amendment to Restore the Bylaws	N/A	TNA	Management
9	Amendment to the Long-Term Incentive Plan	N/A	TNA	Management
10	Amendment to the Annual Incentive Plan for Designated Corporate Officers	N/A	TNA	Management
11	Adoption of Majority Vote for Election of Directors	N/A	TNA	Management
12	Ratification of Auditor	N/A	TNA	Management
13	Shareholder Proposal Regarding Carbon Principles Report	N/A	TNA	Management
1.1	Elect John Chlebowski	For	For	Management
1.2	Elect Howard Cosgrove	For	For	Management

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1.3	Elect William Hantke	For	For	Management
1.4	Elect Anne Schaumburg	For	For	Management
2	Amendment to the Long-Term Incentive Plan	For	For	Management
3	Amendment to the Annual Incentive Plan for Designated Corporate Officers	For	For	Management
4	Adoption of Majority Vote for Election of Directors	For	For	Management
5	Ratification of Auditor	For	For	Management
6	Shareholder Proposal Regarding Carbon Principles Report	Against	Against	Shareholder
7	Shareholder Proposal Regarding Board Size	Against	Against	Shareholder
8	Shareholder Proposal Regarding Repeal of Bylaw Amendments	Against	Against	Shareholder

OCCIDENTAL PETROLEUM CORPORATION

Ticker: OXY Security ID: 674599105

Meeting Date: MAY 7, 2010 Meeting Type: Annual

Record Date: MAR 15, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Spencer Abraham	For	For	Management
2	Elect John Chalsty	For	For	Management
3	Elect Stephen Chazen	For	Against	Management
4	Elect Edward Djerejian	For	For	Management
5	Elect John Feick	For	For	Management
6	Elect Carlos Gutierrez	For	For	Management
7	Elect Ray Irani	For	For	Management
8	Elect Irvin Maloney	For	For	Management
9	Elect Avedick Poladian	For	For	Management
10	Elect Rodolfo Segovia	For	For	Management
11	Elect Aziz Syriani	For	For	Management
12	Elect Rosemary Tomich	For	For	Management
13	Elect Walter Weisman	For	For	Management
14	Ratification of Auditor	For	For	Management
15	Re-approval of Performance Goals under the 2005 Long-Term Incentive Plan	For	For	Management
16	Advisory Vote on Executive Compensation	For	Against	Management
17	Shareholder Proposal Regarding Restricting Executive Compensation	Against	Against	Shareholder
18	Shareholder Proposal Regarding Independent Board Chairman	Against	For	Shareholder
19	Shareholder Proposal Regarding Right to Call a Special Meeting	Against	For	Shareholder
20	Shareholder Proposal Regarding Report on Host Country Regulations	Against	Against	Shareholder
21	Shareholder Proposal Regarding Majority Vote for Election of Directors	Against	For	Shareholder
22	Shareholder Proposal Regarding Security of Chemical Facilities	Against	Against	Shareholder
23	Shareholder Proposal Regarding Compensation in the Event of a Change of Control	Against	For	Shareholder

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ORACLE CORPORATION

Ticker: ORCL Security ID: 68389X105
 Meeting Date: OCT 7, 2009 Meeting Type: Annual
 Record Date: AUG 10, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Jeffrey Berg	For	Withhold	Management
1.2	Elect H. Raymond Bingham	For	Withhold	Management
1.3	Elect Michael Boskin	For	For	Management
1.4	Elect Safra Catz	For	For	Management
1.5	Elect Bruce Chizen	For	For	Management
1.6	Elect George Conrades	For	For	Management
1.7	Elect Lawrence Ellison	For	For	Management
1.8	Elect Hector Garcia-Molina	For	For	Management
1.9	Elect Jeffrey Henley	For	For	Management
1.10	Elect Donald Lucas	For	Withhold	Management
1.11	Elect Charles Phillips, Jr.	For	For	Management
1.12	Elect Naomi Seligman	For	For	Management
2	2010 Executive Bonus Plan	For	For	Management
3	Ratification of Auditor	For	For	Management
4	Shareholder Proposal Regarding Right to Call a Special Meeting	Against	Against	Shareholder
5	Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay)	Against	For	Shareholder
6	Shareholder Proposal Regarding Retention of Shares After Retirement	Against	Against	Shareholder

PEABODY ENERGY CORPORATION

Ticker: BTU Security ID: 704549104
 Meeting Date: MAY 4, 2010 Meeting Type: Annual
 Record Date: MAR 12, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Gregory Boyce	For	For	Management
1.2	Elect William Coley	For	For	Management
1.3	Elect William James	For	For	Management
1.4	Elect Robert Karn III	For	For	Management
1.5	Elect M. Frances Keeth	For	For	Management
1.6	Elect Henry Lentz	For	For	Management
1.7	Elect Robert Malone	For	For	Management
1.8	Elect William Rusnack	For	For	Management
1.9	Elect John Turner	For	For	Management
1.10	Elect Alan Washkowitz	For	Withhold	Management
2	Ratification of Auditor	For	For	Management

PEPSICO, INC.

Ticker: PEP Security ID: 713448108
 Meeting Date: MAY 5, 2010 Meeting Type: Annual
 Record Date: MAR 5, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Shona Brown	For	For	Management
2	Elect Ian Cook	For	For	Management

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3	Elect Dina Dublon	For	For	Management
4	Elect Victor Dzau	For	For	Management
5	Elect Ray Hunt	For	For	Management
6	Elect Alberto Ibarguen	For	For	Management
7	Elect Arthur Martinez	For	For	Management
8	Elect Indra Nooyi	For	For	Management
9	Elect Sharon Rockefeller	For	For	Management
10	Elect James Schiro	For	For	Management
11	Elect Lloyd Trotter	For	For	Management
12	Elect Daniel Vasella	For	Against	Management
13	Ratification of Auditor	For	For	Management
14	Amendment to the 2007 Long-Term Incentive Plan	For	For	Management
15	Shareholder Proposal Regarding Reviewing Charitable Spending	Against	Against	Shareholder
16	Shareholder Proposal Regarding Right to Call a Special Meeting	Against	For	Shareholder
17	Shareholder Proposal Regarding Report on Public Policy Issues	Against	Against	Shareholder

PRUDENTIAL FINANCIAL, INC.

Ticker: PRU Security ID: 744320102
 Meeting Date: MAY 11, 2010 Meeting Type: Annual
 Record Date: MAR 12, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Election of Directors	For	For	Management
2	Elect Gordon Bethune	For	For	Management
3	Elect Gaston Caperton	For	For	Management
4	Elect Gilbert Casellas	For	For	Management
5	Elect James Cullen	For	For	Management
6	Elect William Gray III	For	For	Management
7	Elect Mark Grier	For	For	Management
8	Elect Jon Hanson	For	For	Management
9	Elect Constance Horner	For	For	Management
10	Elect Karl Krapek	For	For	Management
11	Elect Christine Poon	For	For	Management
12	Elect John Strangfeld	For	For	Management
13	Elect James Unruh	For	For	Management
14	Ratification of Auditor	For	For	Management
15	Advisory Vote on Executive Compensation	For	Against	Management

QUALCOMM INCORPORATED

Ticker: QCOM Security ID: 747525103
 Meeting Date: MAR 2, 2010 Meeting Type: Annual
 Record Date: JAN 4, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Barbara Alexander	For	For	Management
1.2	Elect Stephen Bennett	For	For	Management
1.3	Elect Donald Cruickshank	For	For	Management
1.4	Elect Raymond Dittamore	For	For	Management
1.5	Elect Thomas Horton	For	For	Management
1.6	Elect Irwin Jacobs	For	For	Management

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1.7	Elect Paul Jacobs	For	For	Management
1.8	Elect Robert Kahn	For	For	Management
1.9	Elect Sherry Lansing	For	For	Management
1.10	Elect Duane Nelles	For	For	Management
1.11	Elect Brent Scowcroft	For	For	Management
1.12	Elect Marc Stern	For	For	Management
2	Amendment to the 2006 Long-Term Incentive Plan	For	For	Management
3	Ratification of Auditor	For	For	Management

RESEARCH IN MOTION LTD.

Ticker: RIM Security ID: 760975102
 Meeting Date: JUL 14, 2009 Meeting Type: Annual
 Record Date: MAY 26, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Mike Lazaridis	For	Withhold	Management
1.2	Elect James Estill	For	Withhold	Management
1.3	Elect David Kerr	For	For	Management
1.4	Elect Roger Martin	For	For	Management
1.5	Elect John Richardson	For	Withhold	Management
1.6	Elect Barbara Stymiest	For	For	Management
1.7	Elect John Wetmore	For	For	Management
2	Appointment of Auditor and Authority to Set Fees	For	For	Management

SCHLUMBERGER N.V. (SCHLUMBERGER LIMITED)

Ticker: SLB Security ID: 806857108
 Meeting Date: APR 7, 2010 Meeting Type: Annual
 Record Date: FEB 17, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Philippe Camus	For	For	Management
1.2	Elect Jamie Gorelick	For	For	Management
1.3	Elect Andrew Gould	For	For	Management
1.4	Elect Tony Isaac	For	For	Management
1.5	Elect Nikolay Kudryavtsev	For	For	Management
1.6	Elect Adrian Lajous	For	For	Management
1.7	Elect Michael Marks	For	For	Management
1.8	Elect Leo Reif	For	For	Management
1.9	Elect Tore Sandvold	For	For	Management
1.10	Elect Henri Seydoux	For	For	Management
1.11	Elect Peter Currie	For	For	Management
1.12	Elect K.V. Kamath	For	For	Management
2	Approval of Financial Statements and Dividends	For	For	Management
3	2010 Stock Incentive Plan	For	For	Management
4	Amendment to the Discounted Stock Purchase Plan	For	For	Management
5	Appointment of Auditor	For	For	Management

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TARGET CORPORATION

Ticker: TGT Security ID: 87612E106
 Meeting Date: JUN 9, 2010 Meeting Type: Annual
 Record Date: APR 12, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Calvin Darden	For	For	Management
2	Elect Anne Mulcahy	For	Against	Management
3	Elect Stephen Sanger	For	For	Management
4	Elect Gregg Steinhafel	For	For	Management
5	Ratification of Auditor	For	Against	Management
6	Repeal of Classified Board	For	For	Management
7	Elimination of Supermajority Requirement	For	For	Management
8	Amendment and Restatement of the Articles of Incorporation	For	For	Management
9	Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay)	Against	For	Shareholder

TEXAS INSTRUMENTS INCORPORATED

Ticker: TXN Security ID: 882508104
 Meeting Date: APR 15, 2010 Meeting Type: Annual
 Record Date: FEB 16, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Ralph Babb, Jr.	For	For	Management
2	Elect David Boren	For	For	Management
3	Elect Daniel Carp	For	For	Management
4	Elect Carrie Cox	For	For	Management
5	Elect David Goode	For	For	Management
6	Elect Stephen MacMillan	For	For	Management
7	Elect Pamela Patsley	For	For	Management
8	Elect Wayne Sanders	For	For	Management
9	Elect Ruth Simmons	For	Against	Management
10	Elect Richard Templeton	For	For	Management
11	Elect Christine Whitman	For	For	Management
12	Ratification of Auditor	For	For	Management

TEXTRON INC.

Ticker: TXT Security ID: 883203101
 Meeting Date: APR 28, 2010 Meeting Type: Annual
 Record Date: MAR 5, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Kathleen Bader	For	For	Management
2	Elect R. Kerry Clark	For	For	Management
3	Elect Ivor Evans	For	For	Management
4	Elect Charles Powell	For	Against	Management
5	Elect James Ziemer	For	For	Management
6	One-time Stock Option Exchange Program	For	For	Management
7	Repeal of Classified Board	For	For	Management
8	Ratification of Auditor	For	For	Management

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THE COCA-COLA COMPANY

Ticker: KO Security ID: 191216100
 Meeting Date: APR 21, 2010 Meeting Type: Annual
 Record Date: FEB 22, 2010

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Herbert Allen	For	Against	Management
2	Elect Ronald Allen	For	For	Management
3	Elect Cathleen Black	For	For	Management
4	Elect Barry Diller	For	Against	Management
5	Elect Alexis Herman	For	For	Management
6	Elect Muhtar Kent	For	For	Management
7	Elect Donald Keough	For	For	Management
8	Elect Maria Lagomasino	For	For	Management
9	Elect Donald McHenry	For	For	Management
10	Elect Sam Nunn	For	For	Management
11	Elect James Robinson III	For	For	Management
12	Elect Peter Ueberroth	For	For	Management
13	Elect Jacob Wallenberg	For	For	Management
14	Elect James Williams	For	Against	Management
15	Ratification of Auditor	For	For	Management
16	Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay)	Against	For	Shareholder
17	Shareholder Proposal Regarding Independent Board Chairman	Against	For	Shareholder
18	Shareholder Proposal Regarding Performance Based Restricted Equity Compensation	Against	For	Shareholder
19	Shareholder Proposal Regarding Report on Bisphenol-A	Against	Against	Shareholder

THE PROCTER & GAMBLE COMPANY

Ticker: PG Security ID: 742718109
 Meeting Date: OCT 13, 2009 Meeting Type: Annual
 Record Date: AUG 14, 2009

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Kenneth Chenault	For	For	Management
2	Elect Scott Cook	For	Against	Management
3	Elect Rajat Gupta	For	For	Management
4	Elect Alan Lafley	For	For	Management
5	Elect Charles Lee	For	For	Management
6	Elect Lynn Martin	For	For	Management
7	Elect Robert McDonald	For	For	Management
8	Elect W. James McNerney, Jr.	For	For	Management
9	Elect Johnathan Rodgers	For	For	Management
10	Elect Ralph Snyderman	For	For	Management
11	Elect Mary Agnes Wilderotter	For	For	Management
12	Elect Patricia Woertz	For	For	Management
13	Elect Ernesto Zedillo	For	For	Management
14	Ratification of Auditor	For	For	Management
15	Amendments to Code of Regulations	For	For	Management
16	2009 Stock and Incentive Compensation Plan	For	For	Management

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Title: President and Chief Executive Officer

Date: August 31, 2010

* Print the name and title of each signing officer under his or her signature.