

TYSON FOODS INC
Form 4
March 23, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
VAN BEBBER DAVID L

(Last) (First) (Middle)

2200 DON TYSON PARKWAY

(Street)

SPRINGDALE, AR 72762

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
TYSON FOODS INC [TSN]

3. Date of Earliest Transaction
(Month/Day/Year)
12/17/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

EVP & General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A Common Stock	12/17/2014		G		3,000	D	\$ 0
					139,048 ⁽¹⁾	D	
Class A Common Stock	12/30/2014		G		250	D	\$ 0
					138,798 ⁽¹⁾	D	
Class A Common Stock	03/12/2015		J ⁽²⁾		502	A	\$ 0
					1,369	I	
	03/16/2015		J ⁽³⁾		83	A	\$ 0
					138,881 ⁽¹⁾	D	

Employee Stock Purchase Plan

Edgar Filing: TYSON FOODS INC - Form 4

Class A Common Stock									
Class A Common Stock	03/20/2015		M	8,000	A	\$ 16.35	146,881 ⁽¹⁾	D	
Class A Common Stock	03/20/2015		M	20,000	A	\$ 4.9	166,881 ⁽¹⁾	D	
Class A Common Stock	03/20/2015		M	20,000	A	\$ 12.02	186,881 ⁽¹⁾	D	
Class A Common Stock	03/20/2015		M	20,000	A	\$ 16.19	206,881 ⁽¹⁾	D	
Class A Common Stock	03/20/2015		M	20,000	A	\$ 19.63	226,881 ⁽¹⁾	D	
Class A Common Stock	03/20/2015		M	20,000	A	\$ 19.36	246,881 ⁽¹⁾	D	
Class A Common Stock	03/20/2015		S	108,000	D	\$ 40.227 ⁽⁴⁾	138,881 ⁽¹⁾	D	
Class A Common Stock							900	I	By Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Am or Nun

Option Type	Exercise Price	Grant Date	Expiration Date	Frequency	Quantity	Start Date	End Date	Class	Stock Type	Quantity
Non-Qualified Stock Options (Right to Buy)	\$ 16.35	03/20/2015		M	8,000	11/16/2007	11/16/2015	Class A Common Stock	8,000	8,000
Non-Qualified Stock Options (Right to Buy)	\$ 4.9	03/20/2015		M	20,000	11/14/2010	11/14/2018	Class A Common Stock	20,000	20,000
Non-Qualified Stock Options (Right to Buy)	\$ 12.02	03/20/2015		M	20,000	11/30/2010	11/30/2019	Class A Common Stock	20,000	20,000
Non-Qualified Stock Options (Right to Buy)	\$ 16.19	03/20/2015		M	20,000	11/29/2011	11/29/2020	Class A Common Stock	20,000	20,000
Non-Qualified Stock Options (Right to Buy)	\$ 19.63	03/20/2015		M	20,000	11/28/2012	11/28/2021	Class A Common Stock	20,000	20,000
Non-Qualified Stock Options (Right to Buy)	\$ 19.36	03/20/2015		M	20,000	11/26/2013	11/26/2022	Class A Common Stock	20,000	20,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
VAN BEBBER DAVID L 2200 DON TYSON PARKWAY SPRINGDALE, AR 72762			EVP & General Counsel	

Signatures

David L. Van
Bebber 03/23/2015

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Includes 9,236 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2016 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved.
- (1) Also includes 6,897 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2017 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved.
- Represents shares of the Issuer's Class A Common Stock purchased for the Reporting Person's account under the Issuer's Employee Stock Purchase Plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 reporting requirements pursuant to Rule 16b-3.
- (2)
- (3)

Edgar Filing: TYSON FOODS INC - Form 4

Represents shares of the Issuer's Class A Common Stock received by the Reporting Person pursuant to the Issuer's dividend reinvestment plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 reporting requirements pursuant to Rule 16a-11.

- (4) This is a weighted average price. These shares were sold in multiple transactions on March 20, 2015 at prices ranging from \$40.42 to \$40.09, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.