**NIC INC** Form 4 November 09, 2004

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

obligations

may continue.

Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* HARTLEY ROSS C

(First)

(Middle)

C/O NIC INC., 10540 SOUTH RIDGEVIEW ROAD

(Street)

(Zip)

**OLATHE, KS 66061** 

2. Issuer Name and Ticker or Trading

Symbol

NIC INC [EGOV]

3. Date of Earliest Transaction (Month/Day/Year)

11/05/2004

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

below)

X\_ Director

Officer (give title

Issuer

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

(Check all applicable)

(City) (State)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year)

Execution Date, if (Month/Day/Year)

Code

3.

TransactionAcquired (A) or Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

4. Securities

(A) or Code V Amount (D) Price

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities

Beneficially Owned Following Reported Transaction(s)

(Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership

(Instr. 4) (Instr. 4)

10% Owner Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

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response...

Estimated average

burden hours per

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of Underlying Securities

### Edgar Filing: NIC INC - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	or Disj		Instr. 3, 4,			(Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 4.15	11/05/2004		A		25,000		11/05/2005(1)	11/05/2009	common stock	25,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 9	Director	10% Owner	Officer	Other			
HARTLEY ROSS C C/O NIC INC. 10540 SOUTH RIDGEVIEW ROAD OLATHE, KS 66061	X	X					

## **Signatures**

Stephen M. Kovzan, Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is exercisable in four annual installments, beginning on November 5, 2005.
  - The Reporting Person also indirectly owns: (i) 19,146,936 shares of NIC Inc. common stock, which are held directly by the National Information Consortium Voting Trust, which holds in the aggregate 26,100,894 shares, and indirectly by Mr. Hartley as one of the
- trustees of the trust; and (ii) 6,953,958 shares, which are held directly by the National Information Consortium Voting Trust, for which Mr. Hartley acts as one of the trustees, for his benefit as a direct beneficiary of the trust. The Reporting Person also directly owns 198,075 shares and the following stock options to purchase shares of NIC Inc. common stock: (i) 20,000 shares at \$1.67 per share, all of which are currently exercisable; and (ii) 20,000 shares at \$6.97, exercisable in four equal annual installments, beginning on November 10, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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