

UNITED TECHNOLOGIES CORP /DE/  
Form 3/A  
July 03, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Valentine Debra A</p> <p>(Last) (First) (Middle)</p> <p>UNITED TECHNOLOGIES CORPORATION,Â ONE FINANCIAL PLAZA</p> <p>(Street)</p> <p>HARTFORD,Â CTÂ 06101</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>04/11/2007</p>	<p>3. Issuer Name <b>and</b> Ticker or Trading Symbol</p> <p>UNITED TECHNOLOGIES CORP /DE/ [UTX]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) VP, Deputy GC and Secretary</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> <p>04/20/2007</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
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**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	25,534	D	Â
Common Stock	420.909	I	By Savings Plan Trustee
Common Stock (Restricted)	10,000	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Non-Qualified Stock Option (right to buy)	01/12/2007	01/11/2014	Common Stock	31,600	\$ 46.4749	D	∧
Non-Qualified Stock Option (right to buy)	01/03/2008	01/02/2015	Common Stock	32,000	\$ 51.5	D	∧
Restricted Stock Units	∧ <sup>(1)</sup>	∧ <sup>(1)</sup>	Common Stock	12,057.9601	\$ <sup>(1)</sup>	D <sup>(2)</sup>	∧
Stock Appreciation Right	01/03/2009	01/02/2016	Common Stock	16,200	\$ 56.53	D <sup>(3)</sup>	∧
Stock Appreciation Right	01/03/2010	01/02/2017	Common Stock	20,500	\$ 62.81	D <sup>(4)</sup>	∧

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Valentine Debra A UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101	∧	∧	∧ VP, Deputy GC and Secretary	∧

## Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact 07/03/2007

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Restricted Stock Units vest on retirement from UTC on or after age 62 with a minimum of three years of service as a member of UTC's Executive Leadership Group.
- (2) These shares were inadvertently omitted from the reporting person's original Form 3.  
The reporting person was also awarded 4,980 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.
- (3) The reporting person was also awarded 5,600 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.
- (4) The reporting person was also awarded 5,600 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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