

UNITED TECHNOLOGIES CORP /DE/  
Form 4  
September 20, 2007

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ROGAN THOMAS I

2. Issuer Name and Ticker or Trading Symbol  
UNITED TECHNOLOGIES CORP /DE/ [UTX]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
09/19/2007

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice President, Treasurer

UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA  
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

HARTFORD, CT 06101

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				(A) or (D)	Price					
				Code	V	Amount				
Common Stock	09/19/2007		M		28,000	A	\$ 18.2812	30,927	D	
Common Stock	09/19/2007		S		8,300	D	\$ 78.25	22,627	D	
Common Stock	09/19/2007		S		600	D	\$ 78.26	22,027	D	
Common Stock	09/19/2007		S		400	D	\$ 78.27	21,627	D	
	09/19/2007		S		281	D	\$ 78.28	21,346	D	

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

Common Stock									
Common Stock	09/19/2007		S	800	D	\$ 78.29	20,546	D	
Common Stock	09/19/2007		S	600	D	\$ 78.3	19,946	D	
Common Stock	09/19/2007		S	3,333	D	\$ 78.31	16,613	D	
Common Stock	09/19/2007		S	3,200	D	\$ 78.32	13,413	D	
Common Stock	09/19/2007		S	2,500	D	\$ 78.33	10,913	D	
Common Stock	09/19/2007		S	67	D	\$ 78.335	10,846	D	
Common Stock	09/19/2007		S	3,219	D	\$ 78.34	7,627	D	
Common Stock	09/19/2007		S	100	D	\$ 78.35	7,527	D	
Common Stock	09/19/2007		S	2,600	D	\$ 78.37	4,927 <sup>(1)</sup>	D	
Common Stock							10,035.87	I	By Savings Plan Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
						Date Exercisable	Expiration Date	Title	Am or Nur of S
				Code	V (A) (D)				

Non-Qualified Stock Option (right to buy)	\$ 18.2812	09/19/2007	M	28,000	01/02/2001	01/01/2008	Common Stock	28
---	------------	------------	---	--------	------------	------------	-----------------	----

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROGAN THOMAS I UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101			Vice President, Treasurer	

## Signatures

By: /s/ Charles F. Hildebrand as Attorney  
in Fact

09/20/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person also directly owns 4,960 shares of United Technologies Career Restricted Common Stock and 5,000 shares of Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.