

Bergren Scott  
 Form 3/A  
 December 07, 2006

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol |  |
| Â Bergren Scott                           |         | (Month/Day/Year)                     | YUM BRANDS INC [YUM]                               |  |
| (Last)                                    | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer   | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
|   |         | 12/01/2006                           |  | 12/01/2006   |
| 14841 NORTH DALLAS PARKWAY                |         |                                      | (Check all applicable)                             |  |
| (Street)                                  |         |                                      | <input type="checkbox"/> Director                  | <input type="checkbox"/> 10% Owner                                     |
| DALLAS,Â TXÂ 75254                        |         |                                      | <input checked="" type="checkbox"/> Officer        | <input type="checkbox"/> Other   |
| (City)                                    | (State) | (Zip)                                | (give title below)                                 | (specify below)  |
|   |         |                                      | President - Pizza Hut, Inc.                        | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
|   |         |                                      |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |                                      |  | <input type="checkbox"/> Form filed by More than One Reporting Person  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 2   | D  | Â   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|  | Date Exercisable   | Title   |  |  |   |

Edgar Filing: Bergren Scott - Form 3/A

|                                      |                | Expiration Date |              | Amount or Number of Shares |           | or Indirect (I) (Instr. 5) |   |
|--------------------------------------|----------------|-----------------|--------------|----------------------------|-----------|----------------------------|---|
| Phantom Stock                        | Â (1)          | Â (2)           | Common Stock | 2,309.38                   | \$ (3)    | D                          | Â |
| Employee Stock Option (right to buy) | 04/01/2003(4)  | 04/01/2012      | Common Stock | 19,012                     | \$ 28.93  | D                          | Â |
| Employee Stock Option (right to buy) | 04/01/2003(4)  | 04/01/2012      | Common Stock | 19,012                     | \$ 28.93  | D                          | Â |
| Phantom Stock                        | Â (5)          | Â (2)           | Common Stock | 2,345.01                   | \$ 0 (3)  | D                          | Â |
| Phantom Stock                        | Â (1)          | Â (2)           | Common Stock | 10,467.5                   | \$ (3)    | D                          | Â |
| Employee Stock Option (right to buy) | 01/23/2004(6)  | 01/23/2013      | Common Stock | 45,352                     | \$ 24.325 | D                          | Â |
| Phantom Stock                        | Â (7)          | Â (2)           | Common Stock | 3,146.03                   | \$ (3)    | D                          | Â |
| Stock Option Right to Buy            | 01/27/2005(8)  | 01/27/2014      | Common Stock | 43,945                     | \$ 34.46  | D                          | Â |
| Stock Option (Right to Buy)          | 01/28/2006(9)  | 01/28/2015      | Common Stock | 26,016                     | \$ 45.06  | D                          | Â |
| Phantom Stock                        | Â (1)          | Â (2)           | Common Stock | 4,001.77                   | \$ (3)    | D                          | Â |
| YUM Stock Appreciation Right         | 01/26/2007(10) | 01/26/2016      | Common Stock | 31,079                     | \$ 48.935 | D                          | Â |
| Phantom Stock                        | Â (1)          | Â (2)           | Common Stock | 16,460.61                  | \$ (3)    | D                          | Â |
| Phantom Stock                        | Â (1)          | Â (2)           | Common Stock | 2,295.02                   | \$ (3)    | D                          | Â |
| Phantom Stock                        | Â (1)          | Â (2)           | Common Stock | 2,513.46                   | \$ (3)    | D                          | Â |

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships |           |                                     |       |
|---|---------------|-----------|-------------------------------------|-------|
|   | Director      | 10% Owner | Officer                             | Other |
| Bergren Scott<br>14841 NORTH DALLAS PARKWAY<br>DALLAS, TX 75254 | Â             | Â         | Â President -<br>Pizza Hut,<br>Inc. | Â     |

## Signatures

Scott Bergren

12/07/2006

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of phantom stock payable upon retirement or termination and are subject to earlier withdrawal in accordance with the terms of YUM! Brands, Inc. deferred compensation plan.
- (2) The YUM! Brands, Inc. Executive Income Deferral Plan does not have specified expiration dates.
- (3) Conversion occurs on a one-for-one basis.
- (4) 25% per year beginning 04/01/2003
- (5) Shares of phantom stock are payable 4/1/2008 or retirement or termination and are subject to earlier withdrawal in accordance with the terms of the YUM! Brands, Inc. deferred compensation plan.
- (6) Vesting will occur 25% per year beginning 1/23/2004.
- (7) Payable 1/1/2008 or upon retirement and pursuant to the terms of the YUM! Brands deferred compensation plan.
- (8) Vesting will occur 25% per year beginning 1/27/2005.
- (9) Vesting will occur 25% per year beginning 01/28/2006
- (10) Vesting will occur 25% per year beginning 01/26/2007

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.