

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

CHARTWELL DIVIDEND & INCOME FUND INC

Form NSAR-B

January 25, 2007

PAGE 1  
000 B000000 11/30/2006  
000 C000000 0001059213  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 CHARTWELL DIVIDEND AND INCOME FUND, INC.  
001 B000000 811-8747  
001 C000000 6104074841  
002 A000000 400 BELLEVUE PARKWAY, C/O PFPC INC.  
002 B000000 WILMINGTON  
002 C000000 DE  
002 D010000 19809  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 CHARTWELL INVESTMENT PARTNERS, L.P.  
008 B000001 A  
008 C000001 801-54124  
008 D010001 BERWYN  
008 D020001 PA  
008 D030001 19312  
008 D040001 2416  
010 A000001 SEI INVESTMENTS GLOBAL FUNDS SERVICES  
010 B000001 801-24593  
010 C010001 OAKS  
010 C020001 PA  
010 C030001 19456  
011 A000001 ROBERT W. BAIRD & CO. INCORPORATED  
011 B000001 8-0000  
011 C010001 MILWAUKEE  
011 C020001 WI  
011 C030001 53202  
011 A000002 EVEREN SECURITIES, INC.  
011 B000002 8-37180  
011 C010002 CHICAGO  
011 C020002 IL  
011 C030002 60603  
011 A000003 FAHNESTOCK & CO. INC.  
011 B000003 8-0000  
011 C010003 NEW YORK  
011 C020003 NY  
011 C030003 10005  
011 A000004 GRUNTAL & CO., LLC  
PAGE 2  
011 B000004 8-000  
011 C010004 NEW YORK  
011 C020004 NY  
011 C030004 10005  
011 C040004 2176  
011 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

011 B000005 8-7221  
011 C010005 NEW YORK  
011 C020005 NY  
011 C030005 10080  
011 A000006 PRUDENTIAL SECURITIES INCORPORATED  
011 B000006 8-27154  
011 C010006 NEWARK  
011 C020006 NJ  
011 C030006 07101  
011 A000007 A.G. EDWARDS & SONS, INC.  
011 B000007 8-13580  
011 C010007 ST. LOUIS  
011 C020007 MO  
011 C030007 63103  
011 A000008 ADVEST, INC.  
011 B000008 8-21409  
011 C010008 HARTFORD  
011 C020008 CT  
011 C030008 06103  
011 A000009 JANNEY MONTGOMERY SCOTT INC.  
011 B000009 8-462  
011 C010009 PHILADELPHIA  
011 C020009 PA  
011 C030009 19103  
011 A000010 LEGG MASON WOOD WALKER, INCORPORATED  
011 B000010 8-15939  
011 C010010 BALTIMORE  
011 C020010 MD  
011 C030010 21202  
012 A000001 PFPC INC.  
012 B000001 84-00000  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 ERNST & YOUNG LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
015 A000001 US BANK, N.A.  
015 B000001 C  
015 C010001 PHILADELPHIA  
015 C020001 PA  
015 C030001 19109  
018 000000 Y  
PAGE 3  
019 A000000 N  
019 B000000 0  
020 A000001 MERRILL LYNCH, INC.  
020 B000001 13-5674085  
020 C000001 103  
020 A000002 BRIDGE TRADING COMPANY  
020 B000002 94-1701676  
020 C000002 51  
020 A000003 JEFFERIES & CO., INC.  
020 B000003 95-2622900  
020 C000003 28  
020 A000004 LEHMAN BROTHERS INC.  
020 B000004 13-2927667  
020 C000004 20  
020 A000005 GOLDMAN, SACHS & COMPANY  
020 B000005 13-5108880

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

020 C000005 18  
020 A000006 BEAR, STEARNS & CO., INC.  
020 B000006 13-3299429  
020 C000006 13  
020 A000007 LIQUIDNET INC.  
020 C000007 12  
020 A000008 KEEFE, BRUYETTE & WOODS, INC.  
020 B000008 13-1964616  
020 C000008 9  
020 A000009 FOX-PITT KELTON INC.  
020 C000009 7  
020 A000010 JANNEY MONTGOMERY SCOTT INC.  
020 C000010 7  
021 000000 334  
022 A000001 FIRST TENNESSEE NATIONAL CORP.  
022 B000001 62-1758945  
022 C000001 9478  
022 D000001 10399  
022 A000002 BANK OF AMERICA CORP.  
022 B000002 95-3783486  
022 C000002 9323  
022 D000002 6905  
022 A000003 CREDIT SUISSE FIRST BOSTON CORPORATION  
022 B000003 13-5659485  
022 C000003 7661  
022 D000003 8308  
022 A000004 LEHMAN BROTHERS INC.  
022 B000004 13-2927667  
022 C000004 5564  
022 D000004 1717  
022 A000005 MERRILL LYNCH, INC.  
022 B000005 13-5674085  
022 C000005 5425  
022 D000005 1105  
022 A000006 DEUTSCHE BANK SECURITIES LIMITED  
PAGE 4  
022 B000006 13-3485320  
022 C000006 2436  
022 D000006 3864  
022 A000007 BANK OF NEW YORK  
022 C000007 3020  
022 D000007 2225  
022 A000008 BEAR, STEARNS & CO., INC.  
022 B000008 13-3299429  
022 C000008 3180  
022 D000008 1924  
022 A000009 CITIGROUP, INC.  
022 B000009 13-3214963  
022 C000009 2231  
022 D000009 2011  
022 A000010 KEEFE, BRUYETTE & WOODS, INC.  
022 B000010 13-1964616  
022 C000010 3470  
022 D000010 0  
023 C000000 3706  
023 D000000 202593  
024 000000 Y  
025 A000001 BANK OF AMERICA CORP.  
025 B000001 95-3783486  
025 C000001 E  
025 D000001 11955  
025 A000002 E\*TRADE FINANCIAL

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

025	C000002	D	
025	D000002		907
025	A000003	MERRILL LYNCH, INC.	
025	B000003	13-5674085	
025	C000003	E	
025	D000003		2032
025	A000004	ALLIANCE BERNSTEIN	
025	C000004	E	
025	D000004		7175
025	A000005	LABRANCHE	
025	C000005	D	
025	D000005		1367
026	A000000	N	
026	B000000	N	
026	C000000	N	
026	D000000	Y	
026	E000000	N	
026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
	PAGE	5	
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000		0.00
030	C000000		0.00
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.950
048	A010000	0
	PAGE	6
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	Y
053	B000000	Y
053	C000000	N
054	A000000	N
054	B000000	N
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	N
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	N
054	N000000	N
054	O000000	Y
055	A000000	N
055	B000000	N
056	000000	Y

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
PAGE 7  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 Y  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 Y  
070 C010000 Y  
070 C020000 N  
070 D010000 N  
070 D020000 N  
070 E010000 N  
070 E020000 N  
070 F010000 N  
070 F020000 N  
070 G010000 N  
070 G020000 N  
070 H010000 N  
070 H020000 N  
070 I010000 N  
070 I020000 N  
070 J010000 Y  
PAGE 8  
070 J020000 Y  
070 K010000 Y  
070 K020000 Y  
070 L010000 Y

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

070	L020000	Y	
070	M010000	N	
070	M020000	N	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	Y	
070	P010000	Y	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		193339
071	B000000		195311
071	C000000		201415
071	D000000		96
072	A000000	12	
072	B000000		5543
072	C000000		10182
072	D000000		0
072	E000000		0
072	F000000		1941
072	G000000		204
072	H000000		0
072	I000000		39
072	J000000		15
072	K000000		0
072	L000000		63
072	M000000		27
072	N000000		25
072	O000000		0
072	P000000		2726
072	Q000000		0
072	R000000		95
072	S000000		101
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		278
072	X000000		5514
072	Y000000		204
072	Z000000		10415
072AA	000000		29947
072BB	000000		4119
072CC	010000		0
072CC	020000		5679
	PAGE	9	
072DD	010000		15574
072DD	020000		0
072EE	000000		0
073	A010000		0.9296
073	A020000		0.0000
073	B000000		0.0000
073	C000000		0.0000
074	A000000		10
074	B000000		0
074	C000000		0
074	D000000		63520
074	E000000		22670
074	F000000		125994
074	G000000		0

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

074	H000000	0
074	I000000	2815
074	J000000	336
074	K000000	0
074	L000000	2061
074	M000000	0
074	N000000	217406
074	O000000	1428
074	P000000	167
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	420
074	R040000	54778
074	S000000	0
074	T000000	160613
074	U010000	16825
074	U020000	0
074	V010000	9.55
074	V020000	0.00
074	W000000	0.0000
074	X000000	94
074	Y000000	0
075	A000000	0
075	B000000	149785
076	000000	9.78
077	A000000	Y
077	B000000	Y
077	C000000	N
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
	PAGE	10
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	Zurich
080	C000000	750000
081	A000000	N
081	B000000	0
082	A000000	N
082	B000000	0
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0
086	A020000	0
086	B010000	0



Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	16139P104
087	A030000	CWF
088	A000000	Y
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	WINTHROP S. JESSUP	
TITLE	PRESIDENT	