

MICROSOFT CORP
Form 4
November 25, 2002

FORM 4

UNITED STATES SECURITIES AND EXCHANGE
COMMISSION
Washington, D.C. 20549

OMB APPROVAL

☐ Check this box if no
longer subject to Section
16. Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP**

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

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www.section16.net

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol				6. Relationship of Reporting Person(s)		
Burgum, Douglas J.			Microsoft Corporation (MSFT)				to Issuer (Check all applicable)		
(Last) (First) (Middle)			3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)				☐ Director — ☐ 10% Owner — ☒ Officer (give title below) — Other (specify below)		
One Microsoft Way							4. Statement for Month/Day/Year 11/21/02		
(Street)			5. If Amendment, Date of Original (Month/Day/Year)				7. Individual or Joint/Group Filing (Check Applicable Line)		
Redmond, WA 98052							☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person		
(City) (State) (Zip)			Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	2. Trans-action Date (Month/ Day/ Year)	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Trans-action Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)	5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)	6. Owner-ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/21/02		S		21,475 D \$57.71				
Common Stock	11/21/02		S		8,456 D \$57.50				
Common Stock	11/21/02		S		38,406 D \$57.62				
Common Stock	11/21/02		S		8,100 D \$57.64				
Common Stock	11/21/02		S		5,864 D \$57.67				
Common Stock	11/21/02		S		9,100 D \$57.71				
Common Stock	11/21/02		S		14 D \$57.70				
Common Stock	11/21/02		S		10,000 D \$57.75				

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Common Stock	11/21/02		S		29,000	D	\$57.67			
Common Stock	11/21/02		S		7,113	D	\$57.50			
Common Stock	11/21/02		S		10,000	D	\$57.59			
Common Stock	11/21/02		S		15,000	D	\$57.69			
Common Stock	11/21/02		S		10,000	D	\$57.68			
Common Stock	11/21/02		S		8,500	D	\$57.70			
Common Stock	11/21/02		S		10,000	D	\$57.64			
Common Stock	11/21/02		S		4,431	D	\$57.50	1,240,926	D	
Common Stock								26,823 ⁽¹⁾	I	Joseph Ernest Burgum Trust
Common Stock								26,824 ⁽²⁾	I	Jessamine Ada Burgum Trust
Common Stock								26,824 ⁽³⁾	I	Thomas Alton Burgum Trust
Common Stock								29,816 ⁽⁴⁾	I	By spouse
Common Stock								18,107 ⁽⁵⁾	I	By son
Common Stock								2,659	I	401(k) account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 & 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number	

of
Shares

(1) Represents shares held by member of reporting person's household. The reporting person disclaims beneficial ownership of these shares.
 (2) Represents shares held by member of reporting person's household. The reporting person disclaims beneficial ownership of these shares.
 (3) Represents shares held by member of reporting person's household. The reporting person disclaims beneficial ownership of these shares.
 (4) Represents shares held by member of reporting person's household. The reporting person disclaims beneficial ownership of these shares.
 (5) Represents shares held by member of reporting person's household. The reporting person disclaims beneficial ownership of these shares.

11/22/02

Date _____

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed.
If space is insufficient, See Instruction 6 for procedure.

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