

Edgar Filing: FEDERATED INVESTORS INC /PA/ - Form 4

FEDERATED INVESTORS INC /PA/
Form 4
July 13, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

FORM 4

/ / Check this box if no longer subject to Section 16, Form 4 or Form 5 obligations may continue. See Instruction 1(b)

(Print or Type Responses)

1. Name and Address of Reporting Person*

Frantzen Henry A.
(Last) (First) (Middle)

c/o Federated Investors, Inc.
Federated Investors Tower
(Street)

Pittsburgh PA 15222-3779
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Federated Investors, Inc. FII

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

4. Statement for Month/Year

5. If Amendment, Date of Original (Month/Year)
Consolidated amendment amending 5/2001 filing and 6/2001 filing

6. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Executive Vice President and Chief Investment Officer - Global Equity and Fixed Income of Federated Global Investment Management Corp., Passport Research Limited, Federated Investment Counseling and Federated Investment Management Company.

7. Individual or Joint/Group Filing (Check Applicable Limit)

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Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Mon/day/year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
		Code V	Amount	(A) or (D)	Price	
Class B Common Stock	5/15/2001	M	60,800	A	\$1.2867	
Class B Common Stock	5/15/2001	F	30,800 (1)	D	\$31.2656	
Class B Common Stock	5/16/2001	M	131,000	A	\$1.2867	
Class B Common Stock	5/16/2001	F	67,100 (1)	D	\$31.2583	
Class B Common Stock	5/17/2001	M	100,000	A	\$1.2867	
Class B Common Stock	5/17/2001	F	50,100 (1)	D	\$31.3970	
Class B Common Stock	6/22/2001	M	98,200 (2)	A	\$1.2867542,004	D

Reminder: Report on a separate line for each class of securities beneficially owned

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directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable or Expiration Date (Month/Day/Year)
Employee Stock Options (Right to purchase)	\$1.2867	5/15/2001	M	60,800	12/31/2001
Employee Stock Options (Right to purchase)	\$1.2867	5/16/2001	M	131,000	12/31/2001
Employee Stock Options (Right to purchase)	\$1.2867	5/17/2001	M	100,000	12/31/2001
Employee Stock Options (Right to purchase)	\$1.2867	6/22/2001	M	98,200	12/31/2001
7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security	9. Number of derivative Securities Beneficially Owned at	10. Ownership Form of Derivative Security: Direct (D) or indirect (I)		

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(Instr. 5) End of Month (Instr. 4) (Instr. 4)

Title	Amount or Number of Shares		
Class B Common Stock	60,800		
Class B Common Stock	131,000		
Class B Common Stock	100,000		
Class B Common Stock	98,200	0	D

Explanation of Responses:

- (1) Payment of exercise price/tax liability by delivering/withholding a portion of exercised securities previously incorrectly reported as sale of all exercised securities in a cashless exercise.
- (2) Cash option exercise previously incorrectly reported as a cashless exercise sale.

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

/s/ Henry A. Frantzen

July 13, 2001

**Signature of Reporting Person

Date