Edgar Filing: NATURAL GAS SERVICES GROUP INC - Form 4

NATURAL GAS SERVICES GROUP INC

Form 4 March 27, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

Washington, D.C. 20549 Check this box

Number: January 31, Expires:

OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5

obligations

2005 Estimated average

0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

may continue. See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * CURTIS CHARLES G | | | 2. Issuer Name and Ticker or Trading Symbol NATURAL GAS SERVICES GROUP INC [ngs] | | | | ng | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | |
|--|--------------------------------------|----------------|---|--|-----------|--------|---------------------|--|--|---|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 03/23/2017 X Director Officer (give title below) | | | | Owner er (specify | | | |
| (City) | (Street) | (Zip) | 4. If Amendment, Date Original 6. Individual or Joint/Group Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Report Form filed by More than O Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneral | | | | | One Reporting Pe More than One Re | rson porting | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year |) Executio any | | 3. Transactic Code (Instr. 8) | 4. Securi | ties A | equired d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | • |
| Stock | 03/23/2017 | | | A | (1) | A | ^{\$} 25.05 | 71,013 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | ; | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|---|---------------------|-----------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Nonqualified Stock Option | \$ 19.61 | | | | | 12/31/2007 | 12/31/2017 | Common Stock | 2,500 |
| Nonqualified Stock Option | \$ 20.48 | | | | | 03/31/2008 | 03/31/2018 | Common Stock | 2,500 |
| Nonqualified Stock Option | \$ 16.74 | | | | | 03/31/2010 | 03/31/2020 | Common Stock | 5,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| CURTIS CHARLES G | | | | | | | |
| | X | | | | | | |

Signatures

Charles G.
Curtis

**Signature of Reporting Person

O3/27/2017

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The acquisition of the 3,992 shares of common stock reflects an award of restricted stock pursuant to the Company's 2009 Restricted

Stock/Unit Plan, as amended, and Independent Director Compensation Policy. The award vests in installments of 998 shares beginning on March 31, 2018, with additional 998 installments vesting on June 30, September 30 and December 31, 2018, although the award is also subject to accelerated vesting upon the death, disability or retirement of the recipient, or upon a change in control of the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2